IBM Aspera Desktop Client 4.3



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## **High-Speed Transfer Client Admin Guide for AIX**

Welcome to the High-Speed Transfer Client documentation, where you can find information about how to install, maintain, and use the High-Speed Transfer Client.

## Introduction

Thanks for choosing Aspera and welcome to the world of unbelievably fast and secure data transfer.

#### The Basics

Aspera high-speed transfers begin when an Aspera client authenticates to an Aspera server and requests a transfer. If the client user has authorization, then transfer tools are launched on the client and server and the transfer proceeds.

For example, an IBM Aspera Desktop Client user connects to an IBM Aspera High-Speed Transfer Server and initiates a transfer:



Depending on the user's transfer request, files and folders can be transferred to the server from the client (uploaded) or transferred to the client from the server (downloaded). The source and destination can be cloud storage, an NFS or CIFS mount, and IBM Spectrum Scale storage, to name a few.

#### What is the Server?

The Aspera server receives transfer requests from Aspera clients, determines if the user has permission to access the server and authorization to the target area of the file system (source or destination with read or write access), and participates in transfers. The server can be:

- an on-premises installation of HSTS, IBM Aspera High-Speed Transfer Endpoint (which permits one client connection),
- a HSTS installed as part of IBM Aspera Faspex, or
- an HSTS deployed in object storage as an IBM Aspera On Demand instance, an IBM Aspera on Cloud transfer service node, or an IBM Aspera Transfer Cluster Manager node.

#### What is the Client?

The Aspera client is the program that requests a transfer with the Aspera server. Aspera applications that can act as clients include:

- Desktop Client,
- IBM Aspera Drive,
- IBM Aspera Connect,
- IBM Aspera Command-Line Interface,
- · HSTS and HSTE

#### What is FASP?

At the heart of your Aspera ecosystem are the FASP transfer engines Ascp and Ascp 4. Ascp maximizes data transport over any network and is particularly suited to large files. It is a powerful command-line tool and also drives transfers started in the GUI.

Ascp 4 is another command-line transfer tool that is optimized for both large files and transfers of thousands to millions of small files, handling large amounts of file metadata as part of the high-speed transfer.

Both Ascp and Ascp 4 are installed and enabled with your installation of HSTS, HSTE, and Desktop Client.

## **The Aspera Transfer Server**

Your Aspera transfer server is a powerful, customizable hub for your high speed transfer activity. Configuration settings allow you to control which clients have access for uploading or downloading data, how much bandwidth their transfers can use, the priority of those transfers, and how data is secured during and after transfer. The transfer queue can be managed on the fly, enabling you to adjust as priorities change. You can also monitor transfers and receive email notifications when transfer sessions or individual file transfers start and stop.

## The Aspera Server GUI

The Aspera desktop GUI is primarily a client transfer tool, but it also offers a user-friendly interface for managing users and configuring your server on supported platforms (Windows, Linux, macOS). Security settings, bandwidth use policies, and file handling rules can all be set in the GUI. Configurations can be applied to all users (globally), to groups, or to individual users.

#### **HSTS Web Portal**

Your HSTS can be made even more accessible to clients by hosting a web-based storage directory. Authorized clients can browse files by using any modern web browser, and transfer using the free, automatically-installed Connect.

### **Asconfigurator: The Aspera Configuration Tool**

If you are unfamiliar with the XML formatting required for your Aspera server's configuration file, you can edit your configuration with confidence by using **asconfigurator**. These commands ensure that the XML structure is correctly maintained when you add or change settings.

## **Tap into the Aspera Ecosystem**

If you have a variety of data storage systems and internal and external customers who need access to the content in that storage, HSTS can be incorporated into a scalable Aspera data transfer ecosystem that meets your needs. Your Aspera server can be monitored and managed by IBM Aspera Console, and added as a node to IBM Aspera Faspex, IBM Aspera Shares, IBM Aspera on Cloud, and IBM Aspera Application for Microsoft SharePoint.

## **The Aspera Client Transfer Tools**

Your installation includes the following transfer tools, some of which require an additional license for activation.

### The FASP Transfer Engines: ascp and ascp4

These command line tools enable you to run transfers to any server to which you have access, and to customize the transfers (within the parameters set by the server). They are scriptable, supporting unattended data transfer and custom pre- and post-transfer file processing.

### Hot Folders: Automatic Data Transfer in the GUI

Sending or receiving files can be even easier and faster by using Hot Folders. Available only on Windows, you can set up a Hot Folder to watch for and automatically transfer any new files that are added to that folder. Automatically send files to a server as they are added to a folder on your own desktop, or receive files as they are added to a folder on the server. Transfers use Ascp and are easily managed from the GUI.

## Watch Folders: Automatic Content Delivery at Any Scale

Using asperawatchd and Watch Folders creates a powerful, efficient file system monitoring and automatic transfer tool that can comfortably handle millions of files and "growing" sources. Automatically transfer

files as they are added to a source folder. With a REST API interface, you have full programmatic control for custom, automatic transfer processing.

Watch Folders offer the same transfer and bandwidth management options as **ascp**, and can be monitored and managed through Console. Watch Folders are enabled in your HSTS or HSTE.

### IBM Aspera Sync: Directory Synchronization at the Speed of FASP

When everyone needs to see the same files or you need to be sure that every file is replicated, Aspera Sync provides a high-speed tool to do it. Unique among Aspera's transfer tools, Aspera Sync supports bidirectional synchronization for optimum collaboration and consistency between computers.

Aspera Sync uses efficient file system monitoring and change detection to minimize redundant data transfer and to reduce database storage requirements. Aspera Sync offers the same transfer and bandwidth management options as **ascp**, and can be monitored and managed through Console.

Aspera Sync is installed with your HSTS and HSTE, but both the client and server require a Aspera Sync-enabled license.

## **Get Started as a Transfer Client**

Aspera transfer clients connect to a remote Aspera transfer server and request a transfer with that server. Your Aspera application can be used as a client to initiate transfers with Aspera servers, as described in the following steps.

- 1. Review the system requirements and install Desktop Client.

  See "Requirements" on page 3 and "Installing Desktop Client" on page 5.
- 2. Configure the firewall, if it is not already configured.
  - See "Configuring the Firewall" on page 5.
- 3. Test a locally-initiated transfer to the Aspera demonstration server to confirm your installation and firewall configuration are operational.
  - For instructions, see <u>"Testing a Transfer" on page 5</u>. This provides a simple walk through of how to set up a connection with a server and transfer.
- 4. If you need to authenticate to the remote server with an SSH key, create an SSH key and send the public key to the server admin.
  - For instructions on creating an SSH key, see "Creating SSH Keys" on page 56.
- 5. To run transfers from the command line, review the instructions for the Aspera command line clients. Your Aspera product comes with two command line clients: **ascp** and A4. They are similar but have different capabilities. For a comparison, see "Comparison of Ascp and Ascp4 Options" on page 61.
  - For more information about **ascp**, see <u>"Ascp Command Reference" on page 26</u> and <u>"Ascp General Examples"</u> on page 42.
  - For more information about A4, see <u>"Ascp4 Command Reference" on page 66</u> and <u>"Ascp4 Examples" on page 74</u>.

Once you confirm that you can transfer with your server, your basic set up is complete.

## **Installation and Upgrades**

Before you install the current release, review the following information about hardware and software requirements, system preparation for upgrades or downgrades, installation instructions, and product security configuration.

## Requirements

System requirements for Desktop Client.

- Product-specific Aspera license file.
- AIX 7.1 and 7.2

## **Before Upgrading or Downgrading**

When upgrading (or downgrading), Aspera recommends the following preparation to ensure a smooth process, minimal transfer disruption, and peace-of-mind that your original configuration is backed up in case of any problems.

### **Upgrading**

- The installer automatically checks for an older version of the product on your system. If an older version is found, the installer automatically removes it before installing the new version.
- Although the installer performs your upgrade automatically, Aspera highly recommends completing the tasks below before upgrading. If you do not follow these steps, you risk installation errors or losing your former configuration settings.
- You cannot upgrade directly between different Aspera transfer products (such as from HSTE or /> to HSTS). To upgrade, you need to back up the configuration, uninstall the product, and perform a fresh install of the new version of the product.

### **Downgrading**

Older installers do not check for newer versions of the application. You must prepare your machine as described below then uninstall the newer version before continuing with your downgrade.

Newer versions of the Redis database are not compatible with older versions of the application. Your downgrade process depends on whether a backup of the older Redis DB is available, either as a separate backup file or as part of your backup of the var directory from the older version.

• With a backup: Follow the steps below to prepare your machine. Uninstall the application (for instructions, see ). Copy the older Redis DB file into the var directory before installing the older (downgrade) version.

/opt/aspera/var/

• Without a backup: Follow the steps below to prepare your machine. Uninstall the application (for instructions, see ) and delete the var and etc directories from your machine. Then do a fresh installation of the older version. The configuration files in the etc directory may be compatible with older versions, but not all configurations may be read.

```
/opt/aspera/var/
/opt/aspera/etc/
```

### Preparing for an Upgrade or Downgrade

1. Verify the current version.

The steps that are required to prepare for an upgrade depend on your version. To view the current product and version, run the following command:

**#** 

2. Review product release notes.

Review the release notes for the versions that were released since your current version. In particular, the **Breaking Changes** section highlights changes that may require you to adjust your workflow, configuration, or usage.

3. Stop or allow to complete any FASP transfers that were initiated by the computer that you are upgrading.

FASP transfers cannot proceed during your Aspera product upgrade.

- Stop (and resume after upgrade) or allow to complete any Ascp, Ascp 4, or Aspera Sync transfers in the command line.
- 4. Back up configuration and settings files.

These files are found in the etc and var folders.

/opt/aspera/etc/ (contains server configuration, web configuration, user settings, license info)

- /opt/aspera/var/ (contains Pre- and Post-Processing scripts)
- 5. Back up the Redis database.

The Redis database is backed up as part of backing up the var directory, but Aspera recommends backing it up separately as well, particularly if it is stored on a different machine.

```
# sudo /opt/aspera/bin/asnodeadmin -b /filepath/database.backup
```

6. If you modified the daemon startup scripts for Aspera Central and asperanoded (for example, as part of an Aspera API integration), back up the modified files. These files are overwritten during an upgrade and you will need to copy your modifications into the new files after upgrading.

## **Installing Desktop Client**

To install Desktop Client, log into your computer with root permissions.

**Important:** If this is a product upgrade, review all prerequisites described in <u>"Before Upgrading or Downgrading"</u> on page 4.

- 1. Download the HSTS installer from https://www.ibm.com/products/aspera/downloads.
- 2. For product upgrades, ensure you have prepared your system to upgrade to a newer version.

  Although the installer performs your upgrade automatically, Aspera *highly recommends* completing the tasks described in "Before Upgrading or Downgrading" on page 4
- 3. Run the installer as root:

```
# bash ibm-aspera-desktopclient-version-release.sh
```

An example of *version* is: 3.9.0.119806-aix-7.1-ppc32

4. Installation troubleshooting.

If the installer freezes during installation, another Aspera product might be running on your computer. To stop all FASP transfer-related applications and connections, see "Before Upgrading or Downgrading" on page 4.

## **Configuring the Firewall**

Desktop Client requires access through specific ports. If you cannot establish the connection, review your local corporate firewall settings and remove the port restrictions accordingly.

The following is basic information for configuring your firewall to allow Aspera file transfers. The outbound TCP port for SSH may differ depending on your organization's unique network settings. Although TCP/ 33001 is the default setting, refer to your IT Department for questions related to which SSH port(s) are open for file transfer. Consult your operating system's documentation for instructions on configuring your firewall. If your client host is behind a firewall that does not allow outbound connections, you will need to allow the following ports:

- Outbound TCP/33001: Allow outbound connections from the Aspera client on the TCP port (TCP/33001 by default, when connecting to a Windows server, or on another non-default port for other server operating systems).
- **Outbound UDP/33001:** Allow outbound connections from the Aspera client on the FASP UDP port (33001, by default).
- Local firewall: If you have a local firewall on the client (such as iptables), verify that it is not blocking your SSH and FASP transfer ports (such as TCP/UDP 33001).

## **Testing a Transfer**

To make sure the software is working properly, set up a connection with a server and test downloads and uploads.

1. Download test files from the server.

Use the ascp command to download, press y to accept the server's key, and enter your password when prompted. For example

```
# ascp -T xeno@my_demo.example.com:test-dir-large/100MB /tmp/
```

The transfer command is based on the following settings:

Item	Value
server address	my_demo.example.com
Login account	xeno
Test file	/test-dir-large/100MB
Download location	/tmp/
Transfer settings	Fair transfer policy, target rate 10M, minimum rate 1M, encryption disabled.

You should see a message similar to the following:

100MB 28% 28MB 2.2Gb/s 01:02 ETA
----------------------------------

This message provides the following information:

Item	Description
100 MB	The name of the file that is being transferred.
28%	The percentage completed.
28 MB	The amount transferred.
2.2 Gbps	The current transfer rate.
01:02 ETA	The estimated time the transfer will complete.

2. Upload test files to the demo server.

Run the command to upload the same file (100MB) back to the demo server, to its /Upload directory. Enter the your password when prompted. For example:

```
# ascp -T /tmp/100MB xeno@my_demo.example.com:Upload/
```

## Uninstalling

Desktop Client can be uninstalled without removing existing configuration files.

- 1. If you are uninstalling in order to upgrade your Aspera product, review the upgrade preparation steps in "Before Upgrading or Downgrading" on page 4.
- 2. Close or stop the following applications and services:
  - · FASP transfers
  - · SSH connections
- 3. Uninstall by running the following command:

```
# bash /opt/aspera/var/uninstall.sh
```

**Note:** This process does not remove Aspera configuration files. If you reinstall an Aspera product, these configuration files are applied to the new installation.

## **Transfer Files in the GUI**

Use the Desktop Client GUI to create connections to Aspera servers, configure transfer settings, set up transfer notifications, and start, stop, pause, and schedule transfers.

## **Overview of the Desktop Client GUI**

The Desktop Client GUI is an intuitive tool for starting and managing transfers. Learn how to launch the GUI and how to navigate its features.

## **Launching the Application**

## **The Application GUI**

Item	Description
А	Click <b>Transfer</b> to enter the transfer viewing mode. This is the default view when you launch the application, which shows the local and remote file browsers. For more information, see "Transferring Content" on page 17.
В	Select a transfer from the bottom pane and click <b>Details</b> to enter the transfer details viewing mode. This view shows the details of the selected transfer session, as well as the transfer control options. For more information, see "Managing Transfers" on page 18.
С	Click <b>Connections</b> to open the <b>Connection Manager</b> window in which you can manage the remote endpoints. For more information, see "Adding and Editing Connections" on page 9.
D	Click <b>Preferences</b> to set the local computer's default transfer settings, such as the FASP global bandwidth and the number of simultaneous transfers in the queue, and the SMTP server's information for transfer notifications.
E	Browse the local file system to view files to transfer.
F	When not connected, a list of the saved connections is displayed. When connected (by clicking on a Connection Name and clicking <b>Connect</b> ), browse the remote file system.
G	Display previous, ongoing, and queued transfers. Manage the priority.

## The File Browser

All options in the File Browser, including the file browser's contextual menu (Mouse right-click):

Item	Description
А	Path indicator/selector.
В	Go to the parent directory.
D	Choose between the list views and the detail view.
F	View the advanced upload or download window.
G	Decrypt the selected file if it is encrypted with the content protection.
Н	Choose between the detail or the list views. Refresh the folder.
I	Options to manipulation the selected files.
J	Show the selected files' properties.

## **Global Bandwidth Settings**

Aspera FASP transport has no theoretical throughput limit. In addition to network capacity, transfer rates can be limited by user-configured rate settings and the resources of the local and remote machines. You can configure bandwidth usage limits and the number of concurrent FASP transfers that are allowed by Desktop Client.

- 1. Launch the application with administrator privileges and click **Tools > Global Preferences**.
- 2. Click Transfers.
- 3. To limit total bandwidth usage by FASP uploads and downloads, edit **System-Wide Settings**. System-wide settings set the aggregated bandwidth cap for all FASP transfers on this computer.

To override the default bandwidth limits, under **System-Wide Settings** select the boxes next to **Limit Download Bandwidth** and **Limit Upload Bandwidth** and enter new values in the fields. The global settings for download and upload bandwidth limits cannot be reset by non-admin users. However, users can view the global limit from the **Preferences > Transfers** dialog.

- 4. To set default target rates for all users, edit **Default Target Rate**.

  Non-admin users can adjust their personal default target rates above or below the global default value.
- To limit the number of active transfers, edit Maximum Active Transfers.
   Non-admin users can adjust their personal maximum active transfers above or below the global default value.
- 6. Click **OK** to activate your changes.

## **Enabling a Transfer Proxy or HTTP Proxy**

If, for network security reasons, you are behind a transfer proxy server, you can enable the proxy for Aspera file transfers. If you have admin privileges, you can enable transfer proxies for all users by setting global preferences. If you are a non-admin user, you can override global transfer-proxy settings for your own account, including enabling or disabling the feature. By default, proxy is disabled.

Open the proxy configuration dialog by clicking **Preferences > Proxy**.

Clicking **Preferences** opens the user-account proxy settings. If you have permission, you can click **Global Preferences** to access those settings.

## **Configuring Global Transfer and HTTP Proxy Settings**

You must have admin privileges to set global preferences.

To enable a transfer proxy:

- 1. Go to Global Preferences > Proxy.
- 2. Select Enable transfer proxy.
- 3. Enter the proxy server's hostname or IP address and port number.
- 4. Select **Secure** if your proxy server allows secure connections.
- 5. Enter your username and password to authenticate with your proxy server.

To enable HTTP proxy:

- 1. Go to Global Preferences > Proxy.
- 2. Select Enable HTTP proxy.
- 3. Enter the HTTP proxy's hostname or IP address and port number.
- 4. If your HTTP proxy requires authentication, select **Authenticated** and enter the username and password for your HTTP proxy.

To clear all settings, click **Restore System Defaults**.

## **User Proxy Settings**

To override the global settings, edit the proxy settings for your account. Click **Preferences > Proxy**. The values are those that you inherited from the global proxy settings.

To configure user transfer proxy settings:

- 1. Select or clear **Enable transfer proxy** to enable or disable transfer proxy.
- 2. Enter the proxy server's hostname or IP address and port number.
- 3. Select **Secure** if your proxy server allows secure connections.
- 4. Enter your username and password to authenticate with your proxy server.

To configure user HTTP proxy settings:

- 1. Select or clear Enable HTTP proxy.
- 2. Enter the HTTP proxy's hostname or IP address and port number.
- 3. If your HTTP proxy requires authentication, select **Authenticated** and enter the username and password for your HTTP proxy.

To revert all user settings to the global values, click **Restore Defaults**.

## **Adding and Editing Connections**

To transfer with HSTS, HSTE, IBM Aspera Shares, IBM Aspera on Cloud transfer service (AoCts), or an IBM Aspera Transfer Cluster Manager node, add it as a connection in the **Connection Manager**. The following instructions describe how to create and configure a connection and edit or delete connections.

To connect with cloud storage, you must meet the following prerequisites:

- You have permissions to access the cloud storage and the necessary authentication information.
- To transfer files with an S3 storage device using an S3 direct connection, the user must have a restriction rather than a docroot set on the server.

Once you create connections, you can export and import connection lists. For instructions, see <u>"Exporting and Importing Connections"</u> on page 15.

To create a new connection:

- 1. Start the application.
- 2. To open the **Connection Manager**, click **Connections**.
- 3. Click + to create a new connection.

Click to duplicate a selected connection (to copy all information into a new profile) and — to delete a connection profile.

4. Configure the connection name, if desired.

By default, connections are named username@host.

To name or rename a connection, click the connection name and enter the new name in the pop-up. Click **OK** to save your changes.

5. Configure the required settings for the connection.

On the **Connection** tab, enter the following information. In most cases, only **Host**, **User**, and **Authentication** are required.

Connection Option	Description
Host	The server's address, such as 192.168.1.10 or companyname.com. For Shares, Node API, or AoCts connections, enter the URL and port for asperanoded, such as https://ats-aws-us-west-2.aspera.io:443.

Connection Option	Description
User	The transfer user's username, the Shares user, Node API credentials, or an access key ID.
Authentication	The authentication method. Select <b>Password</b> to authenticate with the transfer user's password, the Shares user's password, the Node API user password, or an access key secret (such as for AoCts or ATC Manager).
	Select <b>Public Key</b> to authenticate with the transfer user's public SSH key. For more information, see "Creating SSH Keys in the GUI" on page 16 and .
Storage Type	The default option is local storage. Use this option to connect to:
	<ul><li>on-premises servers</li><li>AoCts</li></ul>
	cloud-based servers when the transfer user has the storage credentials configured in their docroot on the server
	When the server is in the cloud but the storage credentials are not configured in the transfer user's docroot, use the drop-down menu to select the object storage type and enter credentials.
	Supported object storages include the following:
	Akamai NetStorage
	Amazon S3: Requires your Access Id, Secret Access Key, and bucket path. The local machine must be reasonably time-synchronized in order to communicate with the Amazon servers. You can also select the Advanced button to modify the following settings:
	- <b>Host:</b> Amazon S3 hostname (default: s3.amazonaws.com).
	- <b>Port:</b> Default is port 443.
	<ul> <li>HTTPS connection for file browsing: Enable for secure browsing.</li> </ul>
	<ul> <li>Server-side file encryption: Enable for AES256 encryption.</li> </ul>
	<ul> <li>Reduced redundancy storage class: Assign objects to a to the "reduced redundancy" storage class (durability of 99.99%).</li> </ul>
	Google Storage: Requires your Project Number and bucket path.
	• Limelight: Requires your Account, Username, and Password.
	Windows Azure: Requires your Storage Account and Access Key.
	Azure storage is set to use the Azure block blob REST API by default. To specify the REST API for page blobs, enter your account credentials then click <b>Advanced</b> . Select <b>PAGE</b> from the drop-down menu next to <b>Api</b> and click <b>OK</b> .
	Windows Azure SAS: Requires your Shared URL.
	Azure Files: Requires your File service endpoint and password.

6. Configure other connection settings, if needed.

On the **Connection** tab, configure non-default connection settings by editing any of the following settings:

Connection Option	Description
Target Directory (or Bucket Path	The default directory when connecting to this computer. When left blank, browsing the remote host brings up either the user's docroot or the last-visited folder. When a path is set, the connection opens to the exact directory.

