# IBM® Sterling Connect:Express® for UNIX

List of Fixes

Version 1.5.0.1616

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This edition applies to the 1.5.0 Version of IBM® Sterling Connect:Express® for UNIX .

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# **List of Fixes Provided from Initial Version 1.5.0**

**NOTICE**: Beginning with Version 1.5.0.16 iFix16, security updates will be described as either affected or vulnerable, based on the following definitions from IBM:

**Affected**: The software product contains code which has a documented vulnerability. Based on currently available information, however, we believe that the issue is likely not exploitable. However, as a best practice and from an abundance of caution, we recommend customers update their systems as soon as practical. Vulnerabilities evolve, and a means of exploiting any issue may emerge at any time.

**Vulnerable**: The software product contains code, which has a documented vulnerability. Our analysis shows that the issue may be exploitable.

Issues classified as affected will not be published in security bulletins, in most cases.

List of the improvements and fixes:

# Version 1.5.0.16 iFix 16

- APAR IT45263 CXM-3251
- APAR IT45264 CXM-3252

Sterling Connect: Express for Unix uses OpenSSL which is affected to these issues – CVE-2023-3817, CVE-2023-3446.

Updated OpenSSL to 1.1.1w.

## OpenSSL updated.

CXM-3260

RHEL 8 support has been added. However, tom\_opr command expects the ncurses5 library to be installed on the system, while with RHEL 8 comes with ncurses version 6. In order to run tom\_opr successfully ncurses version 5 should be installed using below command –

rpm -qi ncurses-compat-libs-6.1-7.20180224.el8.x86\_64.rpm

# Version 1.5.0.16 iFix 15

• APAR IT45196 - CXM-3259

The upgrade fails on Solaris platform during configuration export. The installation script has been modified to ensure export works fine on Solaris platform. **install.sh updated.** 

Version 1.5.0.16 iFix 14

APAR IT45157- TS014796717 - CXM-3256

Import database fails during the upgrade because of newline character in the password field of certificate record in the exported file created by tom\_prm. Encryption function in the sterm (tom\_opr) has been improved to handle the newline character during the CERT import. tom\_opr and install.sh updated.

# Version 1.5.0.16 iFix 13

#### APAR IT44832 - TS012555147 - CXM-3223

The SSL server abnormal shutdown behavior stopping the monitor has been improved. Intermittent shutdown of active SSL server causing Connect:Express process to stop where manual start is required. This crash has been handled and the overall stability of the SSL server has been improved.

tom\_apm updated.

# Version 1.5.0.16 iFix 12

#### APAR IT44030 – TS012733809 - CXM-3224

A small memory leak has been fixed in tom\_mon. tom\_mon updated.

#### APAR IT44031 – TS012763220 - CXM-3225

The automatic refresh in the tom\_opr (sterm) screen INTERROGATION OF LOG does not delete characters entered in the OPTION field. **tom opr updated.** 

# Version 1.5.0.16 iFix 11

# APAR IT43193 – TS011791188 - CXM-3217

If the sending of a file fails after some of its data has been sent and the received part of the file is deleted on the server before the retry occurs then the transfer will be restarted from the beginning. Deleting the partially received file before a restart is not a best practice and should be avoided.

tom mon updated.

#### -

#### APAR IT43194 – TS011385203- CXM-3214

Stability, speed and navigation in the tom\_opr screen displaying the list of the transfer requests (menu 2 - MONITOR / 1 - MONITOR STATUS) have been been improved. It is now possible to use the keys Up Arrow, Down Arrow, Page Up, Page Down, Home and End to navigate through the list. The function key F5 can also be used to refresh the screen when an external deletion has been performed.

tom\_mon and tom\_opr updated.

# Version 1.5.0.16 iFix 10

#### APAR IT42563 – TS009846564 - CXM-3195

The variables NREC and KBYT (number of records and size in kilobytes of the physical file) are now properly initialized at the beginning of a transfer. NREC and KBYT can be used for example in a begin of transfer command to deny the transfer of a file if this one exceed a certain limit.

# tom\_mon updated.

#### • CXM-3193

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Creation, Read, Update and Delete operations from tom\_api are now logged in the LOG file.

tom\_mon updated.

#### • CXM-3176

The new commands p1b8pfil\_I and p1b8ppar\_I have been added in the \$TOM\_DIR/itom/SAMPLES directory. They enable to respectively list the files and partners definitions while the monitor is running. They are an alternative to the use of CX Java command exemd.

p1b8pfil\_I and p1b8ppar\_I created

# Version 1.5.0.16 iFix 9

- Integration of OpenSSL 1.1.1.n.
- Display of warning messages for the deprecation of the support of protocols SSL v2.0, SSL v3.0 et TLS v1.0 et TLS v1.1 planned in Q2 2023.

### APAR ITxxxxx - TS008957970 - CXM-3169

A regression has removed the display in the LOG file of the version of the secured protocol negociated between the 2 partners of a transfer request. **tom mon updated.** 

#### APAR IT40785 - TS006880104 - CXM-3152

An improvement of the monitor binary allows to chain its stop without corrupting the c-tree database.

Delivery of the full version.

# Version 1.5.0.16 iFix 8

#### APAR IT39295 - TS006880104 - CXM-3143

The stopping of the monitor has been improved, especially when connections have been established with tom\_api.

tom\_mon and tom\_apm updated.

# • APAR IT39294 - TS006907318 - CXM-3145

The command tom\_httpn -status does not crash anymore when the number of notification files in the ntfo directory exceeds 100.

tom\_httpn updated.

#### APAR IT39293 - TS007533514 - CXM-3149

The command p1b8pren now displays the value of file label (pi37). p1b8pren updated.

# Version 1.5.0.16 iFix 7

- Integration of Open SSL 1.1.11
- APAR IT37815 TS006118359 CXM-3135

When used in an end of transfer user command, the value of the LABEL matches the value of the PI37 set in the FPDUs CREATE or ACK.SELECT, in peculiar when there is no PI37 and the transfer occurs in a session which is reused. tom apm updated.

# Version 1.5.0.16 iFix 6

- Integration of Open SSL 1.1.1j
- APAR IT36240 TS005000382 CXM-3119

A regression prevented to use the binary format with tom prm. tom\_prm updated.

#### APAR IT36239 - TS004620703 - CXM-3115

Error logging of tom\_apm has been improved to help transfer abortion diagnosis. tom\_mon updated.