Connection Option	Description
for most object storage)	
Advanced Settings > SSH Port (TCP)	The TCP port for SSH connections. Default: 33001. If the application cannot connect on 33001, it automatically attempts a connection on port 22. If the connection on 22 succeeds, the setting is updated to 22.
Advanced Settings > FASP Port (UDP)	The UDP port for FASP transfers. Default: 33001.
Advanced Settings > Connection Timeout	Time out the connection attempt after the specified time.
Test Connection	Click to test the connection to the remote server with the settings you configured.

7. Configure the connection's transfer settings, if needed.

On the **Transfer** tab, configure non-default transfer settings by editing any of the following settings:

Transfer Option	Description
Transfer Name	Select from the following options: <b>Automatically generate</b> allows the user interface to generate the transfer name; <b>Automatically generate and add prefix</b> uses auto-generated name with a customizable prefix; <b>Specify</b> uses the user-specified name.
Policy	Select the FASP transfer policy.
	high - Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, the transfer rate is twice as fast as a fair-policy transfer. The high policy requires maximum (target) and minimum transfer rates.
	• fair - Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, bandwidth is shared fairly by transferring at an even rate. The fair policy requires maximum (target) and minimum transfer rates.
	10w - Adjust the transfer rate to use the available bandwidth up to the maximum rate. Similar to fair mode, but less aggressive when sharing bandwidth with other network traffic. When congestion occurs, the transfer rate is reduced to the minimum rate until other traffic decreases.
	• fixed - Attempt to transfer at the specified target rate, regardless of network or storage capacity. This can decrease transfer performance and cause problems on the target storage. Aspera discourages using the fixed policy except in specific contexts, such as bandwidth testing. The fixed policy requires a maximum (target) rate.
	• aggressiveness - The aggressiveness of transfers that are authorized by this access key in claiming available bandwidth. Value can be 0.00-1.00. For example: These values correspond to the policy option where a policy of high approximates to aggressiveness of 0.75, fair to 0.50 and low to 0.25. Aggressiveness can be used if there is a need to fine tune the transfer policy.
Speed	Select <b>Override default transfer rate settings</b> to specify the transfer rate. The target rate is constrained by the global bandwidth settings; for more information, see "Global Bandwidth Settings" on page 8.

Transfer Option	Description
Retry	Select to automatically retry the transfer after a recoverable failure for a set amount of time, in seconds, minutes or hours. You may set the initial and maximum retry intervals by clicking the <b>More Options</b> button.
	• Initial interval: The first retry waits for the initial interval. Input in seconds, minutes or hours.
	Maximum interval: After the initial interval, the next interval doubles until the maximum interval is met, and then stops retrying after the retry time is reached. Input in seconds, minutes or hours.
	For example, if the retry is set for 180 seconds, the initial interval is 10 seconds, and the maximum interval is 60 seconds, then the transfer will retry at 10, 30, 70, 130, and 180 seconds after the first try, such that the interval progression is 10, 20, 40, 60, 60, and 50 seconds. The last interval is not the maximum because the retry period ends with a final retry.
	As another example, if the retry is set for 600 seconds, the initial interval is 30 seconds, and the maximum interval is 120 seconds, then the transfer will retry at 30, 90, 210, 330, 450, 570, and 600 seconds after the first try, such that the interval progression is 30, 60, 120, 120, 120, 120, and 30 seconds. Again, the last interval is not the maximum because the retry period ends with a final retry.
Show Advanced	Click <b>Show Advanced Settings</b> to edit the following options:
Settings	• Specify FASP datagram size (MTU): By default, the detected path MTU is used. Select to specify a value between 296 and 10000 bytes.
	Disable calculation of source files size before transferring: Select to turn off job size calculation on the client side, if allowed by the server.

8. Configure tracking and email notifications, if needed.

On the **Tracking Tab**, configure non-default transfer settings by editing any of the following settings:

Tracking Option	Description
Generate delivery confirmation receipt	Select to create a delivery receipt file in the specified location.
Send email notifications	Send email notifications based on specified events (start, complete, and error). See "Using Transfer Notifications" on page 24 for more information.

9. Configure filters to automatically exclude certain files from transfers with this connection, if needed. On the **Filters** tab, click **Add** and enter the pattern to exclude files or directories with the specified pattern in the transfer. The exclude pattern is compared with the whole path, not just the file name or directory name. Two special symbols can be used in the setting of patterns:

Filter Symbol	Name	Description
*	Asterisk	Represents zero to many characters in a string, for example *.tmp matches .tmp and abcde.tmp.
?	Question mark	Represents one character, for example t?p matches tmp but not temp.

For more information on filter rule syntax, see <u>"Using Filters to Include and Exclude Files" on page</u> 49.

10. Configure security settings, if needed.

On the **Security** tab, configure non-default transfer settings by editing any of the following settings:

Security Option	Description			
Encryption	Select the encryption cipher. Aspera supports three sizes of AES cipher keys (128, 192, and 256 bits) and supports two encryption modes, cipher feedback mode (CFB) and Galois/counter mode (GCM). The GCM mode encrypts data faster and increases transfer speeds compared to the CFB mode, but the server must support and permit it.			
	Cipher rules			
		cipher that you are allowed to used the client and s		
	When you request a cipher key that is shorter than the cipher key that is configured on the server, the transfer is automatically upgraded to the server configuration. For example, when the server setting is AES-192 and you request AES-128, the server enforces AES-192.			
	When the serv newer) or the	ver requires GCM, you must use transfer fails.	GCM (requires version 3.9.0 or	
		uest GCM and the server is olde the transfer fails.	r than 3.8.1 or explicitly	
	exception is w	ver setting is "any", you can use a vhen the server is 3.8.1 or older case, you cannot request GCM n	and does not support GCM	
	When the server setting is "none", you must use "none". Transfer requests that specify an encryption cipher are refused by the server.			
	Cipher Values			
	Value	Description	Support	
	AES-128 AES-192 AES-256	Use the GCM or CFB encryption mode, depending on the server configuration and version (see cipher negotiation matrix).	All client and server versions.	
	AES-128- CFB AES-192- CFB AES-256- CFB	Use the CFB encryption mode.	Clients version 3.9.0 and newer, all server versions.	
	AES-128- GCM AES-192- GCM AES-256- GCM	Use the GCM encryption mode.	Clients and servers version 3.9.0 and newer.	
	NONE	Do not encrypt data in transit. Aspera strongly recommends against using this setting.	All client and server versions.	

Security Option	Description				
	Client-Server Cipher Negotiation				
		The following table shows which encryption mode is used depending on the server and client versions and settings:			
		Server, v3.9.0+	Server, v3.9.0+	Server, v3.9.0+	Server, v3.8.1 or
		AES-XXX- GCM	AES-XXX- CFB	AES-XXX	older AES-XXX
	Client, v3.9.0+ AES-XXX-GCM	GCM	server refuses transfer	GCM	server refuses transfer
	Client, v3.9.0+ AES-XXX-CFB	server refuses transfer	CFB	CFB	CFB
	Client, v3.9.0+ AES-XXX	GCM	CFB	CFB	CFB
	Client, v3.8.1 or older AES-XXX	server refuses transfer	CFB	CFB	CFB
Content Protection	Select Encrypt uploaded files with a password to encrypt the uploaded files with the specified password (client-side encryption at rest). The protected file has the extension .aspera-env appended to the file name. Anyone downloading the file must have the password to decrypt it.  Select Decrypt password-protected files downloaded to prompt for the decryption password when downloading encrypted files.				
				pt for the	
	For more information Encryption-at-Rest			at rest, see <u>"</u> (	Client-Side

## 11. Configure file handling, if needed.

On the **File Handling** tab, configure non-default transfer settings by editing any of the following settings:

File Handling Option	Description
Resume	Select <b>Resume incomplete files</b> to enable the resume feature. Select the file comparison method from the <b>When checking files for differences</b> drop-down menu:
	• Compare file attributes - Compares the sizes of the existing and original file. If they are the same, then the transfer resumes, otherwise the original file is transferred again.
	Compare sparse file checksums - Performs a sparse checksum on the existing file and resumes the transfer if the file matches the original, otherwise the original file is transferred again. (Default)
	• Compare full file checksums - Performs a full checksum on the existing file and resumes the transfer if the file matches the original, otherwise the original file is transferred again.

File Handling Option	Description
	Under <b>When a complete file already exists at the destination</b> , select an overwrite rule when the same file exists at the destination. By default, files on the destination are overwritten if different from the source.
File Attributes	Select Preserve Access Time to set the access time of the destination file to the same value as that of the source file.
	Select Preserve Modification Time to set the modification time of the destination file to the same value as that of the source file.
	Select Preserve Source Access Time to keep the access time of the source file the same as its value before the transfer.
	<b>Note:</b> Access, modification, and source access times cannot be preserved for node and Shares connections that are using cloud storage.
Source Handling	Select <b>Automatically delete source files after transfer</b> to delete the files that transferred successfully from the source.
	Select <b>Automatically move uploaded source files to a directory after transfer</b> and specify the location on the source machine to which they should be moved. Only a path to an existing location on the client can be specified.
	Select <b>Delete empty source subdirectories</b> to remove empty subdirectories from the source once the files that they contain transfer successfully. This option is usually used to clean up the Hot Folder when source files are moved or deleted after transfer.

12. Click **OK** to save your changes.

Changes are not saved until you click **OK**. Selecting **Cancel** will discard any unsaved changes made in the Connection Manager, including the addition and removal of connections.

13. Connect to the remote host.

Double-click the connection name, or select it and click **Connect**.

#### **Editing and Deleting Connections**

Click **Connections** and select the connection you want to edit or delete. Edit the settings or click — to delete the connection. Deleting connections cannot be undone. When in doubt, export the connections as a backup before deleting a connection.

## **Exporting and Importing Connections**

Connections, and optionally their passwords, can be exported and imported as a text file, and the text file can be password protected.

#### **Usage notes:**

- If you are exporting a connection that uses SSH key authentication, back up the keys manually and import separately. For instructions, see "Creating SSH Keys in the GUI" on page 16.
- A shared connection that is exported or imported by a non-administrator is imported as a regular connection (not as a shared connection).
- Email templates are not exported with the connection.

### **Export Connections**

- 1. Right-click the remote server panel and click **Export**.
- 2. Enter the following information:

- Destination: Enter or browse to the location on your computer where to save the file.
- **Options**: The passwords associated with your connections can be exported. Select if you do not want to export passwords, export passwords without obscuring the passwords (**Export passwords** in clear), or export encrypted passwords (**Encrypt passwords**).
- **Password**: Required if **Encrypt passwords** is selected. When the connections are imported, use the password to decrypt the connection passwords.
- 3. Click **OK** to export your connection information to the file.

## **Import Connections**

- 1. Right-click the remote server panel and select **Import**.
- 2. Enter the following information:
  - Source file: The file with the exported connections.
  - Options: Select the appropriate option, depending on how the connections were exported.
  - **Password**: If you select the **Passwords are encrypted** option, enter the password that was set when the connections were exported.
- 3. Click **OK** to import the connection information.
- 4. Before deleting the source file, confirm that the import process was successful by testing your connections.

## **Creating SSH Keys in the GUI**

Public key authentication (SSH Key) is a more secure alternative to password authentication that allows users to avoid entering or storing a password, or sending it over the network. The client creates an SSH key pair (a public key and a private key) and then sends the public key to the server's administrator. Once the admin configures the server with the client's public key, the client can authenticate connections to the server with their private key.

You can use the application GUI to generate key pairs and to import existing key pairs. You can also generate key pairs using the command line; for instructions, see "Creating SSH Keys" on page 56.

- 1. Launch the application.
- 2. In the menu bar, click **Tools > Manage Keys**.
- 3. In the SSH Keys dialog, click + to create a new key pair.

The SSH Keys dialog is also available from the **Connection** tab in the Connection Manager. When you select **Public Key** for authentication, the **Manage Keys** button appears; clicking it opens the SSH Keys dialog.

4. In the **New SSH Key Pair** window, enter the requested information.

Field	Description
Identity	Name your key pair, such as with your user name.
Passphrase	(Optional) Set a passphrase on your SSH key, which will be prompted for whenever it needs to use the key. If you don't want the user to be prompted for passphrase when logging in, leave this field blank.
Туре	Select RSA (default) or ECDSA key.
Access	When sharing a connection with public key authentication, or a connection that is has a Hot Folder (on Windows machines), this option must be checked.

5. Click **OK** to create the key.

The public key is displayed in the window and you can copy it to a clipboard or export it to a file that is easy to locate. The key is automatically saved as a file named id\_key\_type.pub in the following location (in the example below, the public key filename is id\_rsa.pub):

/home/username/.ssh/id\_rsa.pub

6. Distribute the public key.

Provide the public key file to your server administrator so that it can be set up for your server connection.

To copy or export the public key, select the key in the **SSH Keys** window, click **Copy Public Key to Clipboard**, and paste the string into an email to send to the server administrator, or click **Export to File** and save the public key as a file.

7. Set up connections using public key authentication.

**Note:** Your public key must be configured on the server before you can connect with it.

- a) Click **Connections** to open the Connection Manager.
- b) Select the connection for which you want to set up the key.
- c) In the **Connection** tab, select the **Public Key** Authentication option and select the key from the drop-down menu.

### **Importing keys:**

To import keys created outside the GUI, go to **Tools > Manage Keys** to open the **SSH Keys** dialog. Click the button in the upper-left corner of the dialog to open a file browser. You can import the key pair by selecting either the private key or the public key; this will copy both keys into the user's .ssh directory. You cannot import a key pair if a key pair with the same identity already exists in the .ssh directory.

Imported key pairs can be shared with other users. In the SSH Keys dialog, select a key and click the button to open the **Edit SSH Key Pair** dialog. Select **Access** to allow shared connections to use this key. Shared keys are moved to the Aspera etc directory.

## **Transferring Content**

The GUI provides an easy, intuitive way to transfer content between the local computer and a remote host.

**Note:** Do not use the following characters in file or folder names:

```
/ \ " : ' ? > < & * |
```

They can produce unpredictable transfer behavior.

- 1. If you have not already created a connection, create one.
  For instructions, see "Adding and Editing Connections" on page 9.
- 2. Select the remote server under **Connection Name**.
- 3. For uploads, if the target directory is correct, then you can select the content to upload from the local file tree and either drag-and-drop the content into the connection pane, or click the upload arrow. If you want to browse the remote file system or download content from it, go on to the next step.
- 4. Connect to the remote server by either double-clicking the connection name, or select it and click **Connect**.
- 5. Select the content to transfer (from the local or remote file system) and do any of the following:
  - click the upload or download arrow
  - drag and drop the files between the windows
  - copy and paste the files between the windows
- 6. Once a transfer is started, you can manage the transfer rate, transfer policy, and priority. For information, see "Managing Transfers" on page 18.

## **Managing Transfers**

The Desktop Client GUI enables you to start, stop, and reorder transfers, as well as adjust transfer rates and policies and configure transfer preferences.

## The Transfers Panel: Start, Stop, and Reorder Transfers

Once the transfer starts, a progress bar appears in the **Transfers** panel. You can manage transfer behavior with the following actions:

Click to start the selected transfer.

Click to stop the selected transfer.

Click to delete the selected transfer.

If you have multiple ongoing transfers, use the  $\triangle$  and  $\nabla$  to change the selected transfer's priority. The # field indicates the transfer's order in the queue.

## The Details View: Adjust Transfer Rates and Policies of Active Transfers

The **Details** button provides additional oversight and control (if you have permission) over transfers. Select a transfer session from the **Transfers** panel and click **Details** to view details and adjust settings.

The **Details** display shows the following information:

Item	Name	Description
А	Details (tab)	Transfer details, including status (rate and ETA) and statistics (session size, files transferred vs. total files to be transferred, average speed, time elapsed, RTT delay and average loss in percent).
В	Files (tab)	All files being transferred in this session, along with each files' size and transfer progress.
С	Transfer controls	Set the FASP transfer policy and transfer rate, if allowed.
		high - Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, the transfer rate is twice as fast as a fair-policy transfer. The high policy requires maximum (target) and minimum transfer rates.
		• fair - Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, bandwidth is shared fairly by transferring at an even rate. The fair policy requires maximum (target) and minimum transfer rates.
		low - Adjust the transfer rate to use the available bandwidth up to the maximum rate. Similar to fair mode, but less aggressive when sharing bandwidth with other network traffic. When congestion occurs, the transfer rate is reduced to the minimum rate until other traffic decreases.
		• fixed - Attempt to transfer at the specified target rate, regardless of network or storage capacity. This can decrease transfer performance and cause problems on the target storage. Aspera discourages using the fixed policy except in specific contexts, such as bandwidth testing. The fixed policy requires a maximum (target) rate.
		• aggressiveness - The aggressiveness of transfers that are authorized by this access key in claiming available bandwidth. Value can be 0.00-1.00. For example: These values correspond to the policy option where a policy of high approximates to aggressiveness of 0.75, fair to 0.50 and low to 0.25. Aggressiveness can be used if there is a need to fine tune the transfer policy.

Item	Name	Description
		Important: Ifpolicy is not set, ascp uses the server-side policy setting (fair by default).
D	Transfer Monitor	The transfer graph. Use the sliders on the vertical axis to adjust the transfer rate up or down (if allowed).

## **Configuring Transfer Preferences**

If you have administrator privileges, you can set the target transfer rate for all users from the **Global Preferences** dialog. As an individual user, you can override the global settings from **My Preferences**.

To update these settings, go to **Tools > Global Preferences** or **Tools > Preferences**. You can also open **My Preferences** from the **Preferences** button in the upper-right corner of the application's main window; from there you can also reach the **Global Preferences** dialog by clicking **Global Preferences**.

The following options are available under the **Transfers** tab:

Item	Description
Global Bandwidth Limits	The aggregated bandwidth cap for all FASP transfers on this computer.
Default Target Rate	The initial download and upload rates for all transfers.
Maximum Active Transfers	The maximum number of concurrent upload transfers and download transfers.

For information about Email settings, see "Configuring Transfer Notifications" on page 20.

## **Scheduling and Customizing Transfers in Advanced Mode**

You can start a transfer in advanced mode to set per-session transfer options such as filters, security, which override the default transfer settings. You can also schedule the transfer as a one-time transfer or recurring.

- 1. In the GUI, right-click a file or folder to open the context menu and select **Upload** (in the client panel) or **Download** (in the server panel).
- 2. Configure the transfer settings, as needed.

The advanced transfer configuration options except **Scheduling** are identical to those in the **Connection Manager**. For information on these tabs, see <u>"Adding and Editing Connections" on page 9</u>. The **Scheduling** tab is described in the next step.

Tab	Description
Transfer	The transfer session-related options, such as the transfer speed and retry rules.
Tracking	Options for tracking the transfer session, including the confirmation receipt and the email notifications.
Filters	Create filters to skip or include files that match certain patterns.
Security	Enable the transfer encryption and the content protection.
File Handling	Set up resume rule, preserve transferred file attributes, and remove or move source files.
Scheduling	Schedule the transfer.

3. Schedule the transfer.

To enable transfer scheduling, select **Schedule this transfer**.

The following scheduling options are available in the **Transfer repeats** drop-down menu:

#### **Does not repeat**

Set the time and date for a single transfer.

#### Daily

Set the time for a daily transfer. For **End repeat**, select **Never** to continue daily transfers indefinitely, or **On** and set an end date and time.

#### **Monday-Friday**

Set the time for a daily transfer only on weekdays. For **End repeat**, select **Never** to continue daily transfers indefinitely, or **On** and set an end date and time.

### Weekly

Select the time and days of the week for a repeating transfer. For **End repeat**, select **Never** to continue weekly transfers indefinitely, or **On** and set an end date and time.

### **Periodically**

Set the frequency to repeat the transfer, in minutes.

4. Click **Transfer** to submit the scheduled transfer.

The transfer is then listed under the Transfers tab, along with an icon (IIII) under the # column.

5. To modify the transfer, right-click the row and click Edit

## **Configuring Transfer Notifications**

Transfer notification emails are triggered by three transfer session events: start, completion, and error. Transfer notification emails can be enabled and configured globally and by each user. The emails are generated from mail templates that can be customized.

**Note:** The GUI must remain open for transfer notification emails to send. Closing the GUI stops email notifications.

### **Enable Email Notifications**

- 1. Run Desktop Client with permissions.
- 2. To configure global email notification settings:
  - a) Click Tools > Global Preferences.
  - b) Click Mail.
  - c) To turn on email notifications for all users, select **Enable email notifications**.

Enter the email address from which the notifications are sent in the **From Address** field and enter the outgoing email server host name in the **Host** field. The other values are optional.

- d) To test your settings, click Send test email, which sends a test message to the From Address.
- 3. Set your personal mail preferences.

Personal mail preferences override global settings.

- a) Click Preferences.
- b) Click Mail and edit the inherited global default values.

To restore your settings to global values, click **Restore Defaults**.

## **Configure Email Templates**

- 1. Open the Mail Templates window by clicking Tools > Mail Templates.
- 2. To create a new template, click +, or to edit an existing template, select the template and click 🖊
- 3. For new templates, name the template and select its base template. Select an existing template from the **Based On** menu. Click **OK**.
- 4. Edit the template text.

The **Edit Template** window has four fields:

Field	Description
Name	The template name.
HTML	The HTML mail body. Click <b>Insert Image</b> to insert an image into the template. The image is copied to the template directory. Preview the template by clicking <b>Preview</b> .
Text	The plain text mail body. Preview the template by clicking <b>Preview</b> .
Access	Select <b>Share this template with all users on this computer</b> to allow other system users to access this template.

The mail template supports MIME (Multipurpose Internet Mail Extensions) multipart messages. You can edit both the HTML and plain text versions of the mail body. The templates are rendered by Apache Velocity (for more information, see the Apache Velocity User Guide at <a href="http://velocity.apache.org/">http://velocity.apache.org/</a>). Templates use two predefined variables:

- \$formatter Contains some utility methods
- \$notifications Holds the transfer notifications

To iterate over notifications, use a foreach loop. A foreach loop generates content for each iteration of the loop. In the following example, a local \$event variable is declared for use within the foreach loop:

```
#foreach ($event in $notifications.getEvents())
...
#end
```

To generate content only under specific conditions, use a conditional statement. To construct a conditional statement, use #if, #else, and #end, with the following syntax:

```
#if
...
#else
...
#end
```

All conditional statements are categorized in four parts: the conditional (what must occur to trigger the action), session information (what action is triggered), time, and statistics.

#### **Conditional**

Use conditional tests in an if statement. For example:

```
#if ($event.isFailed())
...
#end
```

Statement	Description	
<pre>\$event.isStarted()</pre>	If the transfer session is started.	
<pre>\$event.isCompleted()</pre>	If the transfer session is completed.	
<pre>\$event.isEnded()</pre>	If the transfer session is ended.	
<pre>\$event.isFailed()</pre>	If the transfer session is failed.	

#### **Session Information**

Statement	Description	
<pre>\$event.getSourceHost()</pre>	The source host name (or host address if the host name is not discoverable).	
\$event.getSourceHostAddress()	The source host address.	
<pre>\$event.getSourcePaths()</pre>	The source file path.	
<pre>\$event.getDestinationHost()</pre>	The destination host name (or host address if the host name is not discoverable).	
\$event.getDestinationHostAddress()	The destination host address.	
\$event.getDestinationPath()	The destination file path.	
<pre>\$event.getInitiatingHost()</pre>	The session-initiating host name (or host address if the host name is not discoverable).	
<pre>\$event.getInitiatingHostAddress()</pre>	The session-initiating host address.	
\$event.getId()	The session ID.	
\$event.getName()	The session name.	
<pre>\$event.getType().getDescription()</pre>	The session state. Three outputs: "STARTED", "FAILED", and "COMPLETED".	
<pre>\$event.getUser()</pre>	The transfer login.	
<pre>\$event.getFiles()</pre>	The files that are being transferred. Use this statement in a foreach loop: (Any text after ## is a comment)	
	<pre>#foreach (\$file in \$event.getFiles()) ## \$file is a new variable visible in this foreach loop. ## \$file holds the complete file path and file name. ## \$formatter.decodePath() is used to ensure a correct string decoding. \$formatter.decodePath(\$file) #end</pre>	
	Use the counter <b>\$velocityCount</b> in an if statement to limit the output file count. For example, to list only the first ten files:	
	<pre>#foreach (\$file in \$event.getFiles()) #if (\$velocityCount &gt; 10) #break #end \$file #end</pre>	
<pre>\$event.getMessage()</pre>	The message that is entered in the email <b>Message</b> field.	
<pre>\$event.getError()</pre>	The error message.	

## Time

Statement	Description
1 ·	Formatting the date and time output. Enter three values in the parenthesis:

Statement	Description		
	<ul><li>var is either \$event.getStartTime() or \$event.getEndTime()</li></ul>		
	<ul> <li>lang is an abbreviated language name; for example, en for English.</li> <li>format is the display format. Use these symbols:</li> </ul>		
	<ul> <li>yyyy The year; for example, 2010.</li> <li>MM Month of the year; for example, 03.</li> <li>dd Day of the month; for example, 26.</li> <li>HH Hour of the day; for example, 16.</li> <li>mm Minute.</li> <li>ss Second.</li> <li>z Time zone.</li> <li>EEE The abbreviated weekday name; for example, Fri.</li> <li>For example,</li> </ul>		
	"EEE, yyyy-MM-dd HH:mm:ss z"		
	shows Fri, 2010-03-26 16:19:01 PST.		
<pre>\$event.getStartTime()</pre>	The session start time.		
<pre>\$event.getEndTime()</pre>	The session end time.		

### **Statistics**

Statement	Description	
<pre>\$event.getSourceFileCount()</pre>	The number of source files.	
<pre>\$event.getCompletedFileCount()</pre>	The number of files that successfully transferred.	
\$event.getFailedFileCount()	The number of files that failed to transfer.	
\$event.getAverageRatePercentage()	The average transfer rate in bps. Enclose this statement with <b>\$formatter.formatRate()</b> to simplify the output.	
\$event.getAverageLossPercentage()	The average packet loss percentage.	
<pre>\$event.getSourceSizeB()</pre>	The source file size. Enclose this statement with <b>\$formatter.toBestUnit()</b> to simplify the output.	
<pre>\$event.getTransferredB()</pre>	The transferred file size. Enclose this statement with <b>\$formatter.toBestUnit()</b> to simplify the output.	
<pre>\$event.getWrittenB()</pre>	The destination file size. Enclose this statement with <b>\$formatter.toBestUnit()</b> to simplify the output.	

<sup>5.</sup> Click **OK** to save your changes.

Apply the notifications to a specific connection host or a transfer session. You can also customize the subject line of the notification emails. For details, see "Using Transfer Notifications" on page 24.

## **Using Transfer Notifications**

Transfer notifications can be emailed to a set list of recipients upon transfer start, complete, and error. The email templates can be fully customized. These instructions describe how to configure email notifications for all transfers to and from a specific connection.

- 1. Preview existing mail templates and create new ones, if needed.
  - a) Click **Tools > Mail Templates** to open the **Mail Template** window.
  - b) Select an existing template and click .
  - c) In the **Edit Template** window, click **Preview** to view the template's output example.

    For instructions on how to create a new template or edit an existing one, see "Configuring Transfer Notifications" on page 20.
- 2. Enable email notifications for connections.
  - a) Click **Connections** on the main page of the application, select the connection that you want to configure with email notifications, and go to the **Tracking** tab.
  - b) Select **Send email notifications**, and configure the following settings:

Item	Description
When	Check the events for which to send notifications.
Subject	Customize the subject line, which can use the same template fields as described in "Configuring Transfer Notifications" on page 20.
То	Enter the recipients, comma separated.
Template	Select a mail template.
Message	Optionally enter a message to include in the notifications.

c) Click **OK** to save your changes.

## **Controlling Bandwidth Usage with Virtual Links (Command Line)**

FASP transfers attempt to transfer at the maximum transfer rate available. However, too many simultaneous transfers can overwhelm your storage or leave little bandwidth available for other network activity. To set a bandwidth cap on the total bandwidth used by incoming or outgoing transfer sessions initiated by all users, set up a virtual link (Vlink).

Vlinks are "virtual" bandwidth caps, in that they are not assigned to a specific transfer session, but to all sessions assigned to the same Vlink. The total bandwidth that is used by all incoming or outgoing transfer sessions initiated by users who are assigned to the same Vlink does not exceed the Vlink capacity.

For example, if you want to limit all incoming FASP transfers to 100 Mbps, you can create a Vlink with a 100 Mbps capacity and assign it globally to all incoming transfers. If a user attempts an upload at 50 Mbps but other incoming transfers are already using 75 Mbps, then the transfer rates adjust (based on transfer policy) so that the total does not exceed 100 Mbps.

1. Create a Vlink.

To create a Vlink, run the following command as administrator:

```
# asconfigurator -x "set_trunk_data;id,vlink_id;trunk_capacity,bandwidth;trunk_on,true"
```

You can also specify a multicast port and time-to-live, among other settings. To see a complete list of parameters with their corresponding **asconfigurator** commands, run the following command:

```
# /opt/aspera/bin/asuserdata -+
```

The following table describes several parameters that are frequently used:

Tag	Description	Values	Default
Vlink ID	The Vlink ID. Sessions assigned with the same trunk ID share the same bandwidth cap.	positive integer between 1 and 255.	N/A
Vlink Name	The Vlink name. This value has no impact on actual bandwidth capping.	text string	blank
Capacity	This value reflects the virtual bandwidth cap in Kbps. When applying this Vlink to a transfer (e.g. Default outgoing), the transfer's bandwidth will be restricted by this value.	positive integer in Kbps	50000
On	Set to <b>true</b> to activate this Vlink; set to <b>false</b> to deactivate it.	true/false	false
Multicast Port	This sets the UDP port through which virtual link sends and receives multicast communication messages. Sessions sharing the same virtual bandwidth cap needs to have the same port number. To avoid port conflicts, it is recommended to use the default UDP port 55001. Do NOT set the port number to the same one used by FASP data transfer (33001).	positive integer between 1 and 65535	55001
	Important: If you have a local firewall on your server (for example, Windows firewall, Linux iptables, or Mac ipfw), you will need to allow the Vlink UDP port (55001, by default) for multicast traffic.		
Multicast TTL	This sets the Time-to-Live (TTL) field in the IP header for Vlink multicast packets.	positive integer between 1 and 255	blank

For example, to create a Vlink with an ID of 108, named "50Mbps cap", with a capacity of 50 Mbps (50000 kbps), run the following command:

```
# asconfigurator -x "set_trunk_data;id,108;trunk_name,50Mbps
cap;trunk_capacity,50000;trunk_on,true"
```

This creates the following text in aspera.conf:

The capacity of the Vlink is set within a <schedule> tag because the capacity can be scheduled as one value during a specified time period, and a default value at all other times. For more information on this configuration, see the knowledge base article Specifying a time varying schedule for a Vlink at Specifying a time varying schedule for a Vlink.

To edit aspera.conf manually, rather than running **asconfigurator** commands, open the file with write permissions from the following location:

/opt/aspera/etc/aspera.conf

Validate the aspera.conf file using the asuserdata utility:

```
# /opt/aspera/bin/asuserdata -v
```

### 2. Apply the Vlink.

Assign a Vlink to global settings for transfers in or out. Use the following syntax, updating the direction (in or out) depending on your needs:

```
# asconfigurator -x "set_node_data;transfer_in_bandwidth_aggregate_trunk_id,id"
```

For example, to set Vlink 108 as the default for transfers out, run the following command:

```
# asconfigurator -x "set_node_data;transfer_out_bandwidth_aggregate_trunk_id,108"
```

These commands add the following lines to aspera.conf:

## ascp: Transferring from the Command Line

Ascp is a scriptable FASP transfer binary that enables you to transfer to and from Aspera transfer servers to which you have authentication credentials. Transfer settings are customizable and can include file manipulation on the source or destination, filtering of the source content, and client-side encryption-atrest.

## **Ascp Command Reference**

The **ascp** executable is a command-line FASP transfer program. This reference describes **ascp** syntax, command options, and supported environment variables.

For examples of **ascp** commands, see the following topics:

- "Ascp General Examples" on page 42
- "Ascp File Manipulation Examples" on page 44

Another command-line FASP transfer program, Ascp4, is optimized for transfers of many small files. It has many of the same capabilities as **ascp**, as well as its own features. For more information, see "Introduction to Ascp4" on page 66 and "Comparison of Ascp and Ascp4 Options" on page 61.

## **Ascp Syntax**

```
ascp\ options\ [[username@]src\_host:]source1[\ source2\ \dots]\ [[username@]dest\_host:]dest\_path
```

#### username

The username of the Aspera transfer user can be specified as part of the source or destination, whichever is the remote server. It can also be specified with the **--user** option. If you do not specify a username for the transfer, the local username is authenticated by default.

**Note:** If you are authenticating on a Windows computer as a domain user, the transfer server strips the domain from the username. For example, Administrator is authenticated rather than DOMAIN\Administrator. For this reason, you must specify the domain explicitly.

#### src\_host

The name or IP address of the computer where the files or directories to be transferred reside.

#### source

The file or directory to be transferred. Separate multiple arguments with spaces.

The *growing files* feature can be used with the *source* option to start transferring files to the target directory while they are still being written to the source directory.

**Note:** To use the growing files feature, the source file must be on a native file system. Growing files cannot be larger than 8 exabyte - 1 (9,223,372,036,854,775,807 bytes). However, the maximum file size of the file system will override a setting that is larger.

Growing files use can also be configured statically with aspera.conf, see <u>aspera.conf - File System</u> Configuration. See also "Ascp General Examples" on page 42.

To use the growing files feature with **ascp**, the *source* parameter can be used with the following syntax:

```
source?grow=wait_time[&wait_start=[mtime | null_read]][[&confirm_stop=[ true | false ]]
```

A file transfer is deemed to be complete when the time since last update to the source file reaches the wait\_time value (in seconds). However, the time is only sampled when all currently available source data has been transferred. In other words, if more data arrives after the wait time has elapsed, but the transfer is still in progress, the additional data will still be transferred.

## grow

Enables the growing file feature and is used to set the wait time in seconds. The wait time is the amount of time that is allowed to pass before a file that is not changing is treated as complete. The grow element must be set to a non-negative integer to define wait time. If it is set to a non-numeric string, the default wait time of 10 seconds is used.

#### wait\_start

Can be used to specify how time is calculated to determine if a file is complete. If mtime is used, then the file modification time is used when calculating the wait time. If null\_read is used, then the time of a file read that returns zero bytes is used. The default is mtime.

### confirm\_stop

Can be used to indicate when all the data has been added to the source file and to prevent any additional wait time following a read of EOF.

Note that confirm\_stop is ignored if wait\_start is set to null\_read.

To use confirm\_stop, set it to true (the default is false). Then use an external program to adjust the source file mtime upon completion of writing data to the source file, using the following criteria:

```
mtime < current_system_time - wait_time, mtime != 0</pre>
```

Any value for mtime that meets this criteria is acceptable to flag this condition except mtime = 0,which is used to flag a file error. You can, for example, use **touch 1**. If mtime is not adjusted before the timeout is reached, an error will be generated.

An alternative method for defining the wait\_time value is to use modifiers for powers of 1,024. However, the value must be less than 8 \* 2^60. The modifier must consist of an integer, and a unit specifier. The unit specifiers are:

- k or K for 1 \* 1024
- m or M for 1 \* 1024 \* 1024
- g or G for 1 \* 1024 \* 1024 \* 1024

### dest\_host

The name or IP address of the computer where the source files or directories are to be transferred.

### dest\_path

The destination directory where the source files or directories are to be transferred.

- If the source is a single file, the destination can be a filename. However, if there are multiple source arguments, the destination must be a directory.
- To transfer to the transfer user's docroot, specify "." as the destination.
- If the destination is a symbolic link, then the file or directory is written to the target of the symbolic link.

## Specifying Files, Directories, and Paths

- Specify paths on the remote computer relative to the transfer user's docroot. If the user has a restriction instead of a docroot, specify the full path, which must be allowed by the restriction.
- Avoid the following characters in file and directory names: / \ " : ' ? > < & \* |
- Specify paths with forward-slashes, regardless of the operating system.
- If directory or file arguments contain special characters, specify arguments with single-quotes (' ') to avoid interpretation by the shell.

#### **URI Paths**

URI paths are supported, but with the following restrictions:

- If the source paths are URIs, they must all be in the same cloud storage account. No docroot (download), local docroot (upload), or source prefix can be specified.
- If a destination path is a URI, no docroot (upload) or local docroot (download) can be specified.
- The special schemes stdio:// and stdio-tar:// are supported on the client side only. They cannot be used for specifying an upload destination or download source.
- If required, specify the URI passphrase as part of the URI or set it as an environment variable (ASPERA SRC PASS or ASPERA DST PASS, depending on the transfer direction).

### **UNC Paths**

If the server is Windows and the path on the server is a UNC path (a path that points to a shared directory or file on Windows), it can be specified in an **ascp** command using one of the following conventions:

- As an UNC path that uses backslashes (\): If the client side is a Windows computer, the UNC path
  can be used with no alteration. For example, \\192.168.0.10\temp. If the client is not a Windows
  computer, every backslash in the UNC path must be replaced with two backslashes. For example, \\\
  192.168.0.10\\temp.
- As an UNC path that uses forward slashes (/): Replace each backslash in the UNC path with a forward slash. For example, if the UNC path is \\192.168.0.10\temp, change it to //192.168.0.10/temp. This format can be used with any client-side operating system.

#### **Testing Paths**

To test **ascp** transfers, use a faux:// argument in place of the source or target path to send random data without writing it to disk at the destination. For more information, see . For examples, see <u>"Ascp General Examples"</u> on page 42.

#### **Websocket Protocol**

The Websocket protocol can be used instead of SSH or HTTPS for client connections with the transfer server. In order to use it, you must configure aspera.conf specifically for Websocket use. Then for

transfers, you must use the **ascp --ws-connect** option to specify using Websocket, and the **-P** option to specify the Websocket port (9093).

## **Required File Access and Permissions**

- Sources (for downloads) or destinations (for uploads) on the server must be in the transfer user's docroot or match one of the transfer user's file restrictions, otherwise the transfer stops and returns an error.
- The transfer user must have sufficient permissions to the sources or destinations, for example write access for the destination directory, otherwise the transfer stops and returns a permissions error.
- The transfer user must have authorization to do the transfer (upload or download), otherwise the transfer stops and returns a "management authorization refused" error.
- Files that are open for write by another process on a Windows source or destination cannot be transferred and return a "sharing violation" error. On Unix-like operating systems, files that are open for write by another process are transferred without reporting an error, but may produce unexpected results depending on what data in the file is changed and when relative to the transfer.

#### **Environment Variables**

The following environment variables can be used with the **ascp** command. The total size for environment variables depends on your operating system and transfer session. Aspera recommends that each environment variable value should not exceed 4096 characters.

### ASPERA\_DST\_PASS=password

The password to authenticate a URI destination.

## ASPERA\_LOCAL\_TOKEN=token

A token that authenticates the user to the client (in place of SSH authentication).

**Note:** If the local token is a basic or bearer token, the access key settings for cipher and preserve\_time are not respected and the server settings are used. To set the cipher and timestamp preservation options as a client, set them in the command line.

### ASPERA\_PROXY\_PASS=proxy\_server\_password

The password for an Aspera Proxy server.

## ASPERA\_SCP\_COOKIE=cookie

A cookie string that you want associated with transfers.

### ASPERA\_SCP\_DOCROOT=docroot

The transfer user docroot. Equivalent to using **--apply-local-docroot** when a docroot is set in aspera.conf.

#### ASPERA SCP FILEPASS=password

The passphrase to be used to encrypt or decrypt files. For use with **--file-crypt**.

### ASPERA\_SCP\_KEY="----BEGIN RSA PRIVATE KEY..."

The transfer user private key. Use instead of the **-i** option.

#### ASPERA\_SCP\_PASS=password

The password for the transfer user.

### ASPERA\_SCP\_TOKEN=token

The transfer user authorization token. Overridden by -W.

### ASPERA\_SRC\_PASS=password

The password to authenticate to a URI source.

## **Ascp Options**

-6

Enable IPv6 address support. When specifying an IPv6 numeric host for src\_host or dest\_host, write it in brackets. For example, username@[2001:0:4137:9e50:201b:63d3:ba92:da]:/path or --host=[fe80::21b:21ff:fe1c:5072%eth1].

#### -@ range\_start:range\_end

Transfer only part of a file: range\_start is the first byte to send, and range\_end is the last. If either position is unspecified, the file's first and last bytes (respectively) are assumed. This option only works for downloads of a single file and does not support transfer resume.

#### -A, --version

Display version and license information.

## --apply-local-docroot

Apply the local docroot that is set in aspera.conf for the transfer user. Use to avoid entering object storage access credentials in the command line. This option is equivalent to setting the environment variable ASPERA\_SCP\_DOCROOT.

#### -C nodeid:nodecount

Enable multi-session transfers (also known as parallel transfers) on a multi-node/multi-core system. A node ID (nodeid) and count (nodecount) are required for each session. nodeid and nodecount can be 1-128, but nodeid must be less than or equal to nodecount, such as 1:2, 2:2. Each session must use a different UDP port specified with the -0 option. Large files can be split across sessions, see --multi-session-threshold. For more information, see the IBM Aspera High-Speed Transfer Server.

#### -c cipher

Encrypt in-transit file data using the specified cipher. Aspera supports three sizes of AES cipher keys (128, 192, and 256 bits) and supports two encryption modes, cipher feedback mode (CFB) and Galois/counter mode (GCM). The GCM mode encrypts data faster and increases transfer speeds compared to the CFB mode, but the server must support and permit it.

#### Cipher rules

The encryption cipher that you are allowed to use depends on the server configuration and the version of the client and server:

- When you request a cipher key that is shorter than the cipher key that is configured on the server, the transfer is automatically upgraded to the server configuration. For example, when the server setting is AES-192 and you request AES-128, the server enforces AES-192.
- When the server requires GCM, you must use GCM (requires version 3.9.0 or newer) or the transfer fails.
- When you request GCM and the server is older than 3.8.1 or explicitly requires CFB, the transfer fails.
- When the server setting is "any", you can use any encryption cipher. The only exception is when the server is 3.8.1 or older and does not support GCM mode; in this case, you cannot request GCM mode encryption.
- When the server setting is "none", you must use "none". Transfer requests that specify an encryption cipher are refused by the server.

#### **Cipher Values**

Value	Description	Support
aes128 aes192 aes256	Use the GCM or CFB encryption mode, depending on the server configuration and version (see cipher negotiation matrix).	All client and server versions.
aes128cfb aes192cfb aes256cfb	Use the CFB encryption mode.	Clients version 3.9.0 and newer, all server versions.

Value	Description	Support
aes128gcm aes192gcm aes256gcm	Use the GCM encryption mode.	Clients and servers.
none	Do not encrypt data in transit. Aspera strongly recommends against using this setting.	All client and server versions.

### **Client-Server Cipher Negotiation**

The following table shows which encryption mode is used depending on the server and client versions and settings:

	Server, v3.9.0+ AES-XXX-GCM	Server, v3.9.0+ AES-XXX-CFB	Server, v3.9.0+ AES-XXX	Server, v3.8.1 or older AES-XXX
Client, v3.9.0+ AES-XXX-GCM	GCM	server refuses transfer	GCM	server refuses transfer
Client, v3.9.0+ AES-XXX-CFB	server refuses transfer	CFB	CFB	CFB
Client, v3.9.0+ AES-XXX	GCM	CFB	CFB	CFB
Client, v3.8.1 or older AES-XXX	server refuses transfer	CFB	CFB	CFB

## --check-sshfp=fingerprint

Compare fingerprint to the server SSH host key fingerprint that is set with <ssh\_host\_key\_fingerprint> in aspera.conf. Aspera fingerprint convention is to use a hex string without the colons; for example, f74e5de9ed0d62feaf0616ed1e851133c42a0082. For more information on SSH host key fingerprints, see the IBM Aspera High-Speed Transfer Server.

**Note:** If HTTP fallback is enabled and the transfer "falls back" to HTTP, this option enforces server SSL certificate validation (HTTPS). Validation fails if the server has a self-signed certificate; a properly signed certificate is required.

#### -D | -DD | -DDD

Log at the specified debug level. With each  $\mathbf{D}$ , an additional level of debugging information is written to the log.

#### -d

Create the destination directory if it does not already exist. This option is automatically applied to uploads to object storage.

### --delete-before-transfer

Before transfer, delete any files that exist at the destination but not also at the source. The source and destination arguments must be directories that have matching names. Do not use with multiple sources, keepalive, URI storage, or HTTP fallback. The **asdelete** tool provides the same capability.