#### APAR IT36238 - TS004243136 - CXM-3103

The activation of the option PARAMETER CARDS FILE in a file definition does not overload the number of opened files.

tom mon updated.

#### APAR IT36237 - TS004320636 - CXM-3101

The end of tom\_apm processes does not leave orphan message queues whose accumulation prevent the normal operation of the product. tom\_apm updated.

# APAR IT34656 - TS003779630 - CXM-3097

The size of network messages in notifications is not limited to 9999. tom\_mon updated.

# Version 1.5.0.16 iFix 5

#### APAR IT33568 – TS003755807 - CXM-3086

The ch conf.sh command to change the trace status is now working as designed. ch\_conf, ch\_conf.sh, tom\_apm, tom\_mon updated.

#### APAR IT33569 – TS003755926 - CXM-3085

The keywords &USRVAR1 and USRVAR2 are now always correctly resolved when they are used in the DSN of a file received from Connect: Express zOS. tom\_mon updated.

#### APAR IT33044 – TS0030956633 – CXM-3080

When the INQUIRY flag of a file definition is set to Y and that file is sent following a receiving transfer request, then the value of the transfer ID which is used to set the PI13 of the FPDU ACK.SELECT is now correctly computed.

tom\_mon updated.

# Version 1.5.0.16 iFix 4

#### APAR IT31257 – TS003023479 - CXM-3054

A regression caused environment variables not to be resolved in the physical file name parameter passed to the begin and end of transfer scripts. **tom\_mon updated.** 

# APAR IT30846 – TS002859192 - CXM-3046

Before a notification is sent, records in the notifications files are checked for consistency. If a record is corrupted then the tom\_httpn process will stop itself and no more notifications will be sent. Every attempt to restart the tom\_httpn process will fail until the faulty record is fixed. The status option of the tom\_httpn command will show if there are corrupted records in the notifications files.

tom\_httpn updated.

# Version 1.5.0.16 iFix 2

#### APAR IT30580 – CXM-3003

A remote attacker could overflow a buffer and execute arbitrary commands or cause a denial of service because of a vulnerability in the FTP service. tom\_ftp updated.

# Version 1.5.0.16 iFix 1

#### APAR IT30291 – TS002278140 – CXM-2953

A regression introduced in version 150.1510 caused the crash of the tom mon process if a transfer request was calling a partner with a SSL ID not defined locally. tom\_mon updated.

#### APAR IT30290 – TS002452016 – CXM-2962

Some traces files were growing in an uncontrolled way when the PeSIT server was targeted by penetration tools.

tom apm updated.

#### APAR IT30289 - TS002482560 - CXM-2992

A regression caused the pollution of the LOG file from the load-balancers and monitoring tools connections.

tom\_apm updated.

#### APAR IT30288 - TS001410768 - CXM-2997

Using an old sample binary could cause a crash of the tom mon process. Since the version 150.1504 the samples binaries have been moved into the \$TOM\_DIR/itom/SAMPLES directory (instead of \$TOM DIR/itom). Migration from version 150.1504 or earlier now remove all the old samples binaries.

The fix pack 1.5.0.16 of **IBM Sterling Connect:Express for UNIX** fixes a certain number of defects. The list of these defects is given below.

Please note that this fix pack:

- integrates OpenSSL 1.1.1c;
- integrates the new option /PTY for the p1b8preq command; this option enables to force the type of partner to N, O or T; this option is also available in CX Java version 1.4.0.10;
- is not supporting any RedHat Enterprise Linux 5 version.

List of fixes:

#### APAR IT29630 - TS002297287 - CXM-2948

The physical file name is now correctly initialized in receiving transfers when it uses environment variables or CX keyword.

tom\_mon updated.

#### APAR IT27462 – TS001501779 – CXM-2782

The automatic refresh of the display of LOG file works as documented. The refresh interval must be passed on the command \$sterm (or tom\_opr). **tom opr updated.** 

#### APAR IT27693 – TS001533847 – CXM-2777

The physical file name received in an end of transfer script matches the name given in the file definition when the latter is coded with an environment variable and when the transfer occurs in a reused session.

tom\_mon updated

# APAR IT27179 – TS001329910 – CXM-1287

The symbolic file name and the request submitting date are now present in notifications generated by an inquiry request.

tom mon updated

#### APAR IT26727 – TS001474807 – CXM-1299

The physical file name is now correctly initialized in notifications sent to the HTTP server when these notifications have been generated by the cxnotif command.

cxnotif and tom\_mon updated

#### APAR IT26823 – TS001277888 – CXM-1282

The wrong initialization of the NRC field of notifications has been fixed. This field could be sometimes initialized with the value 0010 when the transfer status was successful in the journal as well as in the notification.

tom\_apm, tom\_ftp and tom\_mon updated

#### APAR IT25946 – TS001190863 – CXM-1280

The processing of a sending or receiving request containing a PI42=0 is now performed correctly with or without the use of the THR\_PI42 environment variable.

tom apm updated

#### APAR IT25756 - TS001125223 - CXM-1276

The size of the LOG file can now be changed dynamically by using the option 6 of the ch\_conf.sh command. Dynamically changing the size of the LOG file causes its rotation. The new size will become the current size for rotations and after a monitor restart. If the LOG file is deleted then the size set in the sysin file will be used.

tom\_mon, ch\_conf and ch\_conf.sh updated

#### APAR 25280 – PMR 30736,999,706 – RTC570187

An INQUIRY transfer request without a HOLD transfer request pending on the server does not generate the error PRC 206 but PRC 205.

tom mon updated

#### APAR 25291 – RTC 569667

In the end of transfer notification of an INQUIRY request, the physical file name is the fully qualified name and points to the correct location.

tom\_mon and tom\_httpn updated

#### APAR IT25203 - TS000936835 - RTC569324

Exporting the STRF TRC environment variable is working as designed and does not generate the error « STRF trace file creation Error.: No such file or directory » anymore.

tom apm upated

#### APAR 24516 – PMR 69691,664,706 – RTC 565092

The new keyworrd &P99X can be used in the physical name of a file definition. It will be replaced with the value of the received PI99.

tom\_apm and tom\_mon upated

#### APAR IT24319 - PMR 34314,300,624 - RTC562686

On AIX platforms, a wrong definition in the file \$TOM DIR/itom/d0b8z20.h could cause a signal 11 to be raised in user exit execution. The reason was due to a corruption of the call stack when the main() function returned using a call to return() instead of exit().

d0b8z20.h updated

#### APAR IT24156 - PMR 05204.664.706 - RTC562780

The versions of tom\_prm used par the installation script better manage the absence of the RENC.dat and RENC.idx files during an upgrade. It is not necessary to manually delete these files before an upgrade as this is done by the installation script.

tom prm.1501503, tom prm.150113, tom prm.150909 et install.sh updated

#### APAR IT24127 - PMR 69387,664,706 - RTC562321

A regression introduced in version 150.12 could cause a crash of the tom apm process when the transfer was configure to use the muti-record mode. Livraison de tom\_apm.