#### --dest64

Indicate that the destination path or URI is base64 encoded.

#### -E 'pattern'

Exclude files or directories from the transfer based on matching the specified pattern to file names and paths (to exclude files by modification time, use --exclude-newer-than or --exclude-older-than). Use the -N option (include) to specify exceptions to -E rules. Rules are applied in the order in which they are encountered, from left to right. The following symbols can be used in the pattern:

- \* (asterisk) represents zero or more characters in a string, for example \*.tmp matches .tmp and abcde.tmp.
- ? (question mark) represents a single character, for example t?p matches tmp but not temp.

For details and examples, see "Using Filters to Include and Exclude Files" on page 49.

**Note:** When filtering rules are found in aspera.conf, they are applied *before* rules given on the command line (-E and -N).

### -e prepost\_script

Run the specified pre-post script as an alternate to the default aspera-prepost script. Specify the full path to the pre-post script. Use pre-post scripts to run custom commands such as shell scripts, Perl scripts, Windows batch files, and executable binaries that can invoke a variety of environment variables. For instructions, see the IBM Aspera High-Speed Transfer Server Admin guide.

#### --exclude-newer-than=mtime, --exclude-older-than=mtime

Exclude files (but not directories) from the transfer, based on when the file was last modified. Positive *mtime* values are used to express time, in seconds, since the original system time (usually 1970-01-01 00:00:00). Negative *mtime* values (prefixed with "-") are used to express the number of seconds prior to the current time.

#### -f config file

Read Aspera configuration settings from config\_file rather than aspera.conf(the default).

#### --file-checksum=hash

Enable checksum reporting for transferred files, where *hash* is the type of checksum to calculate: sha1, md5, sha-512, sha-384, sha-256, or none (the default). When the value is none, the checksum that is configured on the server, if any, is used. For more information about checksum reporting, see *IBM Aspera High-Speed Transfer Server Admin Guide: Reporting Checksums*.

**Important:** When checksum reporting is enabled, transfers of very large files (>TB) take a long time to resume because the entire file must be reread.

#### --file-crypt={encrypt|decrypt}

Encrypt files (when sending) or decrypt files (when receiving) for client-side encryption-at-rest (EAR). Encrypted files have the file extension .aspera-env. This option requires the encryption/ decryption passphrase to be set with the environment variable ASPERA\_SCP\_FILEPASS. If a client-side encrypted file is downloaded with an incorrect password, the download is successful, but the file remains encrypted and still has the file extension .aspera-env. For more information, see "Client-Side Encryption-at-Rest (EAR)" on page 60.

#### --file-list=file

Transfer all source files and directories listed in *file*. Each source item is specified on a separate line. UTF-8 file format is supported. Only the files and directories are transferred. Path information is not preserved at the destination. To read a file list from standard input, use "-" in place of *file*.

For example, if list.txt contains the following list of sources:

```
/tmp/code/compute.php
doc_dir
images/iris.png
images/rose.png
```

and the following command is run:

```
\# ascp --file-list=list.txt --mode=send --user=username --host=ip\_addr .
```

then the destination, in this case the transfer user's docroot, will contain the following:

```
compute.php
doc_dir (and its contents)
iris.png
rose.png
```

#### Restrictions:

- The command line cannot use the *user@host:source* syntax. Instead, specify this information with the options --mode, --host, and --user.
- Paths specified in the file list cannot use the *user@host:source* syntax.
- Because multiple sources are being transferred, the destination must be a directory.
- Only one **--file-list** or **--file-pair-list** option is allowed per **ascp** session. If multiple lists are specified, only the last one is used.
- Only files and directories specified in the file list are transferred; any sources specified on the command line are ignored.
- If the source paths are URIs, the size of the file list cannot exceed 24 KB.

To create a file list that also specifies destination paths, use --file-pair-list.

#### --file-manifest={none|text}

Generate a list of all transferred files when set to text. Requires **--file-manifest-path** to specify the location of the list. (Default: none)

#### --file-manifest-path=directory

Save the file manifest to the specified location when using **--file-manifest=text**. File manifests must be stored locally. For cloud or other non-local storage, specify a *local* manifest path.

### --file-manifest-inprogress-suffix=suffix

Apply the specified suffix to the file manifest's temporary file. For use with **--file-manifest=text**. (Default suffix: .aspera-inprogress)

### --file-pair-list=file

Transfer files and directories listed in *file* to their corresponding destinations. Each source is specified on a separate line, with its destination on the line following it.

Specify destinations relative to the transfer user's docroot. Even if a destination is specified as an absolute path, the path at the destination is still relative to the docroot. Destination paths specified in the list are created automatically if they do not already exist.

For example, if the file pairlist.txt contains the following list of sources and destinations:

```
Dir1
Dir2
my_images/iris.png
project_images/iris.png
/tmp/code/compute.php
/tmp/code/compute.php
/tmp/tests/testfile
testfile2
```

and the following command is run:

```
\# ascp --file-pair-list=pairlist.txt --mode=send --user=username --host=ip\_addr .
```

then the destination, in this case the transfer user's docroot, now contains the following:

```
Dir2 (and its contents)
project_images/iris.png
tmp/code/compute.php
testfile2
```

Restrictions:

- The command line cannot use the *user@host:source* syntax. Instead, specify this information with the options --mode, --host, and --user.
- The user@host:source syntax cannot be used with paths specified in the file list.
- Because multiple sources are being transferred, the destination specified on the command line must be a directory.
- Only one --file-pair-list or --file-list option is allowed per ascp session. If multiple lists
  are specified, only the last one is used.
- Only files from the file pair list are transferred; any additional source files specified on the command line are ignored.
- If the source paths are URIs, the file list cannot exceed 24 KB.

For additional examples, see "Ascp General Examples" on page 42.

### -G write\_size

If the transfer destination is a server, use the specified write-block size, which is the maximum number of bytes that the receiver can write to disk at a time. Default: 256 KB, Range: up to 500 MB. This option accepts suffixes "M" or "m" for mega and "K" or "k" for kilo, such that a  $write\_size$  of 1M is one MB.

This is a performance-tuning option that overrides the **write\_block\_size** set in the client's aspera.conf. However, the **-G** setting is overridden by the **write\_block\_size** set in the server's aspera.conf. The receiving server never uses the **write\_block\_size** set in the client's aspera.conf.

#### -g read\_size

If the transfer source is a server, use the specified read-block size, which is the maximum number of bytes that the sender reads from the source disk at a time. Default: 256 KB, Range: up to 500 MB. This option accepts suffixes "M" or "m" for *mega* and "K" or "k" for *kilo*, such that a *read\_size* of 1M is one MB.

This is a performance-tuning option that overrides the read\_block\_size set in the client's aspera.conf. However, the **-g** setting is overridden by the read\_block\_size set in the server's aspera.conf. When set to the default value, the read size is the default internal buffer size of the server, which might vary by operating system. The sending server never uses the read\_block\_size set in the client's aspera.conf.

### -h, --help

Display the help text.

### --host=hostname

Transfer to the specified host name or address. Requires **--mode**. This option can be used instead of specifying the host with the *hostname:file* syntax.

### -i private\_key\_file | cert\_file

The **-i** option can be used to specify either:

- an SSH private key file, for authenticating a transfer using public key authentication with the specified SSH private key file. The argument can be just the filename if the private key is located in user\_home\_dir/.ssh/, because ascp automatically searches for key files there. Multiple private key files can be specified by repeating the -i option. The keys are tried in order and the process ends when a key passes authentication or when all keys have been tried without success, at which point authentication fails.
- a Certificate Authority certificate, for use with fallback transfers or with Websocket use, when you do not want to use the system default certificate.

#### -K probe\_rate

Measure bottleneck bandwidth at the specified probing rate (Kbps). (Default: 100Kbps)

#### -k {0|1|2|3}

Enable the resuming of partially transferred files at the specified resume level. (Default: 0)

Specify this option for the first transfer or it will not work for subsequent transfers. Resume levels:

- -k 0 Always re-transfer the entire file.
- -k 1 Compare file attributes and resume if they match, and re-transfer if they do not.
- -k 2 Compare file attributes and the sparse file checksums; resume if they match, and retransfer if they do not.
- **-k 3** Compare file attributes and the full file checksums; resume if they match, and re-transfer if they do not.

If a complete file exists at the destination (no .aspx), the source and destination file sizes are compared. If a partial file and a valid .aspx file exist at the destination, the source file size and the file size recorded in the .aspx file are compared.

**Note:** If the destination is a URI path, then the only valid options are -k 0 and -k 1 and no .aspx file is created.

#### -L local\_log\_dir[:size]

Log to the specified directory on the client computer rather than the default directory. Optionally, set the size of the log file (Default: 10 MB). See also -R for setting the log directory on the server.

#### -1 max rate

Transfer at rates up to the specified target rate. (Default: 10000 Kbps) This option accepts suffixes "G" or "g" for giga, "M" or "m" for mega, "K" or "k" for kilo, and "P", "p", or "%" for percentage. Decimals are allowed. If this option is not set by the client, the setting in the server's aspera.conf is used. If a rate cap is set in the local or server aspera.conf, the rate does not exceed the cap.

#### -m min\_rate

Attempt to transfer no slower than the specified minimum transfer rate. (Default: 0) If this option is not set by the client, then the server's aspera.conf setting is used. If a rate cap is set in the local or server aspera.conf, then the rate does not exceed the cap.

#### --mode={send|recv}

Transfer in the specified direction: send or recv (receive). Requires --host.

#### --move-after-transfer=archivedir

Move source files and copy source directories to *archivedir* after they are successfully transferred. Because directories are copied, the original source tree remains in place. The transfer user must have write permissions to the *archivedir*. The *archivedir* is created if it does not already exist. If the archive directory cannot be created, the transfer proceeds and the source files remain in their original location.

To preserve portions of the file path above the transferred file or directory, use this option with **--src-base**. For an example, see "Ascp File Manipulation Examples" on page 44.

To remove empty source directories (except those specified as the source to transfer), use this option with **--remove-empty-directories**.

#### Restrictions:

- archivedir must be on the same file system as the source. If the specified archive is on a separate file system, it is created (if it does not exist), but an error is generated and files are not moved to it.
- For cloud storage, *archivedir* must be in the same cloud storage account as the source and must not already contain files with the same name (the existing files cannot be overwritten and the archiving fails).
- If the source is on a remote system (**ascp** is run in receive mode), *archivedir* is subject to the same docroot restrictions as the remote user.
- --remove-after-transfer and --move-after-transfer are mutually exclusive. Using both in the same session generates an error.
- Empty directories are not saved to archivedir.
- When used with **--remove-empty-directories** and **--src-base**, scanning for empty directories starts at the specified source base and proceeds down any subdirectories. If no source base is specified and a file path (as opposed to a directory path) is specified, then only the immediate parent directory is removed (if empty) after the source files have been moved.

#### --multi-session-threshold=threshold

Split files across multiple **ascp** sessions if their size is greater than or equal to *threshold*. Use with **-C**, which enables multi-session transfers.

Files whose sizes are less than *threshold* are not split. If *threshold* is set to 0 (the default), no files are split.

If **--multi-session-threshold** is not used, the threshold value is taken from the setting for <multi\_session\_threshold\_default> in the aspera.conf file on the client. If not found in aspera.conf on the client, the setting is taken from aspera.conf on the server. The command-line setting overrides any aspera.conf settings, including when the command-line setting is 0 (zero).

Multi-session uploads to cloud storage are supported for S3 only and require additional configuration. For more information, see the IBM Aspera High-Speed Transfer Server.

#### -N 'pattern'

Include files or directories in the transfer based on matching the specified pattern to file names and paths. Rules are applied in the order in which they are encountered, from left to right, such that **-N** rules protect files from **-E** rules that follow them.

**Note:** An include rule **must** be followed by at least one exclude rule, otherwise all files are transferred because none are excluded. To exclude all files that do not match the include rule, use -N '/\*\*/' -E '/\*\*' at the end of your filter arguments.

The following symbols can be used in the pattern:

- \* (asterisk) represents zero or more characters in a string, for example \*.tmp matches .tmp and abcde.tmp.
- ? (question mark) represents any single character, for example t?p matches tmp but not temp.

For details on specifying patterns and rules, including examples, see <u>"Using Filters to Include and Exclude Files"</u> on page 49.

**Note:** Filtering rules can also be specified in aspera.conf. Rules found in aspera.conf are applied *before* any -E and -N rules specified on the command line.

### -0 fasp\_port

Use the specified UDP port for FASP transfers. (Default: 33001)

#### --output-file-progress

Can be used to write the individual file transfer progress to the stdout file descriptor.

### --overwrite={never|always|diff|diff+older|older}

Overwrite destination files with source files of the same name. Default: diff. This option takes the following values:

- never Never overwrite the file. However, if the parent folder is not empty, its access, modify, and change times may still be updated.
- always Always overwrite the file.
- diff Overwrite the file if different from the source. If a complete file at the destination is the same as a file on the source, it is not overwritten. Partial files are overwritten or resumed depending on the resume policy.
- diff+older Overwrite the file if older and also different than the source. For example, if the destination file is the same as the source, but with a different timestamp, it will not be overwritten. Plus, if the destination file is different than the source, but newer, it will not be overwritten.
- older Overwrite the file if its timestamp is older than the source timestamp.

Interaction with resume policy (-k): If the overwrite method is diff or diff+older, difference is determined by the resume policy (-k {0|1|2|3}). If -k 0 or no -k is specified, the source and destination files are always considered different and the destination file is always overwritten. If -k 1, the source and destination files are compared based on file attributes (currently file size). If -k 2, the source and destination files are compared based on sparse checksums. If -k 3, the source and destination files are compared based on full checksums.

#### -P ssh-port | websockets-port

Use the specified TCP port to initiate the FASP transfer session, using the port number that is appropriate for your use of either SSH or Websocket.

-p

Preserve file timestamps for access and modification time. Equivalent to setting **--preserve-modification-time** and **--preserve-access-time** (and **--preserve-creation-time** on Windows). Timestamp support in object storage varies by provider; consult your object storage documentation to determine which settings are supported.

On Windows, modification time may be affected when the system automatically adjusts for Daylight Savings Time (DST). For details, see the Microsoft KB article, <a href="http://support.microsoft.com/kb/">http://support.microsoft.com/kb/</a> 129574.

#### --partial-file-suffix=suffix

Enable the use of partial files for files that are in transit, and set the suffix to add to names of partial files. (The suffix does not include a " . ", as for a file extension, unless explicitly specified as part of the suffix.) This option only takes effect when set on the receiver side. When the transfer is complete, the suffix is removed. (Default: suffix is null; use of partial files is disabled.)

# --policy={high|fair|low|fixed}

Set the FASP transfer policy.

- high Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, the transfer rate is twice as fast as a fair-policy transfer. The high policy requires maximum (target) and minimum transfer rates.
- fair Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, bandwidth is shared fairly by transferring at an even rate. The fair policy requires maximum (target) and minimum transfer rates.
- low Adjust the transfer rate to use the available bandwidth up to the maximum rate. Similar to fair mode, but less aggressive when sharing bandwidth with other network traffic. When congestion occurs, the transfer rate is reduced to the minimum rate until other traffic decreases.
- fixed Attempt to transfer at the specified target rate, regardless of network or storage capacity. This can decrease transfer performance and cause problems on the target storage. Aspera discourages using the fixed policy except in specific contexts, such as bandwidth testing. The fixed policy requires a maximum (target) rate.
- aggressiveness The aggressiveness of transfers that are authorized by this access key in claiming available bandwidth. Value can be 0.00-1.00. For example: These values correspond to the policy option where a policy of high approximates to aggressiveness of 0.75, fair to 0.50 and low to 0.25. Aggressiveness can be used if there is a need to fine tune the transfer policy.

If **--policy** is not set, **ascp** uses the server-side policy setting (fair by default). If the server does not allow the selected policy, the transfer fails.

#### --precalculate-job-size

Calculate the total size before starting the transfer. The server-side pre\_calculate\_job\_size setting in aspera.conf overrides this option.

#### --preserve-access-time

Preserve the source-file access timestamps at the destination. Because source access times are updated by the transfer operation, the timestamp preserved is the one just *prior* to the transfer. (To prevent access times at the source from being updated by the transfer operation, use the **--preserve-source-access-time** option.)

# --preserve-acls={native|metafile|none}

Preserve Access Control Lists (ACL) data for macOS, Windows, and AIX files. To preserve ACL data for other operating systems, use --preserve-xattrs. See also --remote-preserve-acls. Default: none.

- native Preserve attributes using the native capabilities of the file system. This mode is only supported for Windows, macOS, and AIX. If the destination and source do not support the same native ACL format, **async** reports and error and exits.
- metafile- Preserve file attributes in a separate file, named *filename*.aspera-meta. For example, attributes for readme.txt are preserved in a second file named readme.txt.aspera-meta. These metafiles are platform independent and can be copied between hosts without loss of information. This mode is supported on all file systems.
- none Do not preserve attributes. This mode is supported on all file systems.

#### **Important Usage Information:**

- ACLs are not preserved for directories.
- Both --preserve-acls and --remote-preserve-acls must be specified in order for the target side of a pull (Ascp with --mode=recv) to apply the ACLs.
- Very old versions of **ascp** do not support values other than none, and transfers using native or metafile fail with an error that reports incompatible FASP protocol versions.

#### --preserve-creation-time

(Windows only) Preserve source-file creation timestamps at the destination. Only Windows systems retain information about creation time. If the destination is not a Windows computer, this option is ignored.

# --preserve-file-owner-gid, --preserve-file-owner-uid

(Linux, UNIX, and macOS only) Preserve the group information (gid) or owner information (uid) of the transferred files. These options require the transfer user to be authenticated as a superuser.

#### --preserve-modification-time

Set the modification time, the last time a file or directory was modified (written), of a transferred file to the modification of the source file or directory. Preserve source-file modification timestamps at the destination.

On Windows, modification time may be affected when the system automatically adjusts for Daylight Savings Time (DST). For details, see the Microsoft KB article, <a href="http://support.microsoft.com/kb/">http://support.microsoft.com/kb/</a> 129574.

#### --preserve-source-access-time

Preserve the access times of the original sources to the last access times prior to transfer. This prevents access times at the source from being updated by the transfer operation. Typically used in conjunction with the **--preserve-access-time** option.

#### --preserve-xattrs={native|metafile|none}

Preserve extended file attributes data (xattr). Default: none. See also --remote-preserve-xattrs.

- native Preserve attributes using the native capabilities of the file system. This mode is supported
  only on macOS and Linux. If the destination and source do not support the same native xattr format,
  async reports and error and exits. If the Linux user is not root, some attributes such as system
  group might not be preserved.
- metafile- Preserve file attributes in a separate file, named *filename*.aspera-meta. For example, attributes for readme.txt are preserved in a second file named readme.txt.aspera-meta. These metafiles are platform independent and can be copied between hosts without loss of information. This mode is supported on all file systems.
- none Do not preserve attributes. This mode is supported on all file systems.

#### **Important Usage Information:**

- Extended attributes are not preserved for directories.
- If Ascp is run by a regular user, only user-level attributes are preserved. If run as superuser, all attributes are preserved.
- The amount of attribute data per file that can be transferred successfully is subject to **ascp**'s internal PDPU size limitation.

• Very old versions of Ascp do not support values other than none, and transfers using native or metafile fail with an error that reports incompatible FASP protocol versions.

#### --proxy=proxy\_url

Use the proxy server at the specified address. *proxy\_url* should be specified with the following syntax:

dnat[s]://proxy\_username:proxy\_password@server\_ip\_address:port

The default ports for DNAT and DNATS protocols are 9091 and 9092. For a usage example, see <u>"Ascp</u> General Examples" on page 42.

#### -q

Run **ascp** in quiet mode (disables the progress display).

#### -R remote\_log\_dir

Log to the specified directory on the server rather than the default directory. **Note:** Client users restricted to aspshell are not allowed to use this option. To specify the location of the local log, use **-L**.

# --remote-preserve-acls={native|metafile|none}

Like --preserve-acls but used when ACLs are stored in a different format on the remote computer. Defaults to the value of --preserve-acls.

**Note:** Both **--preserve-acls** and **--remote-preserve-acls** must be specified in order for the target side of a pull (Ascp with --mode=recv) to apply the ACLs.

# --remote-preserve-xattrs={native|metafile|none}

Like --preserve-xattrs but used when attributes are stored in a different format on the remote computer. Defaults to the value of --preserve-xattrs.

#### --remove-after-transfer

Remove all source files, but not the source directories, once the transfer has completed successfully. Requires write permissions on the source.

#### --remove-empty-directories

Remove empty source directories once the transfer has completed successfully, but do not remove a directory specified as the source argument. To also remove the specified source directory, use -- remove-empty-source-directory. Directories can be emptied using --move-after-transfer or --remove-after-transfer. Scanning for empty directories starts at the srcbase and proceeds down any subdirectories. If no source base is specified and a file path (as opposed to a directory path) is specified, then only the immediate parent directory is scanned and removed if it's empty following the move of the source file. Note: Do not use this option if multiple processes (ascp or other) might access the source directory at the same time.

# --remove-empty-source-directory

Remove directories specified as the source arguments. For use with **--remove-empty-directories**.

#### -S remote\_ascp

Use the specified remote **ascp** binary, if different than **ascp**.

#### --save-before-overwrite

Save a copy of a file before it is overwritten by the transfer. A copy of filename.ext is saved as filename.yyyy.mm.dd.hh.mm.ss.index.ext in the same directory.index is set to 1 at the start of each second and incremented for each additional file saved during that second. The saved copies retain the attributes of the original. Not supported for URI path destinations.

### -SSH

Use an external SSH program instead of the built-in libssh2 implementation to establish the connection with the remote host. The desired SSH program must be defined in the environment's PATH variable. To enable debugging of the SSH process, use the -DD and --ssh-arg=-vv options with ascp.

### --ssh-arg=ARG

Add ARG to the command-line arguments passed to the external SSH program (this implies using-SSH). This option may be repeated as needed to supply multiple separate SSH arguments. The order

is preserved. The ARG elements are inserted before any key file(s) supplied to **ascp**, and before the user/host argument.

### --skip-special-files

Skip special files, such as devices and pipes, without reporting errors for them.

# --source-prefix=prefix

Prepend *prefix* to each source path. The prefix can be a conventional path or a URI; however, URI paths can be used only if no docroot is defined.

### --source-prefix64=prefix

Prepend the base64-encoded *prefix* to each source path. If **--source-prefix**=*prefix* is also used, the last option takes precedence.