# APAR IT24108 – PMR 05116,664,706 – RTC 561266 **APAR IT24109 - PMR 66455,661,706 - RTC 562385**

A regression introduced in version 150.1505 caused the crash of the tom mon process if the transfer request was calling a partner not defined locally. tom mon updated.

#### APAR IT23547 – PMR 69388,664,706 – RTC 553746

The retry manager has been improved. Connections and transfers retries are performed normally when the tom mon process is idle.

tom mon updated.

#### APAR IT23427 - RTC 557521

A regression in CX version 150.15 caused the command cxnotif to generate empty notifications in \$TOM\_DIR/ntfo/NyyyymmmdddHHHMMSS files.

## cxnotif updated

#### APAR IT22024 – PMR 69612,664,70 – RTC556685

The Connect:Express variables &EXTLAB and &PI99 are now resolved when used in DSN. **tom\_mon updated** 

#### APAR IT23409 – PMR 33449,300,624 – RTC557655

It is now possible to use libitom.a to build an exit which can address different instances of CX on the same host.

## libitom.a updated

# • PMR 65470,661,706 – RTC 554428

A regression caused pollution of the LOG with load-balancer connections on Connect:Express secured ports.

# tom\_apm updated

## • APAR IT23035 - PMR 17175,999,706 - RTC 549413

The use of very close partner names like LOOP and LOOP2 could generate PRC=2203 error. **tom\_mon updated** 

#### APAR IT22778 – PMR 69245,664,706 – RTC 551954

Sequence of requests performed in the same session does not generate PRC=319 RC=5008 errors anymore.

#### tom\_apm updated

# • APAR IT22486 - PMR 32431,300,624 - RTC550881

The file \$TOM\_DIR/itom/d0b8z20.h is not including other header files anymore and must be enough to compile user exits.

#### d0b8z20.h updated

#### • APAR IT22163 - PMR 73148,299,706 - RTC546812

The Record Format field in the TRANSFER REQUEST menu of tom\_opr is not ignored anymore.

#### tom\_opr updated

#### • APAR IT22129 - PMR 54554,661,706 - RTC544630

Receiving a PeSIT ACK SYN message after a tom\_apm server sent a DTFEND message does not generate a PRC=311 error (protocol error).

#### tom apm updated

#### • APAR IT22089 - PMR 73038,299,706 - RTC538860

The use in physical file name of the Connect:Express variables &DST and &ORG is now working as expected.

#### tom apm updated.

# APAR IT22024 - PMR 85122,660,706 - PMR 69612,664,70 - RTC538584 -RTC556685

The use in physical file names of UNIX environment variables and Connect:Express variables has been improved.

#### tom\_apm, tom\_ftp and tom\_apm updated

#### APAR IT21944 – PMR 54670,661,706 – RTC546799

The command p1b8preq now allows to specify the Windows record formats WT and WV in the /RFM option.

# p1b8preq updated

# • APAR IT21943 – PMR 54553,661,706 – RTC545976

Upgrading CX does not overwrite by default the translation tables 01 and 02. The installation script provides the new option -o to force the overwriting of the default translation tables.

#### APAR IT20975 – RTC539094

Subject and Issuer are not transformed to uppercase when a CTRLDN definition is imported with the tom\_prm command.

tom\_prm updated

#### APAR IT20940 – PMR 85589,660,706 – RTC536001

Removing the obsolete compress.sh command that is now replaced by compact\_base command.

install.sh updated

## • APAR IT20817 - PMR 53849,661,706 - RTC538573

The installation option -n which skips the backup of the files overridden by an update is now working properly.

install.sh updated.

#### APAR IT20806 – PMR 73016,299,706 – RTC551138 – RTC538360

Connect:Express is now able to validate incoming connections indifferently with or without receiving the PeSIT pre-connection string. To enable outcoming connections without sending a PeSIT pre-connection string the new partner type N has been added. In addition, Connect:Express can accept or open connections with a blank pre-connection string. To enable this 2 partner names have been reserved: \$\$ABPS\$\$ and \$\$SBPS\$\$.

tom apm and tom mon updated.

#### • APAR IT20679 - PMR 53850,661,706 - RTC538568

Checking the domain names (DN) of a partner's certificate when the trace is disabled do not generate a TRC=2057 error.

tom\_apm updated

#### APAR IT20397 – PMR 88393,100,838 – RTC533824

The tom\_prm command does not export unwanted characters like ^M and '?' anymore. Present in a definition these characters could prevent the former to be re-imported. tom\_prm updated

#### • APAR IT20176 - PMR 65413.660.706 - RTC533523

The use of System V messaging has been improved; in case of a sending message failure, which can happen on very rare occasions, the message is resent and the error is logged in the LOG file:

tom\_mon updated.

#### APAR IT20071 – PMR 67904,664,706 – RTC528638

At the end of a PeSIT session, the presence of a PI99 in the RELCONF FPDU does not cause an ABORT FPDU.

tom\_apm updated.

#### APAR IT19667 – PMR 53347,661,706 – RTC532500

Any user who belongs to the same group as the user who started Connect:Express can run itom commands and sterm.

config/ch\_conf config/list\_ctx config/p1b8padt config/start\_trace config/stop\_tom config/stop\_trace config/tom\_prm gtrf/stop\_tom\_api gtrf/tom\_api gtrf/tom\_mon httpn/cxnotif httpn/tom\_httpn itom/getrenc itom/p1b8pcan itom/p1b8pe2e itom/p1b8pfil\_c itom/p1b8pfil\_d itom/p1b8pfil\_dfl itom/p1b8pfil\_m itom/p1b8pfil\_s itom/p1b8ppar\_c itom/p1b8ppar\_d itom/p1b8ppar\_m itom/p1b8ppar\_s itom/p1b8ppur itom/p1b8pren itom/p1b8preq itom/p1b8pret itom/SAMPLES/c\_sfn itom/SAMPLES/c\_spn notif/archnotlog notif/notcmd notif/tom\_notify sterm/tom\_opr strf/tom\_apm strf/tom\_ftp updated

# • APAR IT19704 – PMR 53351,661,706 – RTC532563

Use of host name in the APPORT parameter of the sysin file is correctly resolved. **tom\_api updated.** 

#### • APAR IT19515 - PMR 67648,664,706 - RTC523913

If a sent file is truncated during its transfer then the transfer is aborted with PRC=319 and TRC=5008.

tom\_mon and tom\_apm updated

The fix pack 1.5.0.16 of **IBM Sterling Connect:Express for UNIX** integrates OpenSSL version 1.1.1c.

Linux RedHat Enterprise Linux 5 in not supported anymore.

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The fix pack 1.5.0.15 of IBM Sterling Connect: Express fixes important problems with tom\_apm and tom httpn servers in the previous release. It has been broadly tested under heavy load and should bring more robustness and stability.

In addition of these fixes the fix pack 1.5.0.15 provides the following:

- integration of Open SSL 1.0.2k;
- the cxnotif command can now be used in begin and end of transfer scripts without compromising the integrity of the notifications database files;
- the default value of the time out for the connections with the tom apm server is now 90 seconds:
- the default value of the time out for the connections between tom\_httpn and the HTTP server is now 90 seconds.

The fix pack 1.5.0. 15 fixes the following defects:

#### **APAR IT18851 - PMR 67613,664,706 - RTC523970**

The use of a multi-thread architecture in tom\_api has been identified as the cause of the problem described. The implementation of this architecture was potentially inefficient, could raise data races and also was causing memory leaks. Implementation of tom api is now using a multiprocess architecture which gives more robustness and stability under heavy load. tom api updated

#### APAR IT18864 - PMR 67650,664,706 - RTC526557

Sending of notifications to the HTTP server in tom\_httpn is made by a thread. Improvements in the source code have been made to remove potential data races and dead locks which could explain the blocking of the sending of notifications.

# tom\_httpn updated

#### **APAR IT17703 – PMR 52759,661,706 – RTC 526128**

During an update, the installation script now backups only the files which will be overwritten by the update. No backup will be taken if the option -n is given on the command line.

The fix pack 1.5.0.14 of **IBM Sterling Connect:Express** provides the new following features:

- integration of Open SSL 1.0.2j;
- the SSL server definition example is disabled by default.

The fix pack 1.5.0. 14 fixes the following defects:

# APAR IT17938 - PMR 51896,661,706 - RTC520927

Load balancer connections to secured tom\_apm servers do not generate error messages in the LOG file.

tom\_apm updated

# • APAR IT17703 - PMR 51892,661,706 - RTC517970

During the update of Connect:Express, the installation procedure manages symbolic links in a better way.

install.sh updated.

## APAR IT17702 - PMR 51710,661,706 - RTC517971

Transfer of Windows text file (WF format) with a record length of 599 does not generate signal 6 error.

top\_apm updated

#### APAR IT17455 - PMR 51715,661,706 - RTC516081

An error in treating an alarm coming together with an error in calculation dates after the 30th of September caused intermittent errors preventing big files to be fully transferred.

## APAR IT16883 - PMR 51438,661,706 - RTC512914

During the update of Connect:Express, the backup of the current installation ignores files which are not owned by the user ('lost+found' directory for example). **install.sh updated.** 

#### APAR IT16695 - PMR 66887,664,706 - Can't stop CX - RTC510262

With AIX, when CX is stopped, the stop of the tom\_api process is correctly done when connections are opened with Sterling Control Center.

Mise à jour de tom\_api.

#### APAR IT16418 - PMR 66871,664,706 - RTC506685

The output option of the extract parameter of the tom\_prm command enables to dump the output in a file.

tom prm updated.

# APAR IT16051 - APAR IT15729 - PMR 62097,660,706 - RTC 504390

The lack of SSL partner definition does not unexpectedly stop tom mon when a file transfer is requested.

tom\_mon updated.

APAR IT15223 – PMR 61558,660,706 - PMR 65820,664,706 PMR - 66239,664,706-RTC 500884 - RTC 503206

A memory leak caused an unexpected end of tom api during load-balancers connections and statistics collections.

tom api and stop tom api updated.

APAR IT15174 - PMR 66350,664,706 - HTTPN process - RTC500886

A regression in version 1.5.0.13 caused a corruption of the notification file names. tom\_mon updated.

APAR IT14662 – PMR 18645,664,706 - PMR 19613,664,706 - RTC 492635 – RTC

The message queues life cycle management has been improved. There should not be any "ERROR CREATING QUEUE" messages in the LOG. This implies that the user ID of the itom commands and tom opr (sterm) must be in the same group as the owner of the CX instance. start\_trace, stop\_tom, stop\_tom\_api, stop\_trace, tom\_api, tom\_apm, tom\_ftp, tom\_httpn, tom mon and tom notify updated.

PMR 62097,660,706 - RTC 504390

The lack of the SLL partner definition does not crash tom\_mon when a transfer is made to this partner.

tom\_mon updated

The fix pack 1.5.0.13 of **IBM Sterling Connect:Express** provides the new following features:

- the installer has been improved; it is now possible to silently install or upgrade the product (option -s) and to specify an absolute or relative installation directory on the command line (option -d); during an interactive installation the responses are preselected; during a first installation, sample definitions are installed which enable to validate the installation by performing simple request transfers;
- the management of the edition used has been improved to be compatible with the last releases of **IBM License Metric Tool** (ILMT); during a first installation or an update it is asked which edition is going to be used (*Premium*, *Standard*, *Premium for Non-Production Environment* ou *Standard for Non-Production Environment*); the command changeEdition can be used to change the current edition at any time;
- the rotation and compression of the LOG file are now possible; the configuration of the number of previous log files to keep and the file compressor is done through the sysin file:
- the percentage of transferred bytes is now displayed in the MONITOR STATUS screen of sterm as well as in the output of the command plb8pren;
- the new command compact\_base can be used to manually compact the Connect:Express database files;
- OpenSSL is now dynamically linked with Connect:Express; users can decide to either
  use the libraries delivered with the product (this is the default) or use the libraries
  installed with the operating system; this will facilitate the update of OpenSSL libraries in
  case of a security alert;
- when Connect:Express opens an inbound or outbound secured connection the SSL protocol version is displayed in the LOG file;
- the tom\_httpn command is now sending errors to the standard error stream instead of the file RDIND.txt; it is the responsibility of the user to redirect the error stream in a file if the user does not want this error messages to be printed on the screen:
- to help support teams with problem determination the command CX\_Data\_Collector has been made available; it enables to collect informations about a **Connect:Express** instance and to automatically send this data to IBM ECuREP site;
- all the binaries for the Linux operating system are now compiled in 64 bits; this removes the 2 gigabytes file limit size for trace files.