#### --src-base=*prefix*

Strip the specified path prefix from the source path of each transferred file or directory. The remaining portion of the path remains intact at the destination.

Without --src-base, source files and directories are transferred without their source path. (However, directories do include their contents.)

**Note:** Sources located outside the source base are not transferred. No errors or warnings are issued, but the skipped files are logged.

**Use with URIs:** The **--src-base** option performs a character-to-character match with the source path. For object storage source paths, the prefix must specify the URI in the same manner as the source paths. For example, if a source path includes an embedded passphrase, the prefix must also include the embedded passphrase otherwise it will not match.

For examples, see "Ascp File Manipulation Examples" on page 44.

#### --src-base64=<base64-encoded src-base>

An alternative to --src-base, with the same value except base64-encoded to help avoid character translation issues for non-ascii character sets. If both --src-base and --src-base64 are specified, then the last argument on the command line is used.

# --symbolic-links={follow|copy|copy+force|skip}

Handle symbolic links using the specified method, as allowed by the server. For more information on symbolic link handling, see "Symbolic Link Handling" on page 55. On Windows, the only method is skip. On other operating systems, any of the following methods can be used:

- follow Follow symbolic links and transfer the linked files. (Default)
- copy Copy only the alias file. If a file with the same name is found at the destination, the symbolic link is not copied.
- copy+force Copy only the alias file. If a file (not a directory) with the same name is found at the
  destination, the alias replaces the file. If the destination is a symbolic link to a directory, it's not
  replaced.
- skip Skip symbolic links. Do not copy the link or the file it points to.

### -T

Disable in-transit encryption for maximum throughput.

#### --tags string

Metatags in JSON format. The value is limited to 4 Kb.

#### --tags64 string

Metatags in JSON format and base64 encoded. The value is limited to 4 Kb.

#### -u user string

Define a user string for Lua scripts that can be run with transfer events. See <u>Transfer Session Data</u> Accessible to Scripts.

#### --user=username

Authenticate the transfer using the specified username. Use this option instead of specifying the username as part of the destination path (as user@host:file).

**Note:** If you are authenticating on a Windows computer as a domain user, the transfer server strips the domain from the username. For example, Administrator is authenticated rather than DOMAIN\Administrator. For this reason, you must specify the domain explicitly.

-v

Run **ascp** in verbose mode. This option prints connection and authentication debug messages in the log file. For information on log files, see "Log Files" on page 77.

#### -W {token\_string|@token\_file}

Authenticate using the authorization token string for the transfer, either as the string itself or when preceded with an @, the full path to the token file. This option takes precedence over the setting for the ASPERA SCP TOKEN environment variable.

#### -wr, -wf

Measure and report bandwidth from server to client (-wr) or client to server (-wf) before the transfer.

#### --ws-connect

Use Websocket instead of SSH for client connections with the transfer server.

#### -X rexmsg size

Limit the size of retransmission requests to no larger than the specified size, in bytes. (Max: 1440)

#### -Z dgram size

Use the specified datagram size (MTU) for FASP transfers. Range: 296-65535 bytes. (Default: the detected path MTU)

As of version 3.3, datagram size can be specified on the server by setting <datagram\_size> in aspera.conf. The server setting overrides the client setting, unless the client is using a version of **ascp** that is older than 3.3, in which case the client setting is used. If the pre-3.3 client does not set -Z, the datagram size is the discovered MTU and the server logs the message "LOG Peer client does not support alternative datagram size".

# **Ascp Options for HTTP Fallback**

HTTP fallback serves as a secondary transfer method when the Internet connectivity required for Aspera FASP transfers (UDP port 33001, by default) is unavailable. When HTTP fallback is enabled and UDP connectivity is lost or cannot be established, the transfer will continue over the HTTP/S protocol.

#### **Limitations:**

- HTTP fallback must be enabled on the server.
- Folders that are symbolic links cannot be downloaded directly by using HTTP fallback. Folders that are symbolic links are processed correctly when their parent folder is the source.
- HTTP fallback can only follow symbolic links. Settings in aspera.conf or in the command line are ignored.
- HTTP fallback attempts to transfer at the target rate but is limited by TCP.
- HTTP fallback does not support automated execution of Lua scripts (<u>Automated Execution of Lua Scripts with Transfer Events</u>).

### **Options:**

#### -i cert file

By default **ascp** uses the system certificates. However, the **-i** option can be used to use the specified Certificate Authority certificate for fallback transfers, and for Websocket.

#### -t port

Transfer via the specified server port for HTTP fallback.

#### -x proxy\_server

Transfer to the specified proxy server address for HTTP fallback.

#### -Y key file

Certify HTTPS fallback transfers using the specified HTTPS transfer key.

#### $-y \{0|1\}$

If set to "1", use the HTTP fallback transfer server when a UDP connection fails. (Default: 0)

# **Ascp General Examples**

Use the following Ascp examples to craft your own transfers.

To describe filepaths, use single-quotes ('') around the filepath string, and forward-slashes (/) on all platforms. Avoid the following characters in filenames: / ": '? > < & \* |

#### Growing Files

The growing files feature allows you to start transferring files to the target directory while they are still being written to the source directory.

Download the growing file myfile with a wait period of 120 seconds, and using a zero-byte read when calculating the wait time.

```
ascp --mode=recv --user=root --host=10.0.0.2 "file:///tmp/myfile?
grow=120&wait_start=null_read" file:///tmp2/mylocalfile
```

To support this command, the ascp.conf file would have to include the following configuration:

For more information, see the discussion of ascp.conf configuration for growing files in <u>aspera.conf</u> - File System Configuration.

#### Using the Websocket Protocol

This example shows how to use the Websocket protocol for a transfer. The Aspera Node Service provides a Websocket server, which must be enabled. Because the Ascp client only supports a secure Websocket transfer (HTTPS), the Aspera Node Service must be configured for HTTPS, or must use a reverse proxy to terminate the secure connection.

A basic token, bearer token or transfer token must be used with a Websocket connection.

The following **ascp** options are required for using Websocket:

#### --ws-connect

Specifies using Websocket.

-P

Specifies the Websocket port (9093).

```
# ascp -L- --ws-connect -P 9093 --host=www.example.com --mode=send --user=xeno c:/Users/xeno/
Desktop/myfile /Desktop/ dest
```

#### Fair-policy transfer

Fair-policy transfer with maximum rate 100 Mbps and minimum at 1 Mbps, without encryption, transfer all files in \local-dir\files to 10.0.0.2:

```
# ascp --policy=fair -l 100m -m 1m /local-dir/files root@10.0.0.2:/remote-dir
```

### Fixed-policy transfer

Fixed-policy transfer with target rate 100 Mbps, without encryption, transfer all files in \local-dir\files to 10.0.0.2:

```
# ascp -1 100m /local-dir/files root@10.0.0.2:/remote-dir
```

#### · Specify UDP port for transfer

Transfer using UDP port 42000:

```
# ascp -1 100m -0 42000 /local-dir/files user@10.0.0.2:/remote-dir
```

### Public key authentication

Transfer with public key authentication using the key file <home dir>/.ssh/aspera\_user\_1-key local-dir/files:

```
$ ascp -l 10m -i ~/.ssh/aspera_user_1-key local-dir/files root@10.0.0.2:/remote-dir
```

# • Username or filepath contains a space

Enclose the target in double-quotes when spaces are present in the username and remote path:

```
# ascp -l 100m local-dir/files "User Name@10.0.0.2:/remote directory"
```

#### · Content is specified in a file pair list

Specify source content to transfer to various destinations in a file pair list. Source content is specified using the full file or directory path. Destination directories are specified relative to the transfer user's docroot, which is specified as a "." at the end of the **ascp** command. For example, the following is a simple file pair list, filepairlist.txt that lists two source folders, folder1 and folder2, with two destinations, tmp1 and tmp2:

```
/tmp/folder1
tmp1
/tmp/folder2
tmp2

# ascp --user=user_1 --host=10.0.0.2 --mode=send --file-pair-list=/tmp/filepairlist.txt .
```

This command and file pair list create the following directories within the transfer user's docroot on the destination:

```
/tmp1/folder1
/tmp2/folder2
```

#### Network shared location transfer

Send files to a network shares location \\1.2.3.4\nw-share-dir, through the computer 10.0.0.2:

```
# ascp local-dir/files root@10.0.0.2:"//1.2.3.4/nw-share-dir/"
```

#### Parallel transfer on a multi-core system

Use parallel transfer on a dual-core system, together transferring at the rate 200Mbps, using UDP ports 33001 and 33002. Two commands are executed in different Terminal windows:

```
# ascp -C 1:2 -0 33001 -l 100m /file root@10.0.0.2:/remote-dir &
# ascp -C 2:2 -0 33002 -l 100m /file root@10.0.0.2:/remote-dir
```

#### Upload with content protection

Upload the file **local-dir/file** to the server 10.0.0.2 with password protection (password: secRet):

```
# export ASPERA_SCP_FILEPASS=secRet ascp -l 10m --file-crypt=encrypt local-dir/file
root@10.0.0.2:/remote-dir/
```

The file is saved on the server as file.aspera-env, with the extension indicating that the file is encrypted. See the next example for how to download and decrypt an encrypted file from the server.

#### Download with content protection and decryption

Download an encrypted file, file.aspera-env, from the server 10.0.0.2 and decrypt while transferring:

```
# export ASPERA_SCP_FILEPASS=secRet; ascp -l 10m --file-crypt=decrypt root@10.0.0.2:/remote-
dir/file.aspera-env /local-dir
```

· Decrypt a downloaded, encrypted file

If the password-protected file **file1** is downloaded on the local computer without decrypting, decrypt **file1.aspera-env** (the name of the downloaded/encrypted version of **file1**) to **file1**:

```
$ export ASPERA_SCP_FILEPASS=secRet; /opt/aspera/bin/asunprotect -o file1 file1.aspera-env
```

Download through Aspera forward proxy with proxy authentication

User Pat transfers the file /data/file1 to /Pat\_data/ on 10.0.0.2, through the proxy server at 10.0.0.7 with the proxy username aspera\_proxy and password pa33w0rd. After running the command, Pat is prompted for the transfer user's (Pat's) password.

```
# ascp --proxy dnats://aspera_proxy:pa33w0rd@10.0.0.7 /data/file1 Pat@10.0.0.2:/Pat_data/
```

#### Test transfers using faux://

For information on the syntax, see .

Transfer random data (no source storage required)

Transfer 20 GB of random data as user root to file newfile in the directory /remote-dir on 10.0.0.2:

```
#ascp --mode=send --user=root --host=10.0.0.2 faux:///newfile?20g /remote-dir
```

Transfer a file but do not save results to disk (no destination storage required)

Transfer the file /tmp/sample as user root to 10.0.0.2, but do not save results to disk:

```
#ascp --mode=send --user=root --host=10.0.0.2 /temp/sample faux://
```

• Transfer random data and do not save result to disk (no source or destination storage required)

Transfer 10 MB of random data from 10.0.0.2 as user root and do not save result to disk:

```
#ascp --mode=send --user=root --host=10.0.0.2 faux://dummy?10m faux://
```

# **Ascp File Manipulation Examples**

Ascp can manipulate files and directories as part of the transfer, such as upload only the files in the specified source directory but not the directory itself, create a destination directory, and move or delete source files after they are transferred.

· Upload a directory

Upload the directory /data/ to the server at 10.0.0.1, and place it in the /storage/ directory on the server:

```
# ascp /src/data/ root@10.0.0.1:/storage/
```

• Upload only the contents of a directory (not the directory itself) by using the --src-base option:

Upload only the contents of /data/ to the /storage/ directory at the destination. Strip the /src/ data/ portion of the source path and preserve the remainder of the file structure at the destination:

```
# ascp --src-base=/src/data/ /src/data/ root@10.0.0.1:/storage/
```

Upload a directory and its contents to a new directory by using the -d option.

Upload the /data/ directory to the server and if it doesn't already exist, create the new folder / storage2/ to contain it, resulting in /storage2/data/ at the destination.

```
# ascp -d /src/data/ root@10.0.0.1:/storage2/
```

Upload the contents of a directory, but not the directory itself, by using the --src-base option:

Upload all folders and files in the /clips/out/ folder, but not the out/ folder itself, to the /in/ folder at the destination.

```
# ascp -d --src-base=/clips/out/ /clips/out/ root@10.0.0.1:/in/
```

Result: The source folders and their content appear in the in directory at the destination:

Source	Destination	Destination withoutsrc-base
/clips/out/	/in/file1	/in/out/file1
file1	/in/folderA/file2	/in/out/folderA/file2
/clips/out/folderA/file2	/in/folderB/file3	/in/out/folderB/file3
/clips/out/folderB/file3		

Without --src-base, the example command transfers not only the contents of the out/ folder, but the folder itself.

**Note:** Sources located outside the source base are not transferred. No errors or warnings are issued, but the skipped files are logged. For example, if /clips/file4 were included in the above example sources, it would not be transferred because it is located outside the specified source base, / clips/out/.

Upload only the contents of a file and a directory to a new directory by using --src-base

Upload a file, /monday/file1, and a directory, /tuesday/\*, to the /storage/ directory on the server, while stripping the srcbase path and preserving the rest of the file structure. The content is saved as /storage/monday/file1and/storage/tuesday/\* on the server.

```
# ascp --src-base=/data/content /data/content/monday/file1 /data/content/tuesday/
root@10.0.0.1:/storage
```

• Download only the contents of a file and a directory to a new directory by using --src-base

Download a file, /monday/file1, and a directory, /tuesday/\*, from the server, while stripping the srcbase path and preserving the rest of the file structure. The content is saved as /data/monday/file1 and /data/tuesday/\* on the client.

```
\# \ ascp \ --src-base=/storage/content \ root@10.0.0.1:/storage/content/monday/file1 \ root@10.0.0.1:/storage/content/tuesday/ \ / data
```

Move the source file on the client after it is uploaded to the server by using --move-after-transfer

Uploadfile0012 to Pat's docroot on the server at 10.0.0.1, and move (not copy) the file from C:/Users/Pat/srcdir/ to C:/Users/Pat/Archive on the client.

```
# ascp --move-after-transfer=C:/Users/Pat/Archive C:/Users/Pat/srcdir/file0012 Pat@10.0.0.1:/
```

Move the source file on the server after it is downloaded to the client by using --move-after-transfer

Download srcdir from the server to C:/Users/Pat on the client, and move (not copy) srcdir to the archive directory /Archive on the server.

```
# ascp --move-after-transfer=Archive Pat@10.0.0.1:/srcdir C:/Users/Pat
```

 Move the source file on the client after it is uploaded to the server and preserve the file structure one level above it by using --src-base and --move-after-transfer Upload file0012 to Pat's docroot on the server at 10.0.0.1, and save it as /srcdir/file0012 (stripped of C:/Users/Pat). Also move file0012 from C:/Users/Pat/srcdir/to C:/Users/Pat/Archive on the client, where it is saved as C:/Users/Pat/Archive/srcdir/file0012.

```
\# ascp --src-base=C:/Users/Pat --move-after-transfer=C:/Users/Pat/Archive C:/Users/Pat/srcdir/file0012 Pat@10.0.0.1:/
```

 Delete a local directory once it is uploaded to the remote server by using --remove-aftertransfer and --remove-empty-directories

Upload /content/ to the server, then delete its contents (excluding partial files) and any empty directories on the client.

```
\# ascp -k2 -E "*.partial" --remove-after-transfer --remove-empty-directories /data/content root@10.0.0.1:/storage
```

Delete a local directory once its contents have been transferred to the remote server by using
 --src-base, --remove-after-transfer, and --remove-empty-directories

Upload /content/ to the server, while stripping the srcbase path and preserving the rest of the file structure. The content is saved as /storage/\* on the server. On the client, the contents of / content/, including empty directories but excluding partial files, are deleted.

```
\# ascp -k2 -E "*.partial" --src-base=/data/content --remove-after-transfer --remove-empty-directories /data/content root@10.0.0.1:/storage
```

# Using Standard I/O as the Source or Destination

Ascp can use standard input (stdin) as the source or standard output (stdout) as the destination for a transfer, usually managed by using the Aspera FASP Manager SDK. The syntax depends on the number of files in your transfer; for single files use stdio:// and for multiple files use stdio-tar://. The transfer is authenticated using SSH or a transfer token.

### **Named Pipes**

A named pipe can be specified as a stdio destination, with the syntax stdio:///path for single files, or stdio-tar://path for multiple files, where path is the path of the named pipe. If a docroot is configured on the destination, then the transfer goes to the named pipe docroot/path.

**Note:** Do not use stdio:///path to transfer multiple files. The file data is asynchronously concatenated in the output stream and might be unusable. Use stdio-tar://path instead, which demarcates multiple files with headers.

Note: Do not use zero-byte files with standard I/O transfers.

# **Single File Transfers**

To upload data that is piped into stdin, set the source as stdio:///?fsize, wherefsize is the number of bytes (as a decimal) that are received from stdin. The destination is set as the path and filename. The file modification time is set to the time at which the upload starts. Standard input must transfer the exact amount of data that is set by fsize. If more or less data is received by the server, an error is generated.

To download data and pipe it into stdout, set the destination as stdio://.

#### **Restrictions:**

- stdio://cannot be used for persistent sessions. Use stdio-tar://instead.
- Only --overwrite=always or --overwrite=never are supported with stdio://. The behavior of --overwrite=diff and --overwrite=diff+older is undefined.

#### Single-file Transfer Examples:

• Upload 1025 bytes of data from the client stdin to /remote-dir on the server at 10.0.0.2. Save the data as the file newfile. Transfer at 100 Mbps.

```
cat myfile | ascp -1 100m --mode=send --user=username --host=10.0.0.2 stdio:///newfile?1025 /
remote-dir
```

• Download the file remote\_file from the server at 10.0.0.2 to stdout on the client. Transfer at 100 Mbps.

```
ascp -l 100m --mode=recv --user=username --host=10.0.0.2 remote_file stdio://
```

• Upload the file local\_file to the server at 10.0.0.2 to the named pipe /tmp/outpipe. Transfer at 100 Mbps.

```
ascp -l 100m --mode=send --user=username --host=10.0.0.2 local_file stdio:///tmp/outpipe
```

#### **Multi-File Transfers**

Ascp can transfer one or more files in an encoded, streamed interface, similar to single file transfers. The primary difference is that the stream includes headers that demarcate data from individual files.

To upload files that are piped into stdin, set the source as stdio-tar://. The file modification time is set to the time at which the upload starts.

The file(s) in the input stream must be encoded in the following format. File can be the file name or file path, Size is the size of the file in bytes, and Offset is an optional parameter that sets where in the destination file to begin overwriting with the raw inline data:

```
[0 - n blank lines]
File: /path/to/file_1
Size: file_size
Offset: bytes

file_1 data
[0 - n blank lines]
File: /path/to/file_2
Size: file_size

file 2 data
...
```

To download one or more files to stdout, set the destination as stdio-tar://. Normal status output to stdout is suppressed during downloads because the transfer output is streamed to stdout. The data sent to stdout has the same encoding as described for uploads.

To download to a named pipe, set the destination to stdio-tar:///path, where path is the path of the named pipe.

When an offset is specified, the bytes that are sent replace the existing bytes in the destination file (if it exists). The bytes added to the destination file can extend beyond the current file size. If no offset is set, the bytes overwrite the file if overwrite conditions are met.

#### **Restrictions:**

- When downloading to stdio-tar://, the source list must consist of individual files only. Directories are not allowed.
- Only --overwrite=always or --overwrite=never are supported with stdio-tar://. The behavior of --overwrite=diff and --overwrite=diff+older is undefined.
- Offsets are only supported if the destination files are located in the native file system. Offsets are not supported for cloud destinations.

### **Multi-file Transfer Examples:**

• Upload two files, myfile1 (1025 bytes) and myfile2 (20 bytes), to /remote-dir on the server at 10.0.0.2. Transfer at 100 Mbps.

```
cat sourcefile | ascp -l 100m --mode=send --user=username --host=10.0.0.2 stdio-tar:// / remote-dir
```

Where sourcefile contains the following:

```
File: myfile1
Size: 1025

<< 1025 bytes of data>>
File: myfile2
Size: 20

<<20 bytes of data>>
```

- Uploading multiple files from stdin by using a persistent session is the same as a non-persistent session.
- Update bytes 10-19 in file /remote-dir/myfile1 on the server at 10.0.0.2 at 100 Mbps.

```
cat sourcefile | ascp -l 100m --mode=send --user=username --host=10.0.0.2 stdio-tar:// / remote-dir
```

Where sourcefile contains the following:

```
File: myfile1
Size: 10
Offset: 10
<< 10 bytes of data>>
```

• Upload two files, myfile1 and myfile2, to the named pipe /tmp/mypipe (streaming output) on the server at 10.0.0.2. Transfer at 100 Mbps.

```
ascp -l 100m --mode=send --user=username --host=10.0.0.2 myfile1 myfile2 stdio-tar:///tmp/mypipe
```

This sends an encoded stream of myfile1 and myfile2 (with the format of sourcefile in the upload example) to the pipe /tmp/mypipe. If /tmp/mypipe does not exist, it is created.

Download the files from the previous example from 10.0.0.2 to stdout. Transfer at 100 Mbps.

```
ascp -l 100m --mode=recv --user=username --host=10.0.0.2 myfile1 myfile2 stdio-tar://
```

Standard output receives data identical to sourcefile in the upload example.

Download /tmp/myfile1 and /tmp/myfile2 to stdout by using a persistent session. Start the
persistent session, which listens on management port 12345:

```
ascp -l 100m --mode=recv --keepalive -M 12345 --user=username --host=10.0.0.2 stdio-tar://
```

Send the following in through management port 12345:

```
FASPMGR 2
Type: START
Source: /tmp/myfile1
Destination: mynewfile1

FASPMGR 2
Type: START
Source: /tmp/myfile2
Destination: mynewfile2

FASPMGR 2
Type: DONE
```

The destination must be a filename; file paths are not supported.

Standard out receives the transferred data with the following syntax:

```
File: mynewfile1
Size: file_size

mynewfile1_data
File: mynewfile2
Size: file_size

mynewfile2_data
```

• Upload two files, myfile1 and myfile2, to named pipe /tmp/mypipe on the server at 10.0.0.2. Transfer at 100 Mbps.

```
ascp -l 100m --mode=send --user=username --host=10.0.0.2 myfile1 myfile2 stdio-tar:///tmp/mypipe
```

If file/tmp/mypipe does not exist, it is created.