The fix pack 1.5.0.13 also fixes the following defects:

APAR IT08263 – PMR 18767,664,706 - RTC 461741
 A regression in version 1.5.0.12 caused a garbaged password to be sent when the DPCPSW was empty.
 tom\_mon updated.

#### APAR IT09646 – PMR 11502,661,706 - RTC 463201

A memory leak was the root cause of an unexpected stop of  $tom\_api$  during statistics collection.

tom api updated.

# APAR IT09819 – PMR 66469,082,000 – RTC 468571

In certain conditions  $tom\_apm$  server stopped while handling Nessus scanner connections.

tom\_apm updated.

#### APAR IT10469 – PMR 82626,082,000 – RTC 473020

When the Connect:Express database has been corrupted, its automatic rebuild when the monitor starts has been improved.

tom\_mon updated.

#### APAR IT11204 – PMR 19406,664,706 – RTC 470866

The NRC field in notifications could have a value different of zero in case of successful transfer.

tom\_mon updated.

#### RTC 463086

A regression in version 1.5.0.12 caused syntax errors in the sysin file when tab characters were present between TCPORT, FTPORT or APPORT parameters and their value.

tom\_mon updated.

#### RTC 466415

An old regression prevented the correct execution of the build\_tbl command. build\_tbl updated.

# RTC 464761

A regression in version 1.5.0.12 caused the display of a @ instead of a 9 character in the transfer identifier value returned by tom\_api.

tom api updated.

#### APAR IT11448 – PMR 12863,661,706 – RTC 477271

It is now checked in the <code>cxnotif</code> command that <code>HTTPNF</code> parameter in the <code>sysin</code> file is not set to 1. Using <code>cxnotif</code> and the HTTPN notifications at the same time can corrupt the notification files. The source code has also be improved to prevent potential bugs. tom\_mon and cxnotif updated.

#### APAR IT12181 – PMR 12860,661,706 – RTC 480064

In some cases with a deficient server, connection requests could stay in a state that left the transfer requests in the C state. A timeout is now forcing connections to be closed close if they do not complete in a 60 seconds delay. **tom\_apm updated**.

#### • APAR IT12432 – PMR 34249,660,706 – RTC 479082

This APAR completes APAR IT05776 which did not address the problem of the pollution of the LOG file by load balancers connections to the secured tom\_apm servers. **tom\_apm updated**.

#### APAR IT12503 – PMR 19613,664,706 - RTC476479

The socket close phase is now subordinated to a timeout. Closing of a blocked socket will be forced in order to let the waiting requests to be processed.

tom\_apm updated.

#### APAR 13780- PMR 65323,664,706 - RTC 489482

The memory violation at the start of the tom\_apm process when the trace is enabled in the sysin file has been fixed.

tom\_apm updated

#### RTC 492758

In the French screens PRESENTION and PARAMETRES\_DE SESSIONS SSL of tom opr, the value of O/N fields stay at O when they are edited.

# tom\_opr updated

# • RTC 495058

Import of CTRLDN definition with tom prm when mode is set to REPLACE is now working.

# End of **Connect:Express** support for the following Operating System versions :

Operating System	Dropped Version	Supported Versions
SUN Solaris	5.9	5.10 et supérieures
IBM AIX	5.3	6.1 et supérieures

#### 02/13/2014: APAR IC99172 - RTC 411153

Additional variables have been added in the statistics for event 11 (NodeError).

# tom\_mon updated

#### 02/28/14: APAR IC99710 - RTC 410300

The API server is managing inbound connections in a more robust way. The server does not terminate when network error occurs during accept nor during memory contention.

#### tom api updated

03/09/14: Extension of the length of the physical name to 128 characters.

# 03/14/2014: APAR IT00262 - RTC 415244

Pi22 set to 01 (reception)

tom\_mon, and tom\_apm updated

# 03/14/2014: RFE 413163 (DW 45498)

Transfer identification displayed both decimal and hexadecimal format.

#### tom opr updated

03/14/2014: Origin and destination fields received in pi3 and pi4 respectively are 24 characters long, made of 8 characters segments: take the first non blank..

#### tom\_apm updated

03/18/2014: PPDFLT (default presentation table) and PPFRST (First presentation table) added in the sysin file.

# tom\_mon updated

03/18/2014: Presentation table no longer required in the file definition.

# tom\_opr and tom\_prm updated

03/18/2014: The presentation table can be specified in the sysin, in the partner definition or in the file definition.

The PPFRST parameter indicates in which order the information is processed:

- 'PPFRST=P' indicates that the order is Partner/File/Sysin (Partner has priority);
- 'PPFRST=F' indicates that the order is File/Partner/Sysin (File has priority).

If the table is specified in neither the partner or the file definition, the sysin value is used. As no field is required, if none is provided, all presentation parameters are set to null: no compression, no multi-record, no transcoding.

# tom\_mon updated

#### 03/20/2014: APAR IT00449 - RTC 415782

The statistics purge process is performed, by default, at 00:30:00 am, or based on the environment variable \$PURGE\_STATS\_TIME. The automatic daily process has been reviewed, and it is no longer required to stop-start the monitor for this purge to occur.

#### tom\_mon updated

#### 03/26/2014: APAR IT00623 - RTC 417688

tom\_prm DATABASE MODE=(CREATE|DELETE) is working again.

# tom\_prm updated

# 03/27/2014: APAR IT00644 - RTC 417472

The SSL return code (SSLRC) variable has been added into the statistics records of some events.

#### tom mon updated

#### 04/02/2014: **APAR IT00717 - RTC 418477**

It is now possible to start the tom\_api server when the environment variable TOM\_QUEUE is used.

The 64 bits version of tom\_api for AIX is now starting when an IP address is specified for listening the incoming connections.

# tom\_api updated

#### 04/28/2014: APAR IT01356 - RTC 421338

In transfer errors when the parameter /UDF is used with the p1b8preq command, the value of the field UserDataSent (USDS) is correctly initialized in the statistics.

# tom\_mon updated

#### 04/28/2014: **APAR IT01343 - RTC 421503**

Due to a regression introduced with the interim fix 150.11.01, on AIX platform, some variables were missing during extraction of files and partners with the tom\_prm command.

# tom\_prm updated

#### 08/21/2014: **APAR IT03910 - RTC 437903**

The function to update the file used to manage the synchronization has been improved. On very rare occasions it could cause tom\_apm to crash.

# tom\_apm updated

#### 09/06/2014: APAR IT04176 - RTC 434183

The serial number in the request number (QQQNNNNN) as well as the transfer identifier are now in hexadecimal format. The potential number of transfer requests can now be more than 16 millions per day.

#### tom mon updated

#### 09/30/2014: APAR IT04176 - RTC 434183

The transfer ID (pi13) is now independent of the request number and evolves from 1 to 0xFFFFFF.

# tom\_mon updated

# 10/01/2014: APAR IT04619 - RTC 442120

The notification service I snow able to send a notification when the sent or received physical file is more than 2GB in size.

#### tom\_http updated

#### 11/17/2014: **APAR IT05622 – RTC 448569**

Dynamic activation of the trace (ch\_conf command) when the trace was initially deactivated does not raise crash during transfers anymore.

#### tom apm updated

## 11/26/2014: **APAR IT05776 - RTC 449366**

The reply to load balancers connection requests has been improved. It is now possible for a load balancer to test the activity of tom\_apm (secured and non secured) and tom\_fp server processes without displaying polluting messages in the LOG file.

#### tom apm and tom ftp updated

#### 11/27/2014: **APAR IT05814 – RTC 449857**

The request number field in the monitor status screen of sterm can be entered in hexadecimal. tom\_opr

#### 12/06/2014: RTC 450315

Article length up to 65529 are now supported and do not crash tom apm anymore.

# tom apm, tom opr, tom prm, p1b8preq and p1b8pe2e updated

# 01/19/2015: **APAR IT06825 - RTC 453277**

If Origin and/or Destination are not specified in a transfer request then PI3 and PI4 will not be sent in CREATE or SELECT and ACK SELECT FPDUs.

# tom\_apm updated

#### 02/20/2015: APAR IT07287 - RTC 454049

Character EOF (0x14 in hexadecimal) at the end of a text file is now correctly processed for WF and WV file types.

## tom\_mon updated

#### 02/24/2015: APAR IT07281 - RTC 456965

Input characters in the 'PHYSICAL NAME' field of tom\_pr (sterm) application is not mandatory anymore.

#### tom\_opr updated

#### 03/27/2015: APAR IT07692 - RTC 456382

In some cases (loop mode) and when synchronization was enabled transferred files could be corrupted.

#### tom\_apm updated

#### 03/27/2015: RTC 460605

To limit the number of running instances of tom apm after a first installation of CX, the sysin parameter STRFRN is fixed to 10.

# sysin updated

# **Version 1.5.0.11**

10/22/2013: **APAR IC96964 - RTC 397101**, tom\_apm and tom\_ftp servers better handle errors during connection requests tom mon, tom ftp and tom apm updated

01/16/2014: **APAR IC98687 - RTC 406566**, when an instance of tom\_apm is re-used to transfer a file with a partner defined with type 'T' the PI99 field was not re-initialized correctly **tom\_apm updated** 

01/23/2014: **RTC 406254**, the STONER parameter has been added to the SYSIN file; when set to 1 Connect:Express is forced to stop if one of its child servers (TCPIP, FTP, SSL or API) dies unexpectedly; this can be used to prevent to use CX if it is mis-configured; **tom mon. tom ftp and tom apm and tom api updated** 

01/27/2014: RTC 408087, Upgrade OpenSSL including security fixes.

# 01/31/2014: **RFE 398702 (DW 39699) - RTC 408363**

Enhanced CFT compatibility:

- environment variables added 'partner'\_ IMSG and 'partner'\_OMSG, to enable specific functionalities:
- existing variables still exist as defaults: CNX\_MSG applies to the monitor, 'partner'\_MSG applies to 'partner', in requestor and server modes. 'partner'\_OMSG applies to requestor mode, 'partner'\_IMSG applies to server mode. Specifying 'partner'\_IMSG=&PI99, means that Connect:Express must send back the PI99 received;
- when a CFT profile is used, compression is disabled, because CFT implements proprietary algorithms.

## 01/31/2014: RFE 407855 (DW 43372) - RTC 408840

User exits and user commands processing is displayed in log messages .

01/31/2014: Added ANY option in the partner definition, replaces environment variable 'partner'\_PRF. This option enables communications with a CFT configured with a proprietary profile.

The environment variable CNX\_PRF, that applies to the monitor, has priority. If it is active, all partners have profile ANY, whatever their own definition.

The environment variable 'partner'\_PRF is no longer required, but it is considered if the ANY option is 'N' in the partner definition.

01/31/2014: Added INQUIRY option in the file definition. This option indicates if a remote partner can read the file although no hold transmission request has been prepared.

01/31/2014: tom\_api trace fixed: the name of the Client session trace was constant, equal to "C".

# **Version 1.5.0.10**

08/28/2013: **APAR IC94887 - RTC 392407**, improving installation script install.sh **install.sh updated** 

06/09/2013: **APAR IC94592 – RTC 386170**, Windows text format (fixed and variable) added to file definition

tom apm, tom opr, sterm et cxcmd updated

09/18/2013: **APAR IC96043 - RTC 397101**, tom\_apm server better handles repeated test connections without data sent like load balancers do; these connections are managed without error messages written in the LOG file

tom apm updated

09/23/13: **APAR IC96145 – RTC 396664**, substitution of different values of Pi37 in consecutive transfers in a same session is now correct **tom\_apm updated** 

09/23/2013: **RTC 382879**, the execution of Connect:Express with a user name which is more than 8 characters in length prevents some fields to be correctly updated with sterm et tom\_prm tom apm, tom ftp, tom mon, tom opr, tom prm et itom utilities updated

10/22/2013: **APAR IC96964 - RTC 397101**, tom\_apm and tom\_ftp servers better handle errors during connection requests

tom\_mon, tom\_ftp and tom\_apm updated

# **Version 1.5.0.9**

04/23/2013: **RTC 373780**, the record length passed with the p1p8preq command is sometimes misused.

p1p8preq updated.