 Upload two files, myfile1 (1025 bytes) and myfile2 (20 bytes) from stdio and regenerate the stream on the destination to send out through the named pipe /tmp/mypipe on the server at 10.0.0.2.
 Transfer at 100 Mbps.

```
cat sourcefile | ascp -l 100m --mode=send --user=username --host=10.0.0.2 stdio-tar:// stdio-tar:///tmp/pipe
```

Where sourcefile contains the following:

```
File: myfile1
Size: 1025

<< 1025 bytes of data>>
File: myfile2
Size: 20

<<20 bytes of data>>
```

# Using Filters to Include and Exclude Files

Filters refine the list of source files (or directories) to transfer by indicating which to skip or include based on name matching. When no filtering rules are specified by the client, Ascp transfers all source files in the transfer list; servers cannot filter client uploads or downloads.

# **Command Line Syntax**

- -E 'pattern' Exclude files or directories with names or paths that match pattern.
- N 'pattern' Include files or directories with names or paths that match pattern.

#### Where:

- pattern is a file or directory name, or a set of names expressed with UNIX glob patterns.
- Surround patterns that contain wildcards with single quotes to prevent filter patterns from being interpreted by the command shell. Patterns that do not contain wildcards can also be in single quotes.

#### **Basic usage**

- Filtering rules are applied to the transfer list in the order they appear on the command line. If filtering rules are configured in aspera.conf, they are applied before the rules on the command line.
- Filtering is a process of exclusion, and -N rules override -E rules that follow them. -N cannot add back files that are excluded by a preceding exclude rule.
- An include rule must be followed by at least one exclude rule, otherwise all files are transferred because none are excluded. To exclude all files that do not match the include rule, use -N '/\*\*/' -E '/\*\* at the end of your filter arguments.
- Filtering operates only on the set of files and directories in the transfer list. An include rule (-N) cannot add files or directories that are not already part of the transfer list.

Example	Transfer Result
-E 'rule'	Transfer all files and directories except those with names that match <i>rule</i> .
-N'rule'	Transfer all files and directories because none are excluded.
	To transfer only the files and directories with names that match <i>rule</i> use:
	ascp -N 'rule' -N '/**/' -E '/**'
-N 'rule1' -E 'rule2'	Transfer all files and directories with names that match <i>rule1</i> , as well as all other files and directories except those with names that match <i>rule2</i> .
-E 'rule1' -N 'rule2'	Transfer all files and directories except those with names that match <i>rule1</i> . All files and directories not already excluded by <i>rule1</i> are included because no additional exclude rule follows -N ' <i>rule2</i> '.
	To transfer only the files and directories with names that do not match <i>rule1</i> but do match <i>rule2</i> use:
	ascp -E 'rule1' -N 'rule2' -N '/**/' -E '/**'

# **Filtering Rule Application**

Filters can be specified on the **ascp** command line and in aspera.conf. Ascp applies filtering rules that are set in aspera.conf before it applies rules on the command line.

### Filtering order

Filtering rules are applied to the transfer list in the order they appear on the command line.

- 1. Ascp compares the first file (or directory) in the transfer list to the pattern of the first rule.
- 2. If the file matches the pattern, Ascp includes it (-N) or excludes it (-E) and the file is immune to any following rules.

**Note:** When a directory is excluded, directories and files in it are also excluded and are not compared to any following rules. For example, with the command-line options -E '/images/' -N '/images/icons/', the directory /images/icons/ is not included or considered because /images/ was already excluded.

- 3. If the file does not match, Ascp compares it with the next rule and repeats the process for each rule until a match is found or until all rules have been tried.
- 4. If the file never matches any exclude rules, it is included in the transfer.
- 5. The next file or directory in the transfer list is then compared to the filtering rules until all eligible files are evaluated.

#### **Example**

Consider the following command:

```
# ascp -N 'file2' -E 'file[0-9]' /images/icons/ user1@examplehost:/tmp
```

Where /images/icons/ is the source.

If /images/icons/ contains file1, file2, and fileA, the filtering rules are applied as follows:

- 1. file1 is compared with the first rule (-N 'file2') and does not match so filtering continues.
- 2. file1 is compared with the second rule (-E 'file[0-9]) and matches, so it is excluded from the transfer.
- 3. file2 is compared with the first rule and matches, so it is included in the transfer and filtering stops for file2.
- 4. fileA is compared with the first rule and does not match so filtering continues.

5. fileA is compared with the second rule and does not match. Because no rules exclude it, fileA is included in the transfer.

**Note:** If the filtering rules ended with -N '/\*\*/' -E '/\*\*', then fileA would be excluded because it was not "protected" by an include rule.

### **Rule Patterns**

Rule patterns (globs) use standard globbing syntax that includes wildcards and special characters, as well as several Aspera extensions to the standard.

- Character case: Case always matters, even if the file system does not enforce such a distinction. For example, on Windows FAT or NTFS file systems and macOS HPFS+, a file system search for "DEBUG" returns files "Debug" and "debug". In contrast, Ascp filter rules use exact comparison, such that "debug" does not match "Debug". To match both, use "[Dd]ebug".
- Partial matches: With globs, unlike standard regular expressions, the entire filename or directory name must match the pattern. For example, the pattern abc\*f matches abcdef but not abcdefg.

# Standard Globbing: Wildcards and Special Characters

/	The only recognized path separator.	
\	Quotes any character literally, including itself. \ is exclusively a quoting operator, not a path separator.	
*	Matches zero or more characters, except "/" or the . in "/.".	
?	Matches any single character, except "/" or the . in "/.".	
[]	Matches exactly one of a set of characters, except "/" or the . in "/.".	
[^]	When ^ is the first character, matches exactly one character <i>not</i> in the set.	
[!]	When! is the first character, matches exactly one character <i>not</i> in the set.	
[x-x]	Matches exactly one of a range of characters.	
[:xxxxx:]	For details about this type of wildcard, see any POSIX-standard guide to globbing.	

#### **Globbing Extensions: Wildcards and Special Characters**

no / or * at end of pattern	Matches files only.
/ at end of pattern	Matches directories only. With -N, no files under matched directories or their subdirectories are included in the transfer. All subdirectories are still included, although their files will not be included. However, with -E, excluding a directory also excludes all files and subdirectories under it.
* or /** at end of pattern	Matches both directories and files.
/**	Like * but also matches "/" and the . in "/.".
/ at start of pattern	Must match the entire string from the root of the transfer set. (Note: The leading / does not refer to the system root or the docroot.)

# **Standard Globbing Examples**

Wildcard	Example	Matches	Does Not Match
/	abc/def/xyz	abc/def/xyz	abc/def

Wildcard	Example	Matches	Does Not Match
\	abc\?	abc?	abc\? abc/D abcD
*	abc*f	abcdef abc.f	abc/f abcefg
?	abc??	abcde abc.z	abcdef abc/d abc/.
[]	[abc]def	adef cdef	abcdef ade
[^]	[^abc]def	zdef .def 2def	bdef /def /.def
[!]	[!abc]def	zdef .def 2def	cdef /def /.def
[:xxxxx:]	[[:lower:]]def	cdef ydef	Adef 2def .def

### **Globbing Extension Examples**

Wildcard	Example	Matches	Does Not Match
/**	a/**/f	a/f a/.z/f a/d/e/f	a/d/f/ za/d/f
* at end of rule	abc*	abc/ abcfile	
/** at end of rule	abc/**	abc/.file abc/d/e/	abc/
/ at end of rule	abc/*/	abc/dir	abc/file
no / at end of rule	abc	abc (file)	abc/
/ at start of rule	/abc/def	/abc/def	xyz/abc/def

# **Testing Your Filter Rules**

You can use this procedure to test your filtering rules.

- 1. On your computer, create a set of directories and files (size can be small) that approximate a typical transfer file set. In the following example, the file set is in /tmp/src.
- 2. Upload the file set to a server. For example:

```
# ascp /tmp/src my_user_name@my_demo.example.com:Upload/
```

Where the user is "my\_user\_name", and the target is the Upload directory.

At the prompt, enter my user name's password.

- 3. Create a destination directory on your computer, for example /tmp/dest.
- 4. Download your files from the demo server to /tmp/dest to test your filtering rules. For example:

```
# ascp -N 'wxy/**' -E 'def' my_user_name@my_demo.example.com:Upload/src/ /tmp/dest
```

5. Compare the destination directory with the source to determine if the filter behaved as expected.

```
$ diff -r dest/ src/
```

The **diff** output shows the missing files and directories (those that were not transferred).

# **Example Filter Rules**

The example rules below are based on running a command such as the following to download a directory AAA from my\_demo.example.com to /tmp/dest:

```
# ascp rules aspera@my_demo.example.com:Upload/AAA /tmp/dest
```

The examples below use the following file set:

```
AAA/abc/def
AAA/abc/.def
AAA/abc/.wxy/def
AAA/abc/wxy/def
AAA/abc/wxy/.def
AAA/abc/wxy/tuv/def
AAA/abc/xyz/def/wxy
AAA/wxyfile
AAA/wxy/xyx/
AAA/wxy/xyxfile
```

Key for interpreting example results below:

```
< xxx/yyy = Excluded
xxx/yyy = Included
zzz/ = directory name
zzz = filename
```

1. Transfer everything except files and directories starting with ".":

```
-N '*' -E 'AAA/**'
```

#### Results:

```
AAA/abc/def
AAA/abc/wxy/def
AAA/abc/wxy/tuv/def
AAA/abc/xyz/def/wxy
AAA/wxyfile
AAA/wxy/xyx/
AAA/wxy/xyxfile
< AAA/abc/.def
< AAA/abc/.def
< AAA/abc/.wxy/def
< AAA/abc/.wxy/.def
```

2. Exclude directories and files whose names start with wxy:

```
-E 'wxy*'
```

# Results:

```
AAA/abc/def
AAA/abc/.wxy/def
AAA/abc/xyz/def/
< AAA/abc/wxy/def
< AAA/abc/wxy/.def
< AAA/abc/wxy/.def
< AAA/abc/wxy/tuv/def
< AAA/abc/wxy/tuv/def
< AAA/abc/xyz/def/wxy
< AAA/wxyfile
< AAA/wxy/xyx/
< AAA/wxy/xyx/
```

3. Include directories and files that start with "wxy" if they fall directly under AAA:

```
-N 'wxy*' -E 'AAA/**'
```

### Results:

```
AAA/wxy/
AAA/wxyfile
< AAA/abc/def
< AAA/abc..def
< AAA/abc/.wxy/def
< AAA/abc/wxy/def
< AAA/abc/wxy/.def
< AAA/abc/wxy/tuv/def
< AAA/abc/wxy/tuv/def
< AAA/abc/xyz/def/wxy
```

```
< AAA/wxy/xyx/
< AAA/wxy/xyxfile
```

4. Include directories and files at any level that start with wxy, but do not include dot-files, dot-directories, or any files under the wxy directories (unless they start with wxy). However, subdirectories under wxy will be included:

```
-N '*/wxy*' -E 'AAA/**'
```

#### Results:

```
AAA/abc/wxy/tuv/
AAA/abc/xyz/def/wxy
AAA/wxyfile
AAA/wxy/xyx/
< AAA/abc/def
< AAA/abc/.def
< AAA/abc/.wxy/def
< AAA/abc/wxy/def
< AAA/abc/wxy/def
< AAA/abc/wxy/tuv/def
< AAA/abc/wxy/tuv/def
< AAA/abc/wxy/tuv/def
< AAA/wxy/xyxfile
```

- \* Even though wxy is included, def is excluded because it's a file.
- 5. Include wxy directories and files at any level, even those starting with ".":

```
-N '*/wxy*' -N '*/wxy/**' -E 'AAA/**'
```

#### Results:

```
AAA/abc/wxy/def
AAA/abc/wxy/.def
AAA/abc/wxy/tuv/def
AAA/abc/xyz/def/wxy
AAA/wxyfile
AAA/wxy/xyx/
AAA/wxy/xyxfile
< AAA/abc/def
< AAA/abc/def
< AAA/abc/.def
< AAA/abc/.wxy/def
```

6. Exclude directories and files starting with wxy, but only those found at a specific location in the tree:

```
-E '/AAA/abc/wxy*'
```

#### Results:

```
AAA/abc/def
AAA/abc/.wxy/def
AAA/abc/.wxy/def
AAA/abc/xyz/def/wxy
AAA/wxyfile
AAA/wxy/xyx/
AAA/wxy/xyxfile
< AAA/abc/wxy/def
< AAA/abc/wxy/def
< AAA/abc/wxy/tuv/def
```

7. Include the wxy directory at a specific location, and include all its subdirectories and files, including those starting with "•":

```
-N 'AAA/abc/wxy/**' -E 'AAA/**'
```

#### Results:

```
AAA/abc/wxy/def
AAA/abc/wxy/.def
AAA/abc/wxy/tuv/def
< AAA/abc/def
< AAA/abc/def
< AAA/abc/.def
< AAA/abc/.wxy/def
```

- < AAA/abc/xyz/def/wxy
- < AAA/wxyfile
- < AAA/wxy/xyx/ < AAA/wxy/xyxfile

# Symbolic Link Handling

When transferring files using FASP (ascp, ascp4, or async), you can configure how the server and client handle symbolic links.

Note: Symbolic links are not supported on Windows. Server settings are ignored on Windows servers. If the transfer destination is a Windows computer, the only supported option that the client can use is **skip**.

# Symbolic Link Handling Options and their Behavior

- Follow: Follow a symbolic link and transfer the contents of the linked file or directory as long as the link target is in the user's docroot.
- Follow wide (Server only): For downloads, follow a symbolic link and transfer the contents of the linked file or directory even if the link target is outside of the user's docroot. Use caution with this setting because it might allow transfer users to access sensitive files on the server.
- Create (Server only): If the client requests to copy symbolic links in an upload, create the symbolic links on the server.
- None (Server only): Prohibit clients from creating symbolic links on the server; with this setting clients can only request to follow or skip symbolic links.
- Copy (Client only): Copy only the symbolic link. If a file with the same name exists at the destination, the symbolic link does not replace the file.
- Copy+force (Client only): Copy only the symbolic link. If a file with the same name exists at the destination, the symbolic link replaces the file. If the file of the same name at the destination is a symbolic link to a directory, it is not replaced.

**Note:** Ascp4 and Sync do not support the copy+force option.

• **Skip** (Client only): Skip symbolic links. Neither the link nor the file to which it points are transferred.

Symbolic link handling depends on the server configuration, the client handling request, and the direction of transfer, as described in the following tables. Multiple values can be set on the server as a commadelimited list, such as the default "follow,create". In this case, the options are logically ORed based on the client's handling request.

#### Send from Client to Server (Upload)

	Server setting = create, follow (default)	Server setting = create	Server setting = follow	Server setting = follow_wide	Server setting = none
Client setting = follow	Follow	Follow	Follow	Follow	Follow
(default for ascp and ascp4)					
Client setting = copy	Сору	Сору	Skip	Skip	Skip
(default for async)					
Client setting = copy+force	Copy and replace any existing files.	Copy and replace any existing files.	Skip	Skip	Skip
Client setting = skip	Skip	Skip	Skip	Skip	Skip

**Receive to Client from Server (Download)** 

	Server setting = create, follow (default)	Server setting = create	Server setting = follow	Server setting = follow_wide	Server setting = none
Client setting = follow (default for ascp and ascp4)	Follow	Skip	Follow	Follow even if the target is outside the user's docroot.	Skip
Client setting = copy (default for async)	Сору	Сору	Сору	Сору	Сору
Client setting = copy+force	Copy and replace any existing files.	Copy and replace any existing files.	Copy and replace any existing files.	Copy and replace any existing files.	Copy and replace any existing files.
Client setting = skip	Skip	Skip	Skip	Skip	Skip

# **Server and Client Configuration**

### **Server Configuration**

To set symbolic link handling globally or per user, run the appropriate command:

```
# asconfigurator -x "set_node_data;symbolic_links,value"
# asconfigurator -x "set_user_data;user_name,username;symbolic_links,value"
```

For more information, see .

# **Client Configuration**

To specify symbolic link handling on the command line (with **ascp**, **ascp4**, or **async**), use --symbolic-links=option.

# **Creating SSH Keys**

Public key authentication (SSH Key) is a more secure alternative to password authentication that allows users to avoid entering or storing a password, or sending it over the network. Public key authentication uses the client computer to generate the key-pair (a public key and a private key). The public key is then provided to the remote computer's administrator to be installed on that machine.

1. Create a . ssh directory in your home directory if it does not already exist:

```
$ mkdir /home/username/.ssh
```

Go to the .ssh folder:

```
$ cd /home/username/.ssh
```

2. Generate an SSH key-pair.

In the .ssh folder, use the ssh-keygen command to create a key pair.

```
# ssh-keygen -m key_format -t key_type
```

- For *key\_format*, specify a format that is supported by the SSH server.
- For key\_type, specify either RSA (rsa) or ECDSA (ecdsa).

At the prompt that appears for the key-pair's filename, press ENTER to use the default name id\_rsa or id\_ecdsa, or enter a different name, such as your username. For a passphrase, either enter a password, or press return twice to leave it blank.

**Note:** When you run **ascp** in FIPS mode (<fips\_enabled> is set to true in aspera.conf), and you use passphrase-protected SSH keys, you must either (1) use keys generated by running **ssh-keygen** in a FIPS-enabled system, or (2) convert existing keys to a FIPS-compatible format using a command such as the following:

```
# openssl pkhsts8 -topk8 -v2 aes128 -in id_rsa -out new-id_rsa
```

3. As the root user, make sure that the SSH key is owned by the transfer user and that proper restrictive permissions are set. SSH keys must only be readable by the key owner.

Use the following command syntax, where *username* is the transfer user name and *id\_rsa* is the key-pair's filename.

```
chown username /home/username/.ssh/id_rsa
chmod 600 /home/username/.ssh/id_rsa
```

4. Retrieve the public key file.

The key-pair is generated to your home directory's .ssh folder. For example, assuming you generated the key with the default name id\_rsa:

```
/home/username/.ssh/id_rsa.pub
```

Provide the public key file (for example, id\_rsa.pub) to your server administrator so that it can be set up for your server connection.

5. Start a transfer using public key authentication with the **ascp** command.

To transfer files using public key authentication on the command line, use the option **-i** private\_key\_file. For example:

```
$ ascp -T -l 10M -m 1M -i ~/.ssh/id_rsa myfile.txt jane@10.0.0.2:/space
```

In this example, you are connecting to the server (10.0.0.2, directory /space) with the user account jane and the private key ~/.ssh/id\_rsa.

# **Reporting Checksums**

File checksums are useful for trouble-shooting file corruption, allowing you to determine at what point in the transfer file corruption occurred. Aspera servers can report source file checksums that are calculated on-the-fly during transfer and then sent from the source to the destination.

To support checksum reporting, the transfer must meet both of the following requirements:

- Both the server and client computers must be running HSTS or HSTE.
- The transfer must be encrypted. Encryption is enabled by default.

The user on the destination can calculate a checksum for the received file and compare it (manually or programmatically) to the checksum reported by the sender. The checksum reported by the source can be retrieved in the destination logs, a manifest file, in IBM Aspera Console, or as an environment variable. Instructions for comparing checksums follow the instructions for enabling checksum reporting.

Checksum reporting is disabled by default. Enable and configure checksum reporting on the server by using the following methods:

- Edit aspera.conf with asconfigurator.
- Set **ascp** command-line options (per-transfer configuration).

Command-line options override the settings in aspera.conf.

**Important:** When checksum reporting is enabled, transfers of very large files (>TB) take a long time to resume because the entire file must be reread.

# **Overview of Checksum Configuration Options**

asconfigurator Option	Description
ascp Option	
file_checksum	Enable checksum reporting and specify the type of checksum to calculate for transferred files.
file-checksum=type	any - Allow the checksum format to be whichever format the client requests. (Default in aspera.conf) md5 - Calculate and report an MD5 checksum. sha1 - Calculate and report a SHA-1 checksum. sha256 - Calculate and report a SHA-256 checksum. sha384 - Calculate and report a SHA-384 checksum. sha512 - Calculate and report a SHA-512 checksum.
	<b>Note:</b> The default value for the <b>ascp</b> option is none, in which case the reported checksum is the one configured on the server, if any.
file_manifestfile_manifest=output	The file manifest is a file that contains a list of content that was transferred in a transfer session. The file name of the file manifest is automatically generated from the transfer session ID.
	When set to none, no file manifest is created. (Default)
	When set to text, a text file is generated that lists all files in each transfer session.
file_manifest_pathfile_manifest_path=path	The location where manifest files are written. The location can be an absolute path or a path relative to the transfer user's home directory. If no path is specified (default), the file is generated under the destination path at the receiver, and under the first source path at the sender.
	<b>Note:</b> File manifests can be stored only locally. Thus, if you are using S3 or other non-local storage, you must specify a local manifest path.

# Enabling checksum reporting by editing aspera.conf

To enable checksum reporting, run the following command:

```
# asconfigurator -x "set_node_data;file_checksum,checksum"
```

To enable and configure the file manifest where checksum report data is stored, run the following commands:

```
# asconfigurator -x "set_node_data;file_manifest,text"
# asconfigurator -x "set_node_data;file_manifest_path,filepath"
```

These commands create lines in aspera.conf as shown in the following example, where checksum type is **md5**, file manifest is enabled, and the path is /tmp.

```
<file_system>
...
<file_checksum>md5</file_checksum>
<file_manifest>text</file_manifest>
<file_manifest_path>/tmp</file_manifest_path>
```

# **Enabling checksum reporting in an ascp session**

To enable checksum reporting on a per-transfer-session basis, run **ascp** with the **--file-checksum**=*hash* option, where *hash* is sha1, md5, sha-512, sha-384, sha-256, or none (the default).

Enable the manifest with **--file-manifest**=output where output is either text or none. Set the path to the manifest file with **--file-manifest-path**=path.

For example:

```
# ascp --file-checksum=md5 --file-manifest=text --file-manifest-path=/tmp file
aspera_user_1@189.0.202.39:/destination_path
```

# **Setting up a Processing Script**

An alternative to enabling and configuring the file manifest to collect checksum reporting is to set up a script to report the values. See Automated Execution of Lua Scripts with Transfer Events.

# **Comparing Checksums**

If you open a file that you downloaded with Aspera and find that it is corrupted, you can determine when the corruption occurred by comparing the checksum that is reported by Aspera to the checksums of the files on the destination and on the source.