<u>04/</u>24/2013: **RTC 371607**, requests stay in 'J' state and number of retries is reset to zero **tom mon updated.** 

06/07/2013: **RTC 380504**, tom\_prm accepts mandatory parameter with no value **tom\_prm updated** 

06/14/2013: **RTC 381587**, fixes a tom\_prm import problem **tom\_prm updated** 

07/04/2013: **RTC 384163**, fixes a problem of translation not done when sequential file transfers were done in a same instance of tom\_apm

tom\_apm updated

**Version 1.5.0.8** 

01/29/2013: **RTC 363036**, start and stop of the tom\_apm processes (TCPIP and SSL) are now logged in the LOG file

tom apm and tom mon updated.

02/14/2013: **RTC 365460**, an additional option enables to extend the supported character set to all printable characters for tom\_opr, tom\_prm and the itom utilities; by default, the supported characters set remains unchanged

tom\_mon, tom\_opr, tom\_prm, p1b8pfil\_d , p1b8pfil\_dfl , p1b8pfil\_s , p1b8ppar\_d, p1b8ppar\_s , p1b8ppur and p1b8preq updated.

03/07/2013: **RTC 366030**, crash of tom\_apm and tom\_mon during transfers if the session used defines a size of 0 for the message length **tom\_apm updated.** 

03/05/2013: Upgrade OpenSSL including security fixes.

**Version 1.5.0.7** 

10/15/2012: **RTC 352448**, the TCPIP HEADER field of the SSLPARM structure is now exported/imported with the tom\_prm command **tom\_prm updated**.

29/10/2012: connection acceptance of an inbound partner with Connect:Express Unix is now done regarding the name specified int the PeSIT pre-logon string excepted if:

- the name is not specified or is empty;
- the name is different than the value of the Pi3 field of the PeSIT CONNECT, in which case the Pi3 value is used **tom\_apm updated.**

11/06/2012: **RTC 351239**, control characters are not shown anymore in statistics display **tom\_api updated.** 

11/19/2012: **RTC 355832**, the environment variable TOM\_API\_TCP\_TIMEOUT is now accepted to change the connection time out to the tom\_api process; the default time out is now 60 seconds

tom\_api updated.

12/28/2012: **RTC 361032**, when the last character of the XFERID field is a '9' it is not transformed in a '@' **tom mon updated.** 

01/07/2013: **RTC 362214**, SHA256 is now supported for hashing integrity control messages in SSL

tom\_apm updated.

# **Version 1.5.0.6**

09/10/2012: **RTC 320826** (duplicated: 346287, related: 320813), PMR 42327.660.706, tom\_mon crashes.

Modification: strf/security.c, /strf/sndfpdu.c, /tom\_ftp/tom\_ftp.c, /commun/r\_fonc.c, /itom/l0b8z20.c, /itom/r\_msgq.c, /include/strf/declc.h, /include/strf/recfpdu2.h, /include/strf/decl.h, /include/api/proto.h, /include/ftp/ftp.fonctions.h, /include/ftp/ftp.decl.h, /include/ftp/ftp.const.h, /gtrf/r\_differ.c, /gtrf/oto1.c, /gtrf/r\_cont.c, /gtrf/r\_log.c, /gtrf/oto0.c, /gtrf/r\_msgq.c, /tom\_mon/tom\_mon.c, /sterm/r\_msgq.c, /tom\_api/tom\_api.c and /tom\_apm/tom\_apm.c tom apm, tom ftp and tom mon updated.

06/18/2012: RTC 327845, PMR 40639.660.706, requests from a client to a server generates

RENC read error. Modification: qtrf/oto1.c tom\_mon updated.

09/13/2012: RTC 348956, when the number fo message queues reaches the maximum defined by the kernel parameter kernel.msgmni, any new tom apm process crashes with a SIGSEGV. Modification: commun/r\_fonc.c

tom apm updated.

09/13/2012: RTC 348972, PRC code 8000 (syslog message STRF Process Abnormally Ended) is never sent to tom mon when tom apm crashes.

Modification: tom apm.c tom\_apm updated.

09/13/2012: RTC 348977, in case of segment violation, tom\_mon can kill all user processes

instead of killing only those it launched.

Modification: oto0.c and oto1.c

tom mon updated.

09/13/2012: RTC 348986: like tom\_mon, tom\_apm and tom\_ftp now generate a core dump in

case of a segment violation or abort signal. Modification: tom\_apm.c and ftp.fonctions.c

tom\_apm and tom\_ftp updated.

10/05/2012: RTC 352448, tom prm doesn't export/import the value of the TCPIP Header field of the SSLPARM structure.

Modification: tom prm.c tom prm updated.

Version 1.5.0.5

03/07/2012: RTC 334958, PMR 32527,661,706, APAR IC85060 - File is removed when an error occurs after end of transfer.

Modification: tom apm.c tom\_apm updated.

26/07/2012: RTC 342397, PMR 41964,660,706, APAR IC85544 - Incoming calls fail with signal

11 (trace enabled).

Modification: include/strf/recfpdu2.h

tom apm updated.

26/07/2012: **RTC 339320, PMR** 46114,660,706, APAR IC85552 - request failed with PRC 203

after received request with PRC 200

Modification: tom\_apm.c tom\_apm updated.

# **Version 1.5.0.4**

27/04/2012: When the monitor catches a signal (SIGSEGV for ex.), tom\_mon activates the trace if it is not enabled. Also, if it exists and can be executed, tom\_mon invokes the command \$TOM DIR/exit/UEXSUG before the monitor dies.

Change tom\_mon/tom\_mon.c

tom mon and UEXSIG updated.

**02/05/2012: RTC 319820: Corruption of the value of parameters** NOTBEFORE, NOTAFTER, VERSION, DH\_PARAM, CA\_LIST, SERIAL\_NO, ISSUER\_DN, SUBJECT\_DN, CLIENT\_SUBJECT, CLIENT\_ISSUER, SERVER\_SUBJECT and SERVER\_ISSUER when exported with the tom\_prm command.

Modification: tom\_prm.c

22/05/2012: **RTC 319850**, PMR 26954,300,624, APAR IC83583 . Transfer failed ERROR

DURING SELECTION TRC=4991.

Modification: tom\_apm.c

22/05/2012: RTC 327845, PMR 40639,660,706, APAR IC83612 . Transfer inquiry rejected

TRC=2152 PRC=2205.

Modification: tom\_apm.c, tom\_mon.c,oto01.c

tom\_mon and tom\_apm updated.

22/05/2012: RTC 328860, PMR 40723,660,706, APAR IC83581 . File, fix record, with no LF at

end of file, TRC=5010. Modification: r\_file.include.h

tom\_apm updated.

Version 1.5.0.3

15/02/2012: **case 124615** and **case 124624**: Garbage in RTAB file. Change gtrf/oto0.c **tom\_mon updated.** 

21/02/2012: **defects 130160 and 145300**: Segmentation of long run purges. Change itom/l0b8z20.c, include/gtrf/const.h, include/gtrf/proto.h, gtrf/r\_oper.c, gtrf/r\_msgq.c, tom\_mon/tom\_mon.c, sterm/r\_purge.c and docs/doc/CXUX15\_UserGuide

28/02/2012: **RTC 313123**: tom\_apm doesn't start. Change gtrf/r\_fonc.,c gtrf/oto0.c and tom\_mon/tom\_mon.c tom\_mon updated.

28/02/2012: New parameters MAXSES et MAXFTP in the SYSIN file. Change include/gtrf/const.h, include/gtrf/decl.h, gtrf/decl.c, gtrf/r\_log.c, gtrf/oto0.c, tom mon/tom mon.c and doc/CXUX15 UserGuide

28/02/2012: **RTCs 303798** and **124624**: Fix of tom\_api which stopped suddently and randomly. Change: include/api/proto.h, tom\_api/tom\_api.c and tom\_api/tools.c

08/03/2012: **RTC 315340**: Improvement with the use of the SYSTCP file. TCPBYPASS can be put wherever and alternate TCP/IP addresses are correctly used

# **Version 1.5.0.2**

13/09/2011: fields initialized to fix propagation code trc=2010 from message to file in the same session.

Change tom\_mon.c, tom\_apm.c and oto0.c.

tom\_mon and tom\_apm updated.

28/10/2011: Pi99 field initialized to fix propagation when chaining transfer in the same session. Change tom\_apm.c.

tom apm updated.

08/11/2011: Environment variables added, 'partner' PRF and 'partner' MSG, to support, for one partner, profile ANY and connection pi99.

Change tom apm.c, recfpdu2.h.c and sndfpduc.c.

tom apm updated.

29/11/2011: case 363990 tom apm doesn't stop, waiting for end of session Change tom apm.c.

Tom\_apm updated.

06/12/2011: case 371026: trc=2011 request rejected when SFN= is omitted Change tom mon.c and gtrf/oto1.c.

Tom\_mon updated.

06/12/2011: **case 373411:** p1b8ppur /qqq= fails trc=2042 (Linux)

Change p1b8ppur.c.

p1b8ppur updated.

19/12/2011: case 371063: Connection fails trc=1000: end of transfer command is launched Change tom apm.c.

Tom\_apm updated.

Version 1.5.0.1

07/03/2011: case 281210: Fix: return code trc=1962 in place of trc=2054 when C:X rejects an inquiry request.

Change in sndfpduc.c (tom/strf).

tom\_apm updated.

22/04/2011: case 288576: increase I0b8z20 timer from 15 to 30 seconds.

Change I0b8z20 (tom/itom).

Itom updated.

22/04/2011: case 289802: send return code PRC=2205 linked to TRC=2054, when an inquiry request is rejected.

Change in oto1.c (tom/gtrf).

tom mon updated.

22/04/2011: default partner definition made available (\$\$PART\$\$), and disable password control through keyword \$\$NONE\$\$

Change in oto1.c, oto0.c, tom mon.c (tom/gtrf).

tom\_mon updated.

22/04/2011: Caller's password added to the list of fields of the http notification.

Change in rfonc.c (tom/gtrf).

tom\_mon updated.

22/04/2011: case 282627: support of characters «-« and «\_» in symbolic names

Change in r screen.c (tom/sterm).

tom\_opr updated.

22/04/2011: Default file process.

The partner default file process is extended to PeSIT, and \$\$FILE\$\$ profile is added. When receiving a request for a file that is unknown, the tranfer is processed using the partners default file (if one is declared), or the \$\$FILE\$\$ profile is used, if defined in the directory. For the FTP protocol the sysin default file has priority on the \$\$FILE\$\$ profile. All default files must be defined in the directory. If no default profile is found, the file transfer is rejected. Change in oto1.c, tom\_mon.c (tom/gtrf), tom\_apm (tom/strf) make\_msg.c (tom/sterm). tom mon, tom apm updated.

22/04/2011: If the monitor is stopped (stop or kill), current transfers are interrupted. Change in tom\_mon.c (tom/gtrf), tom\_apm (tom/strf).

tom\_mon, tom\_apm updated.

22/04/2011: Notification option 0 in the transfer request parameters disables the file option. Change in oto1.c (tom/gtrf).

tom mon updated.

05/05/2011: transfer interrupted with multi-article option set, fixed.

Change in tom\_apm.c (tom/strf).

tom\_apm updated.

25/05/2011: **case 309087**: signal 11 with CFT partner, PeSIT-E, INCOMING REJECTED UNKNOWN, fixed.

Change in recfpdu2.h (tom/strf).

tom apm updated.

27/05/2011: case 309087: SYSTCP et SYSX25 disabled, fixed.

SYSTCP and SYSX25 updated.

15/08/2011: lookup long names and passwords in FTP server mode.

Change tom\_ftp.c and included modules (tom/strf and /include).

tom\_ftp updated.

15/08/2011: <skipnofile> option added in httpnotcfg.xml: skip notification if the physical file is not found. <PhysicalStatus> field added in the http notification

Change tom httpn.c, specif.c and toolx.c.

tom\_httpn updated.