- 1. Retrieve the checksum that was calculated by Aspera as the file was transferred.
  - If you specified a file manifest and file manifest path as part of an **ascp** transfer or Lua transfer event script, the checksums are in that file in the specified location.
  - If you specified a file manifest and file manifest path in the GUI or aspera.conf, the checksums are in a file that is named aspera-transfer-transfer\_id-manifest.txt in the specified location.
- 2. Calculate the checksum of the corrupted file. This example uses the MD5 checksum method; replace MD5 with the appropriate checksum method if you use a different one.

```
# csum -h MD5 filepath
```

- 3. Compare the checksum reported by Aspera with the checksum that you calculated for the corrupted file.
  - If they do not match, then corruption occurred as the file was written to the destination. Download the file again and confirm that it is not corrupted. If it is corrupted, compare the checksums again. If they do not match, investigate the write process or attempt another download. If they match, continue to the next step.
  - If they match, then corruption might have occurred as the file was read from the source. Continue to the next step.
- 4. Calculate the checksums for the file on the source. These examples use the MD5 checksum method; replace MD5 with the appropriate checksum method if you use a different one.

Windows:

```
> CertUtil -hashfile filepath MD5
```

Mac OS X:

```
$ md5 filepath
```

Linux and Linux on z Systems:

```
# md5sum filepath
```

```
# csum -h MD5 filepath
```

Solaris:

```
# digest -a md5 -v filepath
```

- 5. Compare the checksum of the file on the source with the one reported by Aspera.
  - If they do not match, then corruption occurred when the file was read from the source. Download the file again and confirm that it is not corrupted on the destination. If it is corrupted, continue to the next step.
  - If they match, confirm that the source file is not corrupted. If the source file is corrupted, replace it with an uncorrupted one, if possible, and then download the file again.

# **Client-Side Encryption-at-Rest (EAR)**

Aspera clients can set their transfers to encrypt content that they upload to a server while it is in transit and stored on the server, a process known as client-side encryption-at-rest (EAR). The client specifies an encryption password and the files are uploaded to the server with a .aspera-env extension. Anyone downloading these .aspera-env files must have the password to decrypt them, and decryption can occur as the files are downloaded or later once they are physically moved to a computer with no network connection.

# **Implementation Notes:**

- Client-side and server-side EAR can be used simultaneously, in which case files are doubly encrypted on the server.
- Servers can require client-side encryption. In this case, transfers that do not use client-side EAR fail with the error message, "Error: Server aborted session: Server requires content protection."
- Client-side encryption-at-rest is supported only for ascp transfers, and is not supported for ascp4 or async transfers.

### **Using Client-Side EAR**

Client-side EAR can be set in the **ascp** command line.

First, set the encryption and decryption password as the environment variable ASPERA\_SCP\_FILEPASS:

```
# export ASPERA_SCP_FILEPASS=password
```

For uploads (--mode=send), use --file-crypt=encrypt. For downloads (--mode=recv), use --file-crypt=decrypt.

```
 \begin{tabular}{ll} \# ascp --mode=send --file-crypt=encrypt source\_file user@host:/remote\_destination \\ \# ascp --mode=recv --file-crypt=decrypt user@host:/source\_path/file.aspera-env local\_destination \\ \end{tabular}
```

For more command line examples, see "Ascp General Examples" on page 42.

**Note:** When a transfer to HSTS falls back to HTTP or HTTPS, client-side EAR is no longer supported. If HTTP fallback occurs while uploading, then the files are NOT encrypted. If HTTP fallback occurs while downloading, then the files remain encrypted.

# **Encrypting and Decrypting Files Outside of a Transfer**

For particularly sensitive content, do not store unecrypted content on any computer with network access. Use an external drive to physically move encrypted files between computers. Desktop Client include the **asprotect** and **asunprotect** command-line tools that can be used to encrypt and decrypt files.

• To encrypt a file before moving it to a computer with network access, run the following command:

- # export ASPERA\_SCP\_FILEPASS=password;/opt/aspera/bin/asprotect -o file1.aspera-env file1
- To download client-side-encrypted files without decrypting them immediately, run the transfer without decryption enabled (do not specify --file-crypt=decrypt on the **ascp** command line).
- To decrypt encrypted files once they are on a computer with no network access, run the following command:
  - # export ASPERA\_SCP\_FILEPASS=password;/opt/aspera/bin/asunprotect -o file1 file1.aspera-env

# **Comparison of Ascp and Ascp4 Options**

Many command-line options are the same for Ascp and Ascp4; however, some options are available for only one or the behavior of an option is different. The following table lists the options that are available only for Ascp or Ascp4, and the options that are available with both. If the option behavior is different, the Ascp option has \*\* added to the end and the difference is described following the table.

Ascp	Ascp4
-6	
-@[range_low:range_high]	
-A,version	-A,version
apply-local-docroot	
-C nodeid:nodecount	
-c cipher	-c cipher
check-sshfp=fingerprint	
	chunk-size=bytes
	compare= <i>method</i>
	compression= <i>method</i>
	compression-hint=num
-D   -DD   -DDD	
-d	
	delete-before
delete-before-transfer**	delete-before-transfer**
dest64	dest64
-E pattern	-E pattern
-e prepost_filepath	
	exclude-newer-than= <i>mtime</i>
	exclude-older-than= <i>mtime</i>
-f config_file	-f config_file
	faspmgr-io
file-checksum=hash	
file-list=filepath**	file-list=filepath**
file-pair-list=filepath	

Ascp	Ascp4
-G write_size	
-g read_size	
-h,help	-h,help
-i private_key_file_path**	-i private_key_file_path
-K probe_rate	
-k {0 1 2 3}	-k {0 1 2 3}
keepalive	keepalive
-1 max_rate	-1 max_rate
-L local_log_dir[:size]	-L local_log_dir[:size]
-m min_rate	-m min_rate
	memory=bytes
	meta-threads=num
mode={send recv}	mode={send recv}
move-after-transfer= <i>archivedir</i>	
multi-session-threshold=threshold	
-N pattern	-N pattern
	no-open
	no-read
	no-write
-0 fasp_port	-0 fasp_port
overwrite=method**	overwrite=method**
-P ssh-port	-P ssh-port
-p	-p
partial-file-suffix=suffix	partial-file-suffix=suffix
policy={fixed high fair low}	policy={fixed high fair low}
precalculate-job-size	
preserve-access-time	
preserve-acls=mode	
preserve-creation-time	
preserve-file-owner-gid	preserve-file-owner-gid
preserve-file-owner-uid	preserve-file-owner-uid
preserve-modification-time	
preserve-source-access-time	
preserve-xattrs=mode	
proxy=proxy_url	

Ascp	Ascp4		
-q	-q		
-R remote_log_dir	-R remote_log_dir		
	read-threads=num		
	remote-memory=bytes		
remote-preserve-acls=mode			
remote-preserve-xattrs=mode			
remove-after-transfer			
remove-empty-source-directory			
	resume (similar to <b>-k</b> )		
retry-timeout=secs			
-S remote_ascp			
save-before-overwrite			
	scan-threads=num		
source-prefix=prefix			
source-prefix64=prefix			
	sparse-file		
src-base= <i>prefix</i>	src-base= <i>prefix</i>		
symbolic-links=method**	symbolic-links= <i>method</i> **		
-T	-т		
-u user_string	-u user_string		
user=username	user=username		
-v			
-W token_string   @token_filepath			
-w{r f}			
-X rexmsg_size	-X rexmsg_size		
-Z dgram_size	-Z dgram_size		

# **Differences in Option Behavior**

#### --delete-before-transfer

With **ascp4**, **--delete-before-transfer** can be used with URI storage. URI storage is not supported for this option in **ascp**.

#### --file-list

ascp automatically applies -d if the destination folder does not exist. With ascp4, you must specify
-d, otherwise all the files in the file list are written to a single file.

# -i (SSH key authentication)

With **ascp**, the argument for **-i** can be just the file name of the private key file and **ascp** automatically looks in the .ssh directory of the user's home directory. With **ascp4**, the full or relative path to the private key file must be specified.

#### --overwrite=method

The default overwrite method is "diff" for ascp and "always" for ascp4.

# --symbolic-links

Both ascp and ascp4 support follow, copy, and skip, but only ascp supports copy+force.

# Ascp FAQs

Answers to some common questions about controlling transfer behavior, such as bandwidth usage, resuming files, and overwriting files.

#### 1. How do I control the transfer speed?

You can specify a transfer policy that determines how a FASP transfer utilizes the network resource, and you can specify target and minimum transfer rates where applicable. In an **ascp** command, use the following flags to specify transfer policies that are fixed, fair, high, or low:

Policy	Command template		
Fixed	policy=fixed -l target_rate		
Fair	policy=fair -l target_rate -m min_rate		
High	policy=high -l target_rate -m min_rate		
Low	policy=low -l target_rate -m min_rate		

The policies have the following characteristics:

- high Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, the transfer rate is twice as fast as a fair-policy transfer. The high policy requires maximum (target) and minimum transfer rates.
- fair Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, bandwidth is shared fairly by transferring at an even rate. The fair policy requires maximum (target) and minimum transfer rates.
- low Adjust the transfer rate to use the available bandwidth up to the maximum rate. Similar to fair mode, but less aggressive when sharing bandwidth with other network traffic. When congestion occurs, the transfer rate is reduced to the minimum rate until other traffic decreases.
- fixed Attempt to transfer at the specified target rate, regardless of network or storage capacity. This can decrease transfer performance and cause problems on the target storage. Aspera discourages using the fixed policy except in specific contexts, such as bandwidth testing. The fixed policy requires a maximum (target) rate.
- aggressiveness The aggressiveness of transfers that are authorized by this access key in claiming available bandwidth. Value can be 0.00-1.00. For example: These values correspond to the policy option where a policy of high approximates to aggressiveness of 0.75, fair to 0.50 and low to 0.25. Aggressiveness can be used if there is a need to fine tune the transfer policy.

#### 2. What transfer speed should I expect? How do I know if something is "wrong" with the speed?

Aspera's FASP transport has no theoretical throughput limit. Other than the network capacity, the transfer speed may be limited by rate settings and resources of the computers. To verify that your system's FASP transfer can fulfill the maximum bandwidth capacity, prepare a client computer to connect to a server, and test the maximum bandwidth.

**Note:** This test typically occupies most of a network's bandwidth. Aspera recommends this test be performed on a dedicated file transfer line or during a time of low network activity.

On the client computer, start a transfer with fixed bandwidth policy. Start with a lower transfer rate and gradually increase the transfer rate toward the network bandwidth (for example, 1 MB, 5 MB, 10

MB, and so on). Monitor the transfer rate; at its maximum, it should be slightly below your available bandwidth:

\$ ascp -l 1m source-file destination

To improve the transfer speed, also consider upgrading the following hardware components:

Component	Description
Hard disk	The I/O throughput, the disk bus architecture (such as RAID, IDE, SCSI, ATA, and Fiber Channel).
Network I/O	The interface card, the internal bus of the computer.
CPU	Overall CPU performance affects the transfer, especially when encryption is enabled.

# 3. How do I ensure that if the transfer is interrupted or fails to finish, it will resume without retransferring the files?

Use the **-k** flag to enable resume, and specify a resume rule:

- -k 0 Always re-transfer the entire file.
- -k 1 Compare file attributes and resume if they match, and re-transfer if they do not.
- -k 2 Compare file attributes and the sparse file checksums; resume if they match, and retransfer if they do not.
- -k 3 Compare file attributes and the full file checksums; resume if they match, and re-transfer if they do not.

Corruption or deletion of the .asp-meta file associated with an incomplete transfer will often result in a permanently unusable destination file even if the file transfer resumed and successfully transferred.

#### 4. How does Aspera handle symbolic links?

The **ascp** command follows symbolic links by default. This can be changed using --symbolic-links=method with the following options:

- follow Follow symbolic links and transfer the linked files. (Default)
- copy Copy only the alias file. If a file with the same name is found at the destination, the symbolic link is not copied.
- copy+force Copy only the alias file. If a file (not a directory) with the same name is found at the destination, the alias replaces the file. If the destination is a symbolic link to a directory, it's not replaced.
- skip Skip symbolic links. Do not copy the link or the file it points to.

Important: On Windows, the only option is skip.

Symbolic link handling also depends on the server configuration and the transfer direction. For more information, see "Symbolic Link Handling" on page 55.

#### 5. What are my choices for overwriting files on the destination computer?

In **ascp**, you can specify the --overwrite=method rule with the following method options:

- never Never overwrite the file. However, if the parent folder is not empty, its access, modify, and change times may still be updated.
- always Always overwrite the file.
- diff Overwrite the file if different from the source. If a complete file at the destination is the same as a file on the source, it is not overwritten. Partial files are overwritten or resumed depending on the resume policy.
- diff+older Overwrite the file if older and also different than the source. For example, if the
  destination file is the same as the source, but with a different timestamp, it will not be overwritten.
  Plus, if the destination file is different than the source, but newer, it will not be overwritten.
- older Overwrite the file if its timestamp is older than the source timestamp.

Interaction with resume policy (-k): If the overwrite method is diff or diff+older, difference is determined by the resume policy (-k {0|1|2|3}). If -k 0 or no -k is specified, the source and destination files are always considered different and the destination file is always overwritten. If -k 1, the source and destination files are compared based on file attributes (currently file size). If -k 2, the source and destination files are compared based on sparse checksums. If -k 3, the source and destination files are compared based on full checksums.

# ascp4: Transferring from the Command Line

Ascp4 is a FASP transfer binary similar to Ascp but it has different strengths as well as capabilities that are unavailable with Ascp.

# **Introduction to Ascp4**

Ascp4 is a FASP transfer binary that is optimized for sending extremely large sets of individual files. The executable, **ascp4**, is similar to **ascp** and shares many of the same options and capabilities, in addition to data streaming capabilities.

Both Ascp4 and Ascp are automatically installed with IBM Aspera High-Speed Transfer Server, IBM Aspera High-Speed Transfer Endpoint, and IBM Aspera Desktop Client.

# **Ascp4 Command Reference**

Supported environment variables, the general syntax, and command options for **ascp4** are described in the following sections. **ascp4** exits with a 0 on success or a 1 on error. The error code is logged in the **ascp4** log file.

# ascp4 Syntax

```
        ascp4 options [[user@]srcHost:]source_file1[,source_file2,...] [[user@]destHost:]dest_path
```

#### User

The username of the Aspera transfer user can be specified as part of the as part of the source or destination, whichever is the remote server or with the --user option. If you do not specify a username for the transfer, the local username is authenticated by default.

**Note:** If you are authenticating on a Windows machine as a domain user, the transfer server strips the domain from the username. For example, Administrator is authenticated rather than DOMAIN\Administrator. Thus, you must specify the domain explicitly.

#### **Source and destination paths**

- If there are multiple source arguments, then the target path must be a directory.
- To describe filepaths, use single quotes (' ') and forward slashes (/) on all platforms.
- To transfer to the transfer user's docroot, specify "." as the destination.
- Avoid the following characters in filenames: / \ " : '? > < & \* |.
- If the destination is a symbolic link, then the file is written to the target of the symbolic link. However, if the symbolic link path is a relative path to a file (not a directory) and a partial file name suffix is configured on the receiver, then the destination path is relative to the user's home directory. Files within directories that are sent to symbolic links that use relative paths are not affected.

**URI paths:** URI paths are supported, but only with the following restrictions:

- If the source paths are URIs, they must all be in the same cloud storage account. No docroot (download), local docroot (upload), or source prefix can be specified.
- If a destination path is a URI, no docroot (upload) or local docroot (download) can be specified.
- The special schemes stdio:// and stdio-tar:// are supported only on the client side. They cannot be used as an upload destination or download source.

• If required, specify the URI passphrase as part of the URI or set it as an environment variable (ASPERA\_SRC\_PASS or ASPERA\_DST\_PASS, depending on the direction of transfer).

**UNC paths:** If the server is Windows and the path on the server is a UNC path (a path that points to a shared directory or file on Windows operating systems) then it can be specified in an **ascp4** command using one of the following conventions:

1. UNC path that uses backslashes (\)

If the client side is a Windows machine, the UNC path can be used with no alteration. For example, \\192.168.0.10\temp. If the client is not a Windows machine, every backslash in the UNC path must be replaced with two backslashes. For example, \\\192.168.0.10\\temp.

2. UNC path that uses forward slashes (/)

Replace each backslash in the UNC path with a forward slash. For example, if the UNC path is \\192.168.0.10\temp, change it to //192.168.0.10/temp. This format can be used with any client-side operating system.

# **Required File Access and Permissions**

- Sources (for downloads) or destinations (for uploads) on the server must be in the transfer user's docroot or match one of the transfer user's file restrictions, otherwise the transfer stops and returns an error.
- The transfer user must have sufficient permissions to the sources or destinations, for example write access for the destination directory, otherwise the transfer stops and returns a permissions error.
- The transfer user must have authorization to do the transfer (upload or download), otherwise the transfer stops and returns a "management authorization refused" error.
- Files that are open for write by another process on a Windows source or destination cannot be transferred and return a "sharing violation" error. On Unix-like operating systems, files that are open for write by another process are transferred without reporting an error, but may produce unexpected results depending on what data in the file is changed and when relative to the transfer.

### **Environment Variables**

If needed, you can set the following environment variables for use with an **ascp4** session. The total size for environment variables depends on your operating system and transfer session. Aspera recommends that each environment variable value should not exceed 4096 characters.

### ASPERA\_SCP\_PASS=password

The password that is used for SSH authentication of the transfer user.

#### ASPERA\_SCP\_TOKEN=token

Set the transfer user authorization token. Ascp4 currently supports transfer tokens, which must be created by using **astokengen** with the **--full-paths** option. For more information, see "Transfer Token Generation (astokengen)" in the IBM Aspera High-Speed

#### ASPERA\_SCP\_COOKIE=cookie

A cookie string that is passed to monitoring services.

#### ASPERA\_SRC\_PASS=password

The password that is used to authenticate to a URI source.

#### ASPERA\_DST\_PASS=password

Set the password that is used to authenticate to a URI destination.

#### ASPERA\_LOCAL\_TOKEN=token

A token that authenticates the user to the client (in place of SSH authentication).

**Note:** If the local token is a basic or bearer token, the access key settings for cipher and preserve\_time are not respected and the server settings are used. To set the cipher and timestamp preservation options as a client, set them in the command line.

# **Ascp4 Options**

#### -A, --version

Display version and license information.

### -c {aes128|aes192|aes256|none}

Encrypt in-transit file data using the specified cipher. This option overrides the <encryption\_cipher> setting in aspera.conf.

#### --check-sshfp=fingerprint

Compare fingerprint to the server SSH host key fingerprint that is set with <ssh\_host\_key\_fingerprint> in aspera.conf. Aspera fingerprint convention is to use a hex string without the colons; for example, f74e5de9ed0d62feaf0616ed1e851133c42a0082. For more information on SSH host key fingerprints, see the Admin Guide: Securing your SSH Server.

#### --chunk-size=bytes

Perform storage read/write operations with the specified buffer size. Also use the buffer size as an internal transmission and compression block. Valid range: 4 KB - 128 MB. For transfers with object storage, use --chunk-size=1048576 if chunk size is not configured on the server to ensure that the chunk size of **ascp4** and Trapd match.

### --compare={size|size+mtime|md5|md5-sparse|sha1|sha1-sparse}method

When using --overwrite and --resume, compare files with the specified method. If the --overwrite method is diff or diff+older, the default --compare method is size.

### --compression={none|zlib|lz4}

Compress file data inline. Default: lz4. If set to zlib, --compression-hint can be used to set the compression level.

#### --compression-hint=*num*

Compress file data to the specified level when --compression is set to an option that accepts compression level settings (currently only zlib). A lower value results in less, but faster, data compression (0 = no compression). A higher value results in greater, slower compression. Valid values are -1 to 9, where -1 is "balanced". Default: -1.

#### -D | -DD | -DDD

Log at the specified debug level. With each **D**, an additional level of debugging information is written to the log. This option is not supported if the transfer user is restricted to aspshell.

#### --delete-before, --delete-before-transfer

Before transfer, delete files that exist at the destination but not at the source. The source and destination arguments must be directories that have matching names. Objects on the destination that have the same name but different type or size as objects on the source are not deleted. Do not use with multiple sources or --keepalive.

#### --dest64

Indicate that the destination path or URI is base64 encoded.

#### -E pattern

Exclude files or directories from the transfer based on the specified pattern. Use the -N option (include) to specify exceptions to -E rules. Rules are applied in the order in which they are encountered, from left to right. The following symbols can be used in the pattern:

- \* (asterisk) represents zero or more characters in a string, for example \*.tmp matches .tmp and abcde.tmp.
- ? (question mark) represents a single character, for example t?p matches tmp but not temp.

**Note:** When filtering rules are found in aspera.conf, they are applied *before* rules given on the command line (-E and -N).

#### --exclude-newer-than=mtime

#### --exclude-older-than=mtime

Exclude files (but not directories) from the transfer based on when the file was last changed. Positive *mtime* values are used to express time, in seconds, since the original system time (usually 1970-01-01 00:00:00). Negative *mtime* values (prefixed with "-") are used to express the number of seconds prior to the current time.

#### -f config\_file

Read Aspera configuration settings from config\_file rather than aspera.conf(the default).

#### --faspmgr-io

Run **ascp4** in API mode using FASP manager I/O. **ascp4** reads FASPMGR4 commands from management and executes them. The FASPMGR4 commands are PUT/WRITE/STOP to open/write/close on a file on the server.

### --file-crypt={encrypt|decrypt}

Encrypt files (when sending) or decrypt files (when receiving) for client-side encryption-at-rest (EAR). Encrypted files have the file extension .aspera-env. This option requires the encryption/decryption passphrase to be set with the environment variable ASPERA\_SCP\_FILEPASS. If a client-side encrypted file is downloaded with an incorrect password, the download is successful, but the file remains encrypted and still has the file extension .aspera-env. For more information, see "Client-Side Encryption-at-Rest (EAR)" on page 60.

#### --file-list=filepath

Transfer the files and directories that are listed in *filepath*. Only the files and directories are transferred; path information is not preserved at the destination. Each source must be specified on a separate line, for example:

```
src
src2
...
srcN
```

To read a file list from standard input, use "-" in place of *filepath* (as **ascp4 --file-list=- ...)**. UTF-8 file format is supported. Use with -d if the destination folder does not exist.

#### **Restrictions:**

- Paths in file lists cannot use *user@host:filepath* syntax. You must use --user with --file-list.
- Only one --file-list option is allowed per ascp4 session. If multiple file lists are specified, all but the last are ignored.
- Only files and directories from the file list are transferred, and any additional source files or directories specified on the command line are ignored.
- If more than one read thread is specified (default is 2) for a transfer that uses --file-list, the files in the file list must be unique. Duplicates can produce unexpected results on the destination.
- Because multiple sources are being transferred, the destination must be a directory.
- If the source paths are URIs, the size of the file list cannot exceed 24 KB.

For very large file lists (~100 MB+), use with --memory to increase available buffer space.

#### --file-manifest={none|text}

Generate a list of all transferred files when set to text. Requires **--file-manifest-path** to specify the location of the list. (Default: none)

#### --file-manifest-path=directory

Save the file manifest to the specified location when using **--file-manifest=text**. File manifests must be stored locally. For cloud or other non-local storage, specify a *local* manifest path.

#### --file-manifest-inprogress-suffix=suffix

Apply the specified suffix to the file manifest's temporary file. For use with **--file-manifest=text**. (Default suffix: .aspera-inprogress)

### -h, --help

Display the usage summary.

### --host=host

Transfer to the specified host name or address. Requires --mode. This option can be used instead of specifying the host as part of the filename (as *hostname:filepath*).

#### -i private\_key\_file

Authenticate the transfer using public key authentication with the specified SSH private key file (specified with a full or relative path). The private key file is typically in the directory \$HOME/.ssh/. If multiple -i options are specified, only the last one is used.

#### -k {0|1|2|3}

Enable the resumption of partially transferred files at the specified resume level. Default: 0. This option must be specified for your first transfer or it does not work for subsequent transfers. Resume levels:

- -k 0: Always re-transfer the entire file (same as --overwrite=always).
- -k 1: Compare file modification time and size and resume if they match (same as -- overwrite=diff --compare=size --resume).
- -k 2: Compare sparse checksum and resume if they match (same as --overwrite=diff -- compare=md5-sparse --resume).
- -k 3: Compare full checksum and resume if they match (same as --overwrite=diff -- compare=md5 --resume).

#### --keepalive

Enable **ascp4** to run in persistent mode. This option enables a persistent session that does not require that source content and its destination are specified at execution. Instead, the persistent session reads source and destination paths through mgmt commands. Requires --mode and --host.

### -L local\_log\_dir[:size]

Log to the specified directory on the client machine rather than the default directory. Optionally, set the size of the log file (default 10 MB).

#### -1 max rate

Transfer at rates up to the specified target rate. Default: 10 Mbps. This option accepts suffixes "G/g" for Giga, "M/m" for Mega, "K/k" for Kilo, and "P/p/%" for percentage. Decimals are allowed. If this option is not set by the client, the server target rate is used. If a rate cap is set in the local or server aspera.conf, then the rate does not exceed the cap.

For streaming, the value should be equal to or greater than the bitrate of the video.

#### -m min rate

Attempt to transfer no slower than the specified minimum transfer rate. Default: 0. If this option is not set by the client, then the server's aspera.conf setting is used. If a rate cap is set in the local or server aspera.conf, then the rate does not exceed the cap.

#### --memory=bytes

Allow the local **ascp4** process to use no more than the specified memory. Default: 256 MB. See also --remote-memory.

#### --meta-threads=*num*

Use the specified number of directory "creation" threads (receiver only). Default: 2.

# --mode={send|recv}

Transfer in the specified direction: send or recv (receive). Requires --host.

#### -N pattern

Protect ("include") files or directories from exclusion by any **-E** (exclude) options that follow it. Files and directories are specified using *pattern*. Each option-plus-pattern is a *rule*. Rules are applied in the order (left to right) in which they're encountered. Thus, **-N** rules protect files only from **-E** rules that follow them. Create patterns using standard globbing wildcards and special characters such as the following:

- \* (asterisk) represents zero or more characters in a string, for example \*.tmp matches .tmp and abcde.tmp.
- ? (question mark) represents any single character, for example t?p matches tmp but not temp.

**Note:** Filtering rules can also be specified in aspera.conf. Rules found in aspera.conf are applied *before* any **-E** and **-N** rules specified on the command line.

#### --no-open

In test mode, do not actually open or write the contents of destination files.

#### --no-read

In test mode, do not read the contents of source files.

#### --no-write

In test mode, do not write the contents of destination files.

#### -0 fasp\_port

Use the specified UDP port for FASP transfers. Default: 33001.

#### --overwrite={always|never|diff|diff+older|older}

Overwrite files at the destination with source files of the same name based on the *method*. Default: always. Use with --compare and --resume. *method* can be the following:

- always Always overwrite the file.
- never Never overwrite the file. If the destination contains partial files that are older or the same as the source files and --resume is enabled, the partial files resume transfer. Partial files with checksums or sizes that differ from the source files are not overwritten.
- diff Overwrite the file if it is different from the source, depending on the compare method (default is size). If the destination is object storage, diff has the same effect as always.

If resume is not enabled, partial files are overwritten if they are different from the source, otherwise they are skipped. If resume is enabled, only partial files with different sizes or checksums from the source are overwritten; otherwise, files resume.

- diff+older Overwrite the file if it is older and different from the source, depending on the compare method (default is size). If resume is not enabled, partial files are overwritten if they are older and different from the source, otherwise they are skipped. If resume is enabled, only partial files that are different and older than the source are overwritten, otherwise they are resumed.
- older Overwrite the file if its timestamp is older than the source timestamp.

#### -P ssh-port

Use the specified TCP port to initiate the FASP session. (Default: 22)

-p

Preserve file timestamps for access and modification time. Equivalent to setting -preserve-modification-time, --preserve-access-time, and --preserve-creationtime. Timestamp support in object storage varies by provider; consult your object storage
documentation to determine which settings are supported.

On Windows, modification time may be affected when the system automatically adjusts for Daylight Savings Time (DST). For details, see the Microsoft KB article, <a href="http://support.microsoft.com/kb/129574">http://support.microsoft.com/kb/129574</a>.

#### --partial-file-suffix=suffix

Enable the use of partial files for files that are in transit, and set the suffix to add to names of partial files. (The suffix does not include a " . ", as for a file extension, unless explicitly specified as part of the suffix.) This option only takes effect when set on the receiver side. When the transfer is complete, the suffix is removed. (Default: suffix is null; use of partial files is disabled.)

#### --policy={fixed|high|fair|low}

Transfer according to the specified policy:

- fixed Attempt to transfer at the specified target rate, regardless of network capacity. Content is transferred at a constant rate and the transfer finishes in a guaranteed time. The fixed policy can consume most of the network's bandwidth and is not recommended for most types of file transfers. This option requires a maximum (target) rate value (-1).
- high Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, the transfer rate is twice as fast as transfer with a fair policy. This option requires maximum (target) and minimum transfer rates (-1 and -m).

- fair Adjust the transfer rate to fully utilize the available bandwidth up to the maximum rate. When congestion occurs, bandwidth is shared fairly by transferring at an even rate. This option requires maximum (target) and minimum transfer rates (-1 and -m).
- low Adjust the transfer rate to use the available bandwidth up to the maximum rate. Similar to fair mode, but less aggressive when sharing bandwidth with other network traffic. When congestion occurs, the transfer rate is reduced to the minimum rate until other traffic decreases.

If --policy is not set, ascp4 uses the server-side policy setting (fair by default).

#### --preserve-access-time

Preserve the file timestamps (currently the same as -p).

#### --preserve-creation-time

Preserve the file timestamps (currently the same as -p).

#### --preserve-file-owner-gid

#### --preserve-file-owner-uid

(Linux, UNIX, and macOS only) Preserve the group information (gid) or owner information (uid) of the transferred files. These options require that the transfer user is authenticated as a superuser.

#### --preserve-modification-time

Preserve the file timestamps (currently the same as -p).

# --preserve-source-access-time

Preserve the file timestamps (currently the same as -p).

-q

Run **ascp4** in quiet mode. This option disables the progress display.

#### -R remote log dir

Log to the specified directory on the remote host rather than the default directory. **Note:** Client users that are restricted to aspshell are not allowed to use this option.

#### --read-threads=num

Use the specified number of storage "read" threads (sender only). Default: 2. To set "write" threads on the receiver, use --write-threads.

**Note:** If more than one read thread is specified for a transfer that uses --file-list, the files in the file list must be unique. Duplicates can produce unexpected results on the destination.

#### --remote-memory=bytes

Allow the remote ascp4 process to use no more than the specified memory. Default: 256 MB.

#### --remove-empty-directories

Remove empty source directories once the transfer has completed successfully, but do not remove a directory specified as the source argument. To also remove the specified source directory, use -- remove-empty-source-directory. Directories can be emptied using --move-after-transfer or --remove-after-transfer. Scanning for empty directories starts at the srcbase and proceeds down any subdirectories. If no source base is specified and a file path (as opposed to a directory path) is specified, then only the immediate parent directory is scanned and removed if it's empty following the move of the source file. Note: Do not use this option if multiple processes (ascp4 or other) might access the source directory at the same time.

#### --resume

Resume a transfer rather than re-transferring the content if partial files are present at the destination and they do not differ from the source file based on the --compare method. If the source and destination files do not match, then the source file is re-transferred. See -k for another way to enable resume.

### --scan-threads=*num*

Use the specified number of directory "scan" threads (sender only). Default: 2.

#### -SSH

Use an external SSH program instead of the built-in libssh2 implementation to establish the connection with the remote host. The desired SSH program must be defined in the environment's PATH variable. To enable debugging of the SSH process, use the -DD and --ssh-arg=-vv options with **ascp4**.

#### --ssh-arg=ARG

Add *ARG* to the command-line arguments passed to the external SSH program (this implies using-SSH). This option may be repeated as needed to supply multiple separate SSH arguments. The order is preserved. The *ARG* elements are inserted before any key file(s) supplied to **ascp4**, and before the user/host argument.

#### --sparse-file

Enable **ascp4** to write sparse files to disk. This option prevents **ascp4** from writing zero content to disk for sparse files; **ascp4** writes a block to disk if even one bit is set in that block. If no bits are set in the block, **ascp4** does not write the block (**ascp4** blocks are 64 KB by default).

#### --src-base=*prefix*

Strip the specified prefix from each source path. The remaining portion of the source path is kept intact at the destination. Available only in send mode.

**Use with URIs**: The **--src-base** option performs a character-to-character match with the source path. For object storage source paths, the prefix must specify the URI in the same manner as the source paths. For example, if a source path includes an embedded passphrase, the prefix must also include the embedded passphrase otherwise it will not match.

#### --symbolic-links={follow|copy|skip}

Handle symbolic links using the specified method. On Windows, the only option is skip. On other operating systems, this option takes following values:

- follow Follow symbolic links and transfer the linked files. (Default)
- copy Copy only the alias file. If a file with the same name exists on the destination, the symbolic link is not copied.
- skip Skip symbolic links. Do not copy the link or the file it points to.

#### -T

Disable in-transit encryption for maximum throughput.

#### -u user\_string

Define a user string for Lua scripts that can be run with transfer events. See <u>Transfer Session Data</u> Accessible to Scripts.

#### --user=username

Authenticate the transfer using the specified username. Use this option instead of specifying the username as part of the destination path (as user@host:file).

**Note:** If you are authenticating on a Windows machine as a domain user, the transfer server strips the domain from the username. For example, Administrator is authenticated rather than DOMAIN\Administrator. Thus, you must specify the domain explicitly.

#### --worker-threads=*num*

Use the specified number of worker threads for deleting files. On the receiver, each thread deletes one file or directory at a time. On the sender, each thread checks for the presences of one file or directory at a time. Default: 1.

#### --write-threads=num

Use the specified number of storage "write" threads (receiver only). Default: 2. To set "read" threads on the sender, use --read-threads.

For transfers to object or HDFS storage, write threads cannot exceed the maximum number of jobs that are configured for Trapd. Default: 15. To use more threads, open /opt/aspera/etc/trapd/trap.properties on the server and set aspera.session.upload.max-jobs to a number larger than the number of write threads. For example,

```
# Number of jobs allowed to run in parallel for uploads.
# Default is 15
aspera.session.upload.max-jobs=50
```

#### -X rexmsg size

Limit the size of retransmission requests to no larger than the specified size, in bytes. Max: 1440.

#### -Z dgram\_size

Use the specified datagram size (MTU) for FASP transfers. Range: 296-65535 bytes. Default: the detected path MTU.

As of version 3.3, datagram size can be specified on the server by setting <datagram\_size> in aspera.conf. The server setting overrides the client setting, unless the client is using a version of **ascp** that is older than 3.3, in which case the client setting is used. If the pre-3.3 client does not set **-Z**, the datagram size is the discovered MTU and the server logs the message "LOG Peer client doesn't support alternative datagram size".

# **Ascp4 Transfers with Object Storage**

Files that are transferred with object storage are sent in chunks through the Aspera Trapd service. By default, **ascp4** uses 64 KB chunks and Trapd uses 1 MB chunks; this mismatch in chunk size can cause **ascp4** transfers to fail.

To avoid this problem, take one of the following actions:

1. Set the chunk size (in bytes) in the server's aspera.conf. This value is used by both **ascp4** and Trapd, so the chunk sizes match.

To set a global chunk size, run the following command:

```
# asconfigurator -x "set_node_data;transfer_protocol_options_chunk_size,value"
```

Where *value* is between 256 KB (262144 bytes) and 1 MB (1048576 bytes).

To set a chunk size for the user, run the following command:

```
# asconfigurator -x "set_user_data;user_name,
username;transfer_protocol_options_chunk_size,value"
```

2. Set the chunk size in the client's aspera. conf to the Trapd chunk size.

If Trapd is using the default chunk size, run the following command to set the chunk size to 1 MB:

```
# asconfigurator -x "set_node_data;transfer_protocol_options_chunk_size,1048576"
```

3. Set the chunk size in the client command line.

Run the ascp4 session with the chunk size setting: --chunk-size=1048576.

# **Ascp4 Examples**

The command options for **ascp4** are generally similar to those for **ascp**. The following examples demonstrate options that are unique to Ascp4. These options enable reading management commands and enable read/write concurrency.

For Ascp examples, see <u>"Ascp Command Reference" on page 26</u>. See <u>"Comparison of Ascp and Ascp4</u> Options" on page 61 for differences in option availability and behavior.

#### • Read FASP4 management commands

Read management commands V4 from management port 5000 and execute the management commands. The management commands version 4 are PUT, WRITE and CLOSE.

```
# ascp4 -L /tmp/client-logs -R /tmp/server-logs --faspmgr-io -M 5000 localhost:/tmp
```

#### Increase concurrency

The following command runs **ascp4** with two scan threads and eight read threads on the client, and eight meta threads and 16 write threads on the server.

```
# ascp4 -L /tmp/logs -R /tmp/logs -l1g --scan-threads=2 --read-threads=8 --write-threads=16
--meta-threads=8 /data/100K aspera@10.0.113.53:/data
```

# **Built-in I/O Provider**

Input/Output provider are library modules that abstract I/O scheme in Ascp4 architecture. Ascp4 has the following built-in I/O provider:

• file (as a simple path or file://path)

# File provider

The local disk can be specified for **ascp4** I/O by using a simple path or URL that starts with file. The following paths identify the same file (/test/ascp4.log) on the disk:

```
file:///test/ascp4.log
  /test/ascp4.log
  file://localhost:/test/ascp4.log
Similarly, the following URLs identify the same file (test/ascp4.log) on the disk:
  file:///test/ascp4.log
  test/ascp4.log
```

# **Appendix**

# **Restarting Aspera Services**

When you change product settings, you might need to restart certain Aspera services in order for the new values to take effect.

# **IBM Aspera Central**

If asperacentral is stopped, or if you have modified the <central\_server> or <database> sections in aspera.conf, then you need to restart the service.

Run the following command in a Terminal window to restart asperacentral:

```
# /etc/rc.d/init.d/asperacentral stop
# /etc/rc.d/init.d/asperacentral start
```

# **IBM Aspera NodeD**

Restart asperanoded if you have modified any setting in aspera.conf.

Run the following commands to restart asperanoded:

```
# /etc/rc.d/init.d/asperanoded restart
```

# **Testing and Optimizing Transfer Performance**

To verify that your system's FASP transfer is reaching the target rate and can use the maximum bandwidth capacity, prepare a client to connect to an Aspera server. For these tests, you can transfer an existing file or file set, or you can transfer uninitialized data in place of a source file, which you can destroy at the destination, eliminating the need to read from or write to disk and saving disk space.

# Using faux:/// as a Test Source or Destination

You can use faux:/// as the argument for the source or destination of an Ascp session to test data transfer without reading from disk on the source and writing to disk on the target. The argument takes different syntax depending on if you are using it as a mock source file or mock source directory.

**Note:** If you set very large file sizes (> PB) in a faux:/// source, Aspera recommends that you use faux:// as a target on the destination because most computers do not have enough system memory available to handle files of this size and your transfer might fail.

#### **Faux Source File**

To send random data in place of a source file (do not read from the source), you can specify the file as faux:///fname?fsize.fname is the name assigned to the file on the destination and fsize is the number of bytes to send. fsize can be set with modifiers (k/K, m/M, g/G, t/T, p/P, or e/E) to a maximum of 7x2<sup>60</sup> bytes (7 EiB).

For example:

```
# ascp --mode=send --user=username --host=host_ip_address faux:///fname?fsize target_path
```

### **Faux Source Directory**

In some cases, you might want to test the transfer of an entire directory, rather than a single file. Specify the faux source directory with the following syntax:

 $\verb|faux:|//dirname?file=file&count=count&size=size&inc=increment&seq=sequence&buf\_init=buf\_option|$ 

#### Where:

- *dirname* is a name for the directory (required)
- file is the root for file names, default is "file" (optional)
- count is the number of files in the directory (required)
- size is the size of the first file in the directory, default 0 (optional). size can be set with modifiers (k/K, m/M, g/G, t/T, p/P, or e/E) to a maximum of 7x2<sup>60</sup> bytes (7 EiB).
- increment is the increment of bytes to use to determine the file size of the next file, default 0 (optional)
- sequence is how to determine the size of the next file: "sequential" or "random". Default is "sequential" (optional). When set to "sequential", file size is calculated as:

```
size + ((N - 1) * increment)
```

Where *N* is the file index; for the first file, *N* is one.

When set to "random", file size is calculated as:

```
size +/- (rand * increment)
```

Where *rand* is a random number between zero and one. If necessary, *increment* is automatically adjusted to prevent the file size from being negative.

For both options, increment is adjusted to prevent the file size from from exceeding 7x2<sup>60</sup> bytes.

• buf\_option is how faux source data are initialized: "none", "zero", or "random". Default is "zero". "none" is not allowed for downloads (Ascp run with --mode=recv).

When the defaults are used, Ascp sends a directory that is named *dirname* and that contains *count* number of zero-byte files that are named file\_*count*.

For example, to transfer a faux directory ("mydir") that contains 1 million files to /tmp on 10.0.0.2, and the files in mydir are named "testfile" and file size increases sequentially from 0 to 2 MB by an increment of 2 bytes:

```
# ascp --mode=send --user=username --host=10.0.0.2 faux:///mydir?
file=testfile&count=1m&size=0&inc=2&seq=sequential /tmp
```

#### **Faux Target**

To send data but not save the results to disk at the destination (do not write to the target), specify the target as faux://.

For example, to send a real file to a faux target, run the following command:

```
# ascp --mode=send --user=username --host=host_ip_address source_file1 faux://
```

To send random data to a faux target, run the following command:

```
# ascp --mode=send --user=username --host=host_ip_address faux://fname?fsize faux://
```

# **Testing Transfer Performance**

1. Start a transfer with fair transfer policy and compare the transfer rate to the target rate.

On the client computer, open the user interface and start a transfer (either from the GUI or command line). Click **Details** to open the Transfer Monitor.

To leave more network resources for other high-priority traffic, use the **Fair** policy and adjust the target rate and minimum rate by sliding the arrows or entering values.

2. Test the maximum bandwidth.

**Note:** This test will typically occupy a majority of the network's bandwidth. Aspera recommends performing it on a dedicated file transfer line or during a time of very low network activity.

Use **Fixed** policy for the maximum transfer speed. Start with a lower transfer rate and increase gradually toward the network bandwidth.

# **Hardware Upgrades for Better Performance**

To improve the transfer speed, you can also upgrade the related hardware components:

Component	Description
Hard disk	The I/O throughput, the disk bus architecture (such as RAID, IDE, SCSI, ATA, and Fiber Channel).
Network I/O	The interface card, the internal bus of the computer.
CPU	Overall CPU performance affects the transfer, especially when encryption is enabled.

# Log Files

The Aspera log file includes detailed transfer information and can be useful for review and support requests.

The log file is found in /var/log/aspera.log

# Configuring AIX to log FASP Transfers to the System Log

On AIX, additional configuration is required to log Aspera's FASP transfers to the system log. To do so, run the following commands to modify /etc/syslog.conf and activate your changes.

```
# echo 'local2.info /var/log/aspera.log' >> /etc/syslog.conf
# touch /var/log/aspera.log
# refresh -s syslogd
```

If your syslog.conf lists log files with "wild cards", such as \*.info; \*.err, append local2.none. For example, change the following line:

```
*.info;*.err /var/adm/system.log
```

To the following:

```
*.info;*.err;local2.none /var/adm/system.log
```

When finished, touch the log file as root, and restart system log process:

```
# touch /var/log/aspera.log
# svcadm restart svc:/system/system-log:default
```

Note: The maximum file size for the syslogd log file is 2 GB.

# Logging Client File System Activity on an HST Server

The HST server can be configured to log operations on the server's file system that are performed from client applications (such as the HST server in client mode, or Console).

The logging of specific file system operations is controlled with an <ascmd> element in aspera.conf, within which logging can be set to yes or no for each operation.

As an example of **asconfigurator** usage, the following command specifies that any deletions from the server file system by user xeno are logged:

```
asconfigurator -x "set_user_data;user_name,xeno;ascmd_log_cmd_as_rm,yes"
```

The command generates this <ascmd> element in aspera.conf:

### **Product Limitations**

Describes any limitations that currently exist for Aspera transfer server and client products.

- **Path Limit:** The maximum number of characters that can be included in *αny* pathname is 512 on Windows and 4096 on Unix-based platforms.
- Illegal Characters: Avoid the following characters in filenames:  $/ \ " : '? > < \& * |$ .
- **Environment Variables:** The total size for environment variables depends on your operating system and transfer session. Aspera recommends that each environment variable value should not exceed 4096 characters.

